



中国期货业协会
China Futures Association

2015 Annual Report

2015年度报告





From Futures to Future



中国期货业协会年报**2015**
2015 Annual Report of China Futures Association

目 录

CONTENTS

04

06

54

74

82

97



会长致辞

Chairman's Address

中国期货业协会简介

Introduction to China Futures Association

中国期货业协会 2015 年工作总结

Report on CFA Work Summary of 2015

中国期货业协会现行自律规则

Current Self-regulatory Rules of China Futures Association

中国期货业协会 2015 年大事记

CFA Chronicle of Events 2015

会员名录

Membership Directory

会长致辞

2015 年是中国期货行业全面深化改革、加快推进创新发展的一年。这一年，中国期货业协会以落实证监会颁布的《关于进一步推进期货经营机构创新发展的意见》为工作中心，坚守合规风控底线，加强投资者保护和教育，积极推动行业创新发展，大力提升行业服务实体经济的能力。这一年，我们也经历了股市的异常波动，经受了股指期货市场和商品期货市场的双重风险考验。

2016 年是国家“十三五”规划的开局之年。中国期货业协会将全面贯彻“创新、协调、绿色、开放、共享”的发展理念，立足自律、服务和传导的基本定位，坚持服务实体经济的根本宗旨，积极稳妥地推动期货经营机构围绕主业开展创新，进一步提升期货服务实体经济的效率；探索构建期货行业自律管理的新机制，严守风险底线，维护市场秩序稳定；加强对期货市场功能的研究和宣传，争取公众对期货行业更多的理解和支持，为期货行业发展提供良好的外部环境。



A stylized handwritten signature in black ink, consisting of several fluid, connected strokes.

| 中国期货协会会长 |

二零一六年一月

Chairman's Address

It was in 2015 that China's futures industry has been comprehensively reformed, with great progress in its innovation and development. In this year, the China Futures Association focused on the implementation of the Opinions on Further Advancing Innovative Development of Futures Operation Institutions issued by China Securities Regulatory Commission (CSRC), adhered to the bottom line of compliance and risk control, enhanced the investor protection and education, actively promoted the industry innovation and development, and boosted the service capability to real economy. But also in this year, we experienced the abnormal fluctuations in stock market, and went through the difficulties of stock index futures market and commodity futures market.

2016 is the beginning of the 13th Five Year Plan. Based on the orientation of self-regulation, service and transmission, the CFA will carry out the development concept of "Innovation, Harmony, Green, Open-up and Sharing", as well as stick to the fundamental purpose of serving the real economy, so as to push the futures business institutions to develop innovative services around the main business, and further enhance the efficiency of futures services to the real economy; and will build a new self-regulation mechanism for the futures industry, hold the bottom line of risk control and maintain the stability of market order; the CFA will study and promote the function of futures market so that the public can be aware of the futures industry and support it, creating a good external environment for its development.

Zhichao Liu

| Chairman of CFA |
January 2016

第一部分

中国期货业协会简介

Introduction to China Futures Association



中国期货业协会（以下简称协会）成立于2000年12月29日，是根据《社会团体登记管理条例》和《期货交易管理条例》成立的全国期货业自律性组织，是非营利性社会团体法人，接受业务主管单位中国证监会和社团登记管理机关国家民政部的业务指导和监督管理。协会注册地为北京。

会员大会是协会的最高权利机构。理事会是会员大会闭会期间协会的常设权利机构，对会员大会负责。理事会下设经纪业务、资产管理、衍生品交易商、国际业务、信息技术、自律监察、申诉、纠纷调解、人才培养、投资者教育与保护、研究发展、互联网金融、人才培养专项基金管理委员会和投资者教育专项基金管理委员会14个专业委员会。协会设会长一名，专职副会长若干名，兼职副会长若干名，秘书长一名，实行会长负责制。协会常设办公室、会员一部、会员二部（筹）、创新支持部（筹）、自律监察部、考试认证部、培训部、研究部、投资者教育部、信息技术部和党办（纪检办）11个办事机构。

截至2015年12月，协会共有会员348家，其中普通会员278家，特别会员5家，联系会员65家。



China Futures Association (hereinafter referred to as “CFA”), a national self-disciplinary organization of futures industry founded on December 29, 2000 according to Regulations for Registration and Management of Social Organizations and Regulation on the Administration of Futures Trading, is a nonprofit social entity organization under the supervision and management of China Securities Regulatory Commission (CSRC), the business supervision unit, and Ministry of Civil Affairs, the administrative authority of organization registration, with the registration place in Beijing.

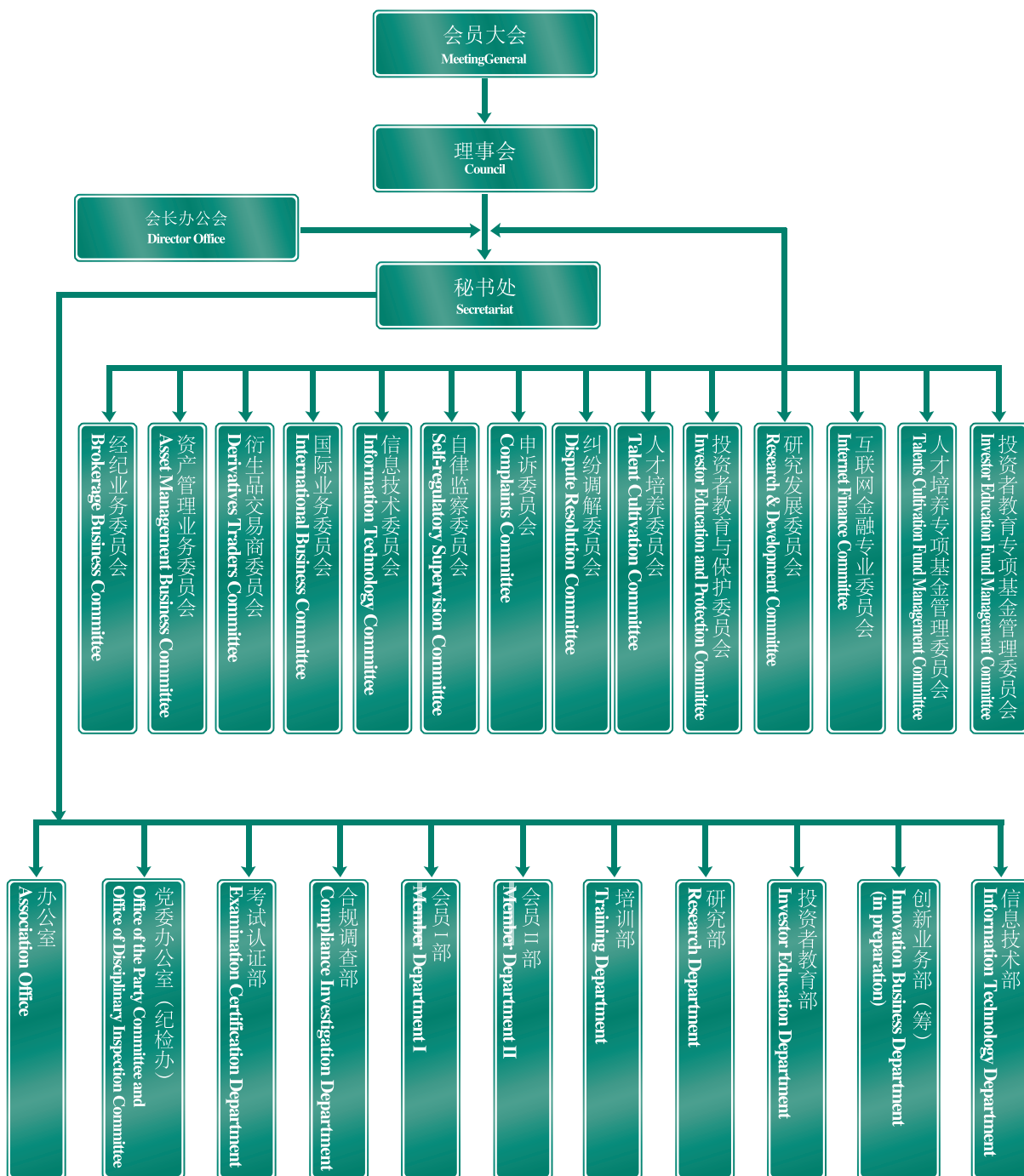
General Meeting is the highest authority of CFA, while the Council refers to the standing authority of CFA during intersession of General Meeting, which is responsible for General Meeting. The Council is currently composed of 14 professional committees, namely Brokerage Business, Asset Management, Derivatives Traders, International Business, Information Technology, Self-regulatory Supervision, Complaints, Dispute Resolution, Investor Education and Protection, Research & Development, internet-based finance, Talents Cultivation Fund Management Committee and Investor Education Fund Management Committee.

CFA has one chairman, several vice chairmen, and one secretary general. There are 11 departments including, General Office, Qualification Exam and Authentication, Compliance Investigation, Membership I, Membership II, Training, Research and Development, Investor Education, Innovation Support, Information Technology and CPC Committee (Discipline Inspection Office).

As of the end of 2015, CFA had 348 members, including 278 regular members, 65 associate members, and 5 special members of futures exchanges and China Futures Market Monitoring Center.

组织结构图

Organizational Structure Chart



协会宗旨 Our Mission

在国家对期货业实行集中统一监督管理的前提下，进行期货业自律管理；发挥政府与期货业间的桥梁和纽带作用，为会员服务，维护会员的合法权益；坚持期货市场的公开、公平、公正，维护期货业的正当竞争秩序，保护投资者利益，推动期货市场的规范发展。

Under the premise of centralized and unified supervision and management on futures industry by the country, CFA aims at realizing self-regulation management on futures industry; exerting the bridge and link roles between government and futures industry, to serve the members and safeguard the legitimate interest of them; sticking to the openness, fairness and justice of futures market, to keep just competition order of futures industry, protect investor's interests and promote the standardized development of futures market.



中国期货业协会
China Futures Association

自律 服务 传导
Self-regulation Service Conduction

协会职能 Our Functions

- ☐ 教育和组织会员及期货从业人员遵守期货法律法规和政策，制定行业自律性规则，建立健全期货业诚信评价制度，进行诚信监督。
 - ☐ 负责期货从业人员资格的认定、管理以及撤销工作，负责组织期货从业资格考试、期货公司高级管理人员资质测试及行政法规、中国证监会规范性文件授权的其他专业资格胜任能力考试。
 - ☐ 监督、检查会员和期货从业人员的执业行为，受理对会员和期货从业人员的举报、投诉并进行调查处理，对违反本章程及自律规则的会员和期货从业人员给予纪律惩戒；向中国证监会反映和报告会员和期货从业人员执业状况，为期货监管工作提供意见和建议。
 - ☐ 制定期货业行为准则、业务规范，参与开展行业资信评级，参与拟订与期货相关的行业和技术标准。
 - ☐ 受理客户与期货业务有关的投诉，对会员之间、会员与客户之间发生的纠纷进行调解。
 - ☐ 为会员服务，依法维护会员的合法权益，积极向中国证监会及国家有关部门反映会员在经营活动中的问题、建议和要求。
 - ☐ 制定并实施期货业人才发展战略，加强期货业人才队伍建设，对期货从业人员进行持续教育和业务
- ☐ Educate and organize members and futures employees to abide by laws, regulations and policies of futures industry, formulate self-regulation rules for the industry, establish and perfect honesty evaluation system of futures industry and carry out honesty supervision.
 - ☐ Be responsible for accrediting, managing and revoking the qualification of futures employees, organizing futures qualification exam and senior manager qualification exam of futures companies, authorizing regulatory documents of CSRC and organizing competence exam of other professional qualifications.
 - ☐ Supervise and inspect the practices of members and futures employees, accept and deal with the report and complaint of members and futures employees through investigation, and perform disciplinary punishment on those violating the regulations and self-regulation rules; reflect and report the practices of members and futures employees to CSRC and offer opinions and suggestions for futures supervision and management.
 - ☐ Formulate code of conduct and standard of practice for futures industry, participate in credit rating of the industry and join in the preparation of industrial and technical standards concerning futures.
 - ☐ Accept the complaints of customers regarding futures business and mediate the disputes between members or member and customers.
 - ☐ Serve the members, safeguard the legitimate interest of members, and actively reflect the problems, suggestions and requirements of members during business activities to CSRC and national department concerned.
 - ☐ Formulate and implement talent development strategy of futures industry, strengthen talent team construction of futures industry, perform

培训，提高期货从业人员的业务技能和职业道德水平。

- ☐ 设立专项基金，为期货业人才培养、投资者教育或其他特定事业提供资金支持。
- ☐ 负责行业信息安全保障工作的自律性组织协调，提高行业信息安全保障和信息技术水平。
- ☐ 收集、整理期货信息，开展会员间的业务交流，推动会员按现代金融企业要求完善法人治理结构和内控机制，促进业务创新，为会员创造更大市场空间和发展机会。
- ☐ 组织会员对期货业的发展进行研究，参与有关期货业规范、发展的政策论证，对相关方针政策、法律法规提出建议。
- ☐ 加强与新闻媒体的沟通与联系，广泛开展期货市场宣传和投资者教育，为行业发展创造良好的环境。
- ☐ 表彰、奖励行业内有突出贡献的会员和个人，组织开展业务竞赛和文化活动，加强会员间沟通与交流，培育健康向上的行业文化。
- ☐ 开展期货业的国际交流与合作，代表中国期货业加入国际组织，推动相关资质互认，对期货涉外业务进行自律性规范与管理。
- ☐ 法律、行政法规规定以及中国证监会赋予的其他职责。

continuous education and business training on futures employees and improve their vocational skills and occupational ethics level.

- ☐ Establish special fund and provide financial support for talent training, investor education or other special careers of futures industry.
- ☐ Be responsible for self-regulation organization and coordination of industrial information safety control and improving industrial information safety control and information technology level.
- ☐ Collect and manage futures information, carry out business exchange among members, promote members to perfect corporate governance structure and internal control mechanism as required by modern financial enterprises and encourage business innovation, thus creating larger market space and development opportunity for members.
- ☐ Organize members to study the development of futures industry, join in policy demonstration concerning standards and development of futures industry and put forward suggestions for relevant policies, laws and regulations.
- ☐ Strengthen the communication and contact with news media, hold futures market publicity and investor education in large scale and create favorable environment for industry development.
- ☐ Honor and award the members and individuals with outstanding contributions in the industry, organize business competition and cultural activity, strengthen the communication and exchange among members and cultivate healthy and progressive industrial culture.
- ☐ Carry out international exchange and cooperation of futures industry, join in international organization in name of China's futures industry, promote relevant qualification authentication, and perform self-regulation normalization and management on foreign futures business.
- ☐ Other responsibilities provided by laws and administrative regulations and those granted by CSRC.

领导简介

Profiles of Leaders



刘志超 党委书记、会长

Liu Zhichao

Secretary of the Party
Chairman

金融学硕士，高级经济师。曾在国务院证券委员会办公室、中国证监会办公室工作，历任中国证监会人事局副局长（主持工作）、中国证监会天津证管办副主任、中国证监会期货部副主任（正局级）等职务，现任中国期货业协会会长。

Master of Finance and Senior Economist. Once worked in office of Securities Commission of the State Council and office of CSRC; Served successively as Vice Director of CSRC Personnel Department (in charge of presiding over), Vice Director of CSRC Tianjin Securities Management Office, Vice Director of CSRC Futures Department (bureau-level cadre), etc.; and currently serves as the Chairman of China Futures Association.



侯苏庆 党委委员、副会长

Hou Suqing

Member of the Party Committee
Vice Chairman

经济学博士，高级经济师。历任河南省新乡市制药厂厂长，新乡开发区管委会常务副主任，河南省经济体制改革委员会副主任，中国证监会河南监管局局长，现任中国期货业协会专职副会长。

Ph.D. in Economics and Senior Economist. Served successively as the Manager of Henan Xinxiang Pharmaceutical Factory, Deputy Managing Director of Xinxiang Development Zone Management Committee, Vice Director of Henan Provincial Commission of Restructuring the Economic System, and Director of CSRC Henan Supervision Bureau; and currently serves as full-time Vice Chairman of China Futures Association.



范 辉 党委委员、副会长

Fan Hui Member of the Party Committee Vice Chairman

工商管理硕士，统计师。历任共青团太原市委组织部部长，中农信公司山西办事处副主任，中国证监会昆明特派员办事处副主任，中国证监会云南监管局局长，中国上市公司协会副会长等职务，现任中国期货业协会专职副会长。

MBA, Statistician. Served successively as Minister of Municipal Organization Department of the Communist Youth League in Taiyuan, Vice Director of the Shanxi Office of CADTIC, Vice Director of the Special Commissioner of the China Securities Regulatory Commission in Kunming, Director of CSRC Yunnan Supervision Bureau, Vice Chairman of China Association for Public Companies, and now serves as full-time Vice Chairman of China Futures Association.



孙明福 党委委员、副会长

Sun Mingfu Member of the Party Committee Vice Chairman

本科学历。历任农业部管理干部学院人事处副处长、财务处长、人事处长、办公室主任等职务；曾任中国期货业协会副秘书长兼办公室（党办、纪检办）主任，现任中国期货业协会专职副会长、秘书长。

Undergraduate. Served successively as Deputy Director General of Personnel Department, Director General of Financial Department, Director General of Personnel Department and Office Director of Agricultural Management Institute of Ministry of Agriculture; Deputy Secretary General and Director of CPC Office (and Discipline Inspection Office) of China Futures Association; and currently serves as full-time Vice Chairman and Secretary General of China Futures Association.



郑小国 党委委员、副会长

Zheng Xiaoguo Member of the Party Committee Vice Chairman

硕士。曾任中国证券业协会会员部主任、华西证券有限公司北京营业部总经理兼北京办事处主任，上海期货交易所新闻联络部高级总监、北京联络处高级总监兼新闻联络部高级总监、人力资源部高级总监等职务。现任中国期货业协会专职副会长。

Master. Served as Director of Membership Department of Securities Association of China, General Manager of Beijing Business Department and Director of Beijing Office of Huaxi Securities Co., Ltd., Senior Director of Information Liaison Department of Shanghai Futures Exchange, Senior Director of Beijing Liaison Office and Information Liaison Department, and Senior Director of HR Department, etc. Currently serves as full-time Vice Chairman of China Futures Association.

领导简介 Profiles of Leaders



吴亚军 副秘书长
Wu Yajun Deputy Secretary General

硕士。历任湘正期货经纪有限责任公司总经理；曾任中国期货业协会办公室主任、培训部主任、考试认证部主任等职务；现任中国期货业协会副秘书长兼会员二部（筹）主任。

Master. He was the General Manager of Hunan Xiangzheng Futures Brokerage Co., Ltd., as well as the Director of Executive Office of China Futures Association (CFA), Director of Training Department and Director of Examination Certification Department, etc.; he now serves as the CFA Deputy Secretary General and Director of Member Department II (under preparation).



刘涛 副秘书长
Liu Tao Deputy Secretary General

硕士。历任期货日报社编辑部编辑、记者、编辑副主任，驻北京记者站首席记者；曾任中国期货业协会研究部主管、副主任、主任，《中国期货》执行主编，会员部主任、会员一部主任、创新业务部（筹）负责人（兼），现任中国期货业协会副秘书长。

Master. He has served as the Editor, Reporter and Deputy Chief Editor of Editorial Office of Futures Daily, as well as the Chief Reporter of Beijing Correspondents, Supervisor, Deputy Director and Director of CFA Research Department, Executive Editor of China Futures, Director of Member Department, Director of Member Department I and Principal (part-time) of Innovation Business Department (under preparation); and now serve as the Deputy Secretary General of CFA.

张宜生 非会员理事

Zhang Yisheng Nonmember Director



高级经济师。曾兼任中国有色金属工业总公司期货业务管理办公室主任、再生资源管理办公室主任，新华财经信息咨询公司董事长，深圳有色金属交易所秘书长，深圳实达期货公司、上海东方期货公司、上海金鹏期货公司、天津鑫国联期货公司董事长，现为中国有色金属进出口总公司法人代表、中国期货业协会理事、上海衍生品研究院院务委员兼战略发展委员会执行主席、上海期货交易所有理事。

Senior Economist. Served as part-time Director of Futures Business Management Office and Director of Renewable Resources Management Office of China Nonferrous Metals Industry Company. President of Xinhua Finance Information Consultant Co., Ltd., General Secretary of Shenzhen Nonferrous Metals Exchange, and President of Shenzhen Star Futures Co., Ltd., Shanghai Dongfang Futures Co., Ltd., Shanghai Jinpeng Futures Co., Ltd. and Tianjin Xinguolian Futures Co., Ltd.; and now served as legal representative of China Nonferrous Import and Export Company (CNIEC), Director of China Futures Association, Executive Chairman of Shanghai Institute of Derivatives Committee and Strategy Development Committee, Chairman of Commission on Research and Development and Director of Shanghai Futures Exchange.

李 强 非会员理事

Li Qiang Nonmember Director



经济学博士。历任东北财经大学贸易经济教研室主任、东北财经大学教务处处长、深圳中国国际期货公司总经理、东北财经大学校长助理、中国国际期货集团公司执行总裁、亿城集团股份有限公司（深交所上市公司）董事长兼总经理等职务，曾担任中国期货业协会专职副会长兼秘书长。

Ph.D. in Economics. Served successively as the Head of the Teaching and Research Section and Chief of Educational Administration Division of Dongbei University of Finance and Economics, General Manager of China International Futures Co., Ltd., Shenzhen Branch, Assistant to the President of Dongbei University of Finance and Economics, CEO of China International Futures Co., Ltd., President and General Manager of Yeland Group Co., Ltd. (listed company of Shenzhen Stock Exchange), etc.; full-time Vice Chairman and Secretary General of China Futures Association.

叶春和 兼职副会长

Ye Chunhe Vice Chairman



博士。历任中国证监会江苏监管局党委委员、副局长，重庆监管局党委委员、局长，现任上海期货交易所党委委员、副总经理。

Ph.D. Have served successively as member of CPC Committee and Deputy Director General of CSRC Jiangsu Supervision Bureau, and member of CPC Committee and Director General of Chongqing Supervision Bureau; and Currently serves as member of CPC Committee and Vice-general Manager of Shanghai Futures Exchange.

领导简介 Profiles of Leaders



郭晓利 兼职副会长
Guo Xiaoli Vice Chairman

经济学博士。历任中国国际期货有限公司总裁助理、副总裁，大连商品交易所党委委员、副总经理，郑州商品交易所党委副书记、副总经理，现任郑州商品交易所党委副书记、总经理，中国期货业协会兼职副会长。

Ph.D. in Economics. Successfully served as Assistant President and Vice President, member of CPC Committee and Vice General Manager of Dalian Commodity Exchange, and Deputy Secretary of Party Committee and Vice General Manager of Zhengzhou Commodity Exchange. Currently serves as Deputy Secretary of Party Committee and General Manager of Zhengzhou Commodity Exchange and part-time Vice Chairman of China Futures Association.



魏振祥 兼职副会长
Wei Zhenxiang Vice Chairman

博士，1995 年加入郑州商品交易所，从事品种研发、期权、交易、交割等工作，历任总监、高级总监、总经理业务助理。2015 年 4 月加入大连商品交易所，现任大连商品交易所党委委员、副总经理。

Doctor. He joined Zhengzhou Commodity Exchange for the R&D, options, transaction and settlement in 1995, and served as the Director, Senior Director and Business Assistant of General Manager. He worked in Dalian Commodity Exchange in April 2015, and serves as Member of the Party Committee and Deputy General Manager.



鲁东升 兼职副会长

Lu Dongsheng Vice Chairman

硕士。曾在民政部工作；加入中国证监会后，从事期货监管等方面工作，历任主任科员、副处长、处长。2007年6月加入中国金融期货交易所，曾任监查部负责人，现任副总经理。

Master. Worked in Ministry of Civil Affairs. After joining the CSRC, engaged in work related to futures regulation and successfully served as Senior Staff, Deputy Director General, and Director General. Joined China Financial Futures Exchange in June 2007 as person in charge of Ministry of Supervision. Currently serves as Vice-general Manager.



酆强 兼职副会长

Feng Qiang Vice Chairman

经济学硕士。历任期货监管部副处长、期货保证金监控中心总经理助理，现任中国期货市场监控中心党委委员、副总经理。

Master of Economics. Successfully served as Deputy Director General of Futures Supervision Department and General Manager Assistant of Futures Margin Monitoring Center. Currently serves as member of CPC Committee and Vice General Manager of China Futures Market Monitoring Center.



施建军 兼职副会长

Shi Jianjun Vice Chairman

高级经济师。现任浙江永安期货股份有限公司总经理、中国期货业协会兼职副会长、浙江期货行业协会会长、大商所理事、上期所交易委员会主任、郑商所交割委员会主任委员。

Senior Economist. Currently serves as General Manager of Zhejiang Yong'an Futures Co.Ltd., part-time Vice Chairman of China Futures Association, Chairman of The Futures Association of Zhejiang, Director of Dalian Commodity Exchange, Director of Exchange Commission of Shanghai Futures Exchange and Chairman of Delivery Committee of Zhengzhou Commodity Exchange.

领导简介

Profiles of Leaders



马文胜 兼职副会长

Ma Wensheng Vice Chairman

本科学历。现任新湖期货董事长、中国期货业协会兼职副会长、上期所理事、浙江期货业协会副会长、大商所交易委员会主任、郑商所财务委员会主任、浙江大学 MBA 研究生企业导师。

Undergraduate. Serves as President of New Lake Futures Co., Ltd., part-time Vice Chairman of China Futures Association, Director of Shanghai Futures Exchange, Vice Chairman of The Futures Association of Zhejiang, Director of Exchange Commission of Dalian Commodity Exchange, Director of Financial Committee of Commodity Exchange and MBA graduate mentor of Zhejiang University.



陈方 兼职副会长

Chen Fang Vice Chairman

管理经济学硕士。现任鲁证期货董事长、齐鲁证券副总裁，中国期货业协会兼职副会长、山东期货业协会会长，大连商品交易所工业品种委员会主任委员。

Master of Management Economics. Currently serves as President of Luzheng Futures Co., Ltd., Vice General Manager of Qilu Securities Co., Ltd., part-time Vice Chairman of China Futures Association, President of Shandong Futures Association, and Chairman of Industrial Products Committee of Dalian Commodity Exchange.

中国期货业协会第四届理事会成员（共计 41 名）

Members of The Fourth Session of Board of China Futures Association (41 members)

理事单位	理 事	所在单位职务
一、非会员理事		
中国期货业协会	刘志超	会 长
中国期货业协会	侯苏庆	副会长
中国期货业协会	范 辉	副会长
中国期货业协会	孙明福	副会长
中国期货业协会	郑小国	副会长
中国期货业协会	张宜生	非会员理事
中国期货业协会	李 强	非会员理事
二、特别会员理事		
上海期货交易所	叶春和	副总经理
郑州商品交易所	郭晓利	总经理
大连商品交易所	魏振祥	副总经理
中国金融期货交易所	鲁东升	副总经理
中国期货市场监控中心	鄂 强	副总经理
三、公司会员理事		
永安期货股份有限公司	施建军	总经理
新湖期货有限公司	马文胜	董事长
鲁证期货股份有限公司	陈 方	董事长
方正中期期货有限公司	许丹良	总经理
中粮期货有限公司	吴浩军	总经理
宏源期货有限公司	王化栋	总经理
国泰君安期货有限公司	何晓斌	总经理
银河期货有限公司	姚 广	总经理
中国国际期货有限公司	王 兵	总经理
海通期货有限公司	徐 凌	总经理
光大期货有限公司	田亚林	董事长
国投中谷期货有限公司	高 杰	总经理
上海中期期货有限公司	许一峰	总经理
东海期货有限责任公司	陈太康	总经理
弘业期货股份有限公司	周剑秋	董事长
浙商期货有限公司	胡 军	总经理
信达期货有限公司	陈冬华	总经理
南华期货股份有限公司	罗旭峰	总经理
格林大华期货有限公司	乔俊峰	董事长
万达期货股份有限公司	张 岩	董事长
国信期货有限责任公司	余晓东	总经理
长江期货有限公司	谭显荣	总经理
广发期货有限公司	罗满生	总经理
华泰长城期货有限公司	张 涛	董事长
中信期货有限公司	张 皓	总经理
五矿期货有限公司	张必珍	总经理
申银万国期货有限公司	李建中	总经理
兴业证券股份有限公司	刘志辉	总 裁
安信证券股份有限公司	王连志	总 裁

Director Organization	Name	Title
I. Non-Member Directors		
China Futures Association	Liu Zhichao	Chairman
China Futures Association	Hou Suqing	Vice Chairman
China Futures Association	Fan Hui	Vice Chairman
China Futures Association	Sun Mingfu	Vice Chairman
China Futures Association	Zheng Xiaoguo	Vice Chairman
China Futures Association	Zhang Yisheng	Non-Member Director
China Futures Association	Li Qiang	Non-Member Director
II. Special Member Directors		
Shanghai Futures Exchange	Ye Chunhe	Deputy General Manager
Zhengzhou Commodity Exchange	Guo Xiaoli	General Manager
Dalian Commodity Exchange	Wei zhenxiang	Deputy General Manager
China Financial Futures Exchange	Lu Dongsheng	Deputy General Manager
China Futures Market Monitoring Center	Feng Qiang	Deputy General Manager
III. Company Member Directors		
Yong'an Futures Co., Ltd.	Shi Jianjun	General Manager
Xinhu Futures Co., Ltd.	Ma Wensheng	Chairman
Luzheng Futures Co., Ltd.	Chen Fang	Chairman
Founder CIFCO Futures Co., Ltd.	Xu Danliang	General Manager
COFCO Futures Co., Ltd.	Wu Haojun	General Manager
Hongyuan Futures Co., Ltd.	Wang Huadong	General Manager
Guotai Jun'an Futures	He Xiaobin	General Manager
Galaxy Futures Co., Ltd.	Yao Guang	General Manager
China International Futures Co., Ltd.	Wang Bing	General Manager
Haitong Futures Co., Ltd.	Xu Ling	General Manager
Everbright Futures Co., Ltd.	Tian Yalin	Chairman
SDIC CGOG Futures Co., Ltd.	Gao Jie	General Manager
Shanghai CIFCO Futures Co., Ltd.	Xu Yifeng	General Manager
Donghai Futures Co., Ltd.	Chen Taikang	General Manager
Holly Futures Co., Ltd.	Zhou Jianqiu	Chairman
Zheshang Futures Co., Ltd.	Hu Jun	General Manager
CINDA Futures Co., Ltd.	Chen Donghua	General Manager
Nanhua Futures Co., Ltd.	Luo Xufeng	General Manager
Gelin Dahua Futures Co., Ltd.	Qiao Junfeng	Chairman
Wanda Futures Co., Ltd.	Zhang Yan	Chairman
GUOSEN FUTURES CO., LTD.	Yu Xiaodong	General Manager
Changjiang Futures Co., Ltd.	Tan Xianrong	General Manager
GF Futures Co., Ltd.	Luo Mansheng	General Manager
Huatai Great Wall Futures Co., Ltd.	Zhang Tao	Chairman
CITICS Futures Co., Ltd.	CITICS Futures Co., Ltd.	General Manager
Minmetals Futures Co., Ltd.	Zhang Bizhen	General Manager
Shenyin & Wanguo Futures Co., Ltd.	Li Jianzhong	General Manager
Industrial Securities Co., Ltd.	Liu Zhihui	President
Essence Securities Co., Ltd.	Wang Lianzhi	President

专业委员会 Professional Committees

1. 经纪业务委员会 Brokerage Business Committee

1. 贯彻落实和推动完善国家和监管部门关于期货经纪业务发展的法律法规及方针政策；
2. 研究草拟期货经纪业务相关自律规则，促进行业规范发展；
3. 开展对经纪业务创新模式、机制等重要问题的研究，提出促进经纪业务创新发展的解决方案和意见建议；
4. 加强与相关机构的沟通联系，积极反映行业诉求，维护公平竞争秩序，争取有利的发展环境；
5. 加强会员单位在期货经纪业务方面的沟通、协作，建立业务交流的平台，促进期货经纪业务发展；
6. 加强对期货经纪人的规范化管理，协助开展人员培训和检查；
7. 理事会授权或委托的其他工作。

organizations, actively reflect industry demands, maintain the order of fair competition, and strive for a favorable development environment;

5. Strengthen the communication and cooperation with member units about futures brokerage business and establish a business exchange platform, to promote the development of futures brokerage business;
6. Enhance the standardized management over futures brokers, and assist in conducting personnel training and examination;
7. Other duties authorized or entrusted by the council.

经纪业务委员会成员名单 Members of Brokerage Business Committee

1. Implement, promote and complete the laws, regulations and policies of the state and regulators on development of futures brokerage business;
2. Study and draft the self-regulatory rules on futures brokerage business, to promote the standardized development of the industry;
3. Study important issues including those on brokerage innovation model and mechanism, and put forward solutions, opinions and suggestions on promoting innovative development of brokerage business;
4. Strengthen communications with relevant

姓 名	职 务	单 位
马文胜	主任委员	新湖期货有限公司
刘志辉	副主任委员	兴业证券股份有限公司

Name	Title	Company
Ma Wensheng	Director of the Committee	Xinhu Futures Co., Ltd.
Liu Zhihui	Deputy Director of the Committee	Industrial Futures Co., Ltd.

专业委员会 Professional Committees

2. 资产管理业务委员会 Asset Management Business Committee

1. 贯彻落实和推动完善国家和监管部门关于期货资产管理业务发展的法律法规及方针政策；
2. 研究草拟期货资产管理业务相关自律规则，促进行业规范发展；
3. 开展对期货资产管理业务发展的研究，提出促进资产管理业务发展的意见和建议；
4. 维护期货资产管理机构合法权益，反映行业诉求，维护公平竞争秩序，争取有利的发展环境；
5. 开展期货资产管理业务的交流与合作，促进期货资产管理业务发展；
6. 理事会授权或委托的其他工作。

1. Implement, promote and complete the laws, regulations and policies of the state and regulators on development of futures asset management business;
2. Study and draft the self-regulatory rules on futures asset management business, to promote the standardized development of the industry;
3. Research the development of futures asset management business, and put forward opinions and suggestions on promoting development of asset management business;
4. Protect legal rights and interests of futures asset management organizations, reflect industrial demands, maintain the order of fair competition, and strive for a favorable development environment;

5. Conduct exchange and cooperation about futures asset management business, to promote the development of such business;
6. Other duties authorized or entrusted by the council.



资产管理业务委员会成员名单 Members of Asset Management Business Committee

姓 名	职 务	单 位
徐 凌	主任委员	海通期货有限公司

Name	Title	Company
Xu Ling	Director of the Committee	Haitong Futures Co., Ltd.

3. 衍生品交易商委员会 Derivatives Traders Committee

1. 培育和推动自营商、做市商、交易商等各类期货及衍生品专业交易商的发展；
2. 开展风险管理服务和场外衍生品业务的前瞻性研究，推进相关重大问题的立项和讨论，提出具体解决方案和意见建议；
3. 研究制订风险管理服务、场外衍生品业务的行业标准 and 自律规则，促进该项业务的规范发展；
4. 研究推进风险管理服务、场外衍生品业务模式创新，提升产品设计、风险控制能力；
5. 建立沟通协作的平台和机制，推进期货及衍生品交易商之间的交流合作；
6. 协助推进场外市场、衍生品等相关领域的人才培养，提升人员素质；
7. 推动期货经营机构柜台业务发展，发展机构间场外衍生品交易；
8. 理事会授权或委托的其他工作。

3. Research and develop industrial standards and self-regulatory rules on risk management service and OTC derivatives business, to promote the standardized development of this business;
4. Research and boost the model innovation of risk management service and OTC derivatives business, and improve the product design and risk control capabilities;
5. Establish communication and cooperation platforms and mechanisms, to promote the exchange and cooperation between futures and derivatives traders;
6. Assist in propelling the talent cultivation of OTC market, derivatives and other relevant fields, to improve the quality of personnel;
7. Boost the development of counter operations of futures operating institutions and develop OTC derivatives transactions among the institutions;
8. Other duties authorized or entrusted by the council.

衍生品交易商委员会成员名单 Members of Derivatives Traders Committee

1. Cultivate and promote the development of dealers, market makers, traders, etc. of various futures and derivatives;
2. Conduct prospective study on risk management service and OTC derivatives business, promote the project approval of and discussion about relevant major issues, and put forward specific solutions, opinions and suggestions;

姓 名	职 务	单 位
施建军	主任委员	永安期货股份有限公司

Name	Title	Company
Shi Jianjun	Director of the Committee	Yong'an Futures Co., Ltd.

专业委员会

Professional Committees

4. 国际业务委员会 International Business Committee

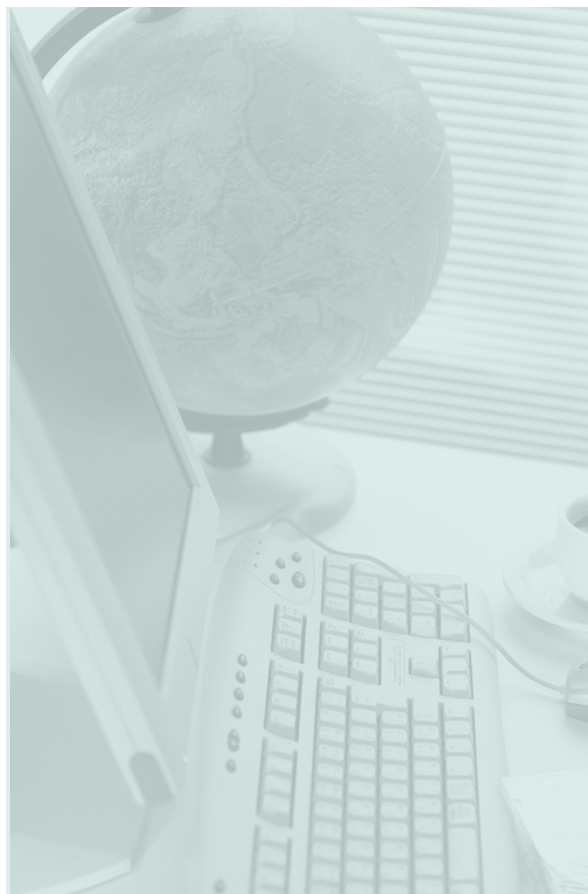
1. 贯彻落实和推动完善国家和监管部门关于期货及衍生品业务对外开放的法律法规及方针政策；
2. 研究草拟期货及衍生品行业跨境业务的相关自律规则，促进行业规范发展；
3. 开展对期货及衍生品行业对外开放重要问题的研究，提出加快推进期货“走出去”和“引进来”的解决方案和意见建议；
4. 研究推动跨境创新型业务，加强国际交流与合作，形成开放、公平的发展环境；
5. 理事会授权或委托的其他工作。

1. Implement, promote and complete the laws, regulations and policies of the state and regulators on the opening-up of futures and derivatives businesses;
2. Study and draft the self-regulatory rules on cross-border business of futures and derivatives industries, to promote industrial standardized development;
3. Study important issues on the opening-up of futures and derivatives industries, and put forward solutions, opinions and suggestions on accelerating the “going global” and “introduction” of futures;
4. Research and promote cross-border innovative business and enhance international exchange and cooperation, to form an open and fair development environment;
5. Other duties authorized or entrusted by the council.

国际业务委员会成员名单 Members of International Business Committee

姓 名	职 务	单 位
罗旭峰	主任委员	南华期货股份有限公司

Name	Title	Company
Luo Xufeng	Director of the Committee	Nanhua Futures Co., Ltd.



5. 信息技术委员会 Information Technology Committee

1. 调查、收集、分析、反映业内关于委员会职责范围内的意见和建议，负责组织编写行业信息技术情况发展报告；
2. 根据证监会的统一部署，配合做好有关行业信息技术发展方面的工作；
3. 对期货公司贯彻落实规划、法规和技术指引（标准）的情况进行评估，为监管部门开展技术监管工作提供咨询和依据；
4. 研究草拟期货公司信息技术相关指引和行业技术人员执业标准与工作指引，研究草拟行业相关统计数据标准；
5. 强化行业信息技术风险自我控制机制，确保期货信息系统安全；
6. 协助开展信息技术应用研究和培训，协助开展对期货公司的技术检查工作；
7. 协调会员技术资源，在发生技术事故，进行应急处置时提供必要的支援；
8. 促进期货信息技术的行业交流、国际合作，举行期货行业信息技术交流活动和会议；
9. 理事会授权或委托的其他工作。

1. Survey, collect, analyze and feed back the industry opinions and suggestions within the scope of responsibility of the Committee, and organize the preparation of industry IT development report;
2. Support relevant work on industry IT development according to the deployment of China Securities Regulatory Commission;
3. Assess the implementation of plans, laws and regulations and technical guidance (standards) by the futures companies, and provide consultations and basis for regulators' implementation of technical regulation work;

4. Research and draft relevant IT guidance of futures companies, the practice standards and work instructions for industry technicians, and relevant statistics standards in the industry;
5. Reinforce the industry IT risk self-control mechanism, to ensure the safety of futures information system;
6. Assist in conducting IT application research and trainings, as well as technical inspection for futures companies;
7. Coordinate members' technology resources, to provide necessary assistance for emergency disposal in case of any technical accident;
8. Promote the industrial exchange and international cooperation about futures IT and carry out exchange activities and meetings concerning IT of the futures industry;
9. Other duties authorized or entrusted by the council.

信息技术委员会成员名单 Members of Information Technology Committee

姓 名	职 务	单 位
王凤海	主任委员	大连商品交易所
史光伟	副主任委员	上海金融期货信息技术有限公司
万晓鹰	副主任委员	国泰君安期货有限公司

Name	Title	Company
Wang Fenghai	Director of the Committee	Dalian Commodity Exchange
Shi Guangwei	Deputy Director of the Committee	Shanghai Financial Futures Information Technology Co., Ltd.
Wan Xiaoying	Deputy Director of the Committee	Guotai Jun'an Futures

专业委员会 Professional Committees

6. 自律监察委员会 Self-regulatory Supervision Committee

1. 研究草拟有关自律监察和纪律惩戒的程序与规则；
2. 研究制订会员及从业人员业务行为规范、内部控制、合规风控等自律规则；
3. 协助开展对会员及从业人员的自律检查，并对检查工作监督指导；
4. 对会员和从业人员违反协会自律规则的行为做出纪律惩戒决定并监督执行；
5. 按照协会纪律惩戒程序等自律规则的规定，组织听证会；
6. 向理事会提出有关协会自律监察和纪律惩戒工作的意见和建议；
7. 理事会授权或委托的其他工作。

5. Organize hearings according to provisions of disciplinary punishment procedures and other self-regulatory rules of the association;
6. Propose opinions and suggestions on self-regulatory supervision and disciplinary punishment of the association to the council;
7. Other duties authorized or entrusted by the council.



1. Research and draft the procedures and rules on self-regulatory supervision and disciplinary punishment;
2. Research and develop the code of conduct, internal control, compliance, risk control and other self-regulatory rules for members and employees;
3. Assist in conducting self-regulatory inspection for members and employees, and supervise and guide such inspection work;
4. Decide, supervise and execute the disciplinary punishments for members' and employees' violations of self-regulatory rules of the association;

自律监察委员会成员名单 Members of Self-regulatory Supervision Committee

姓 名	职 务	单 位
陈 方	主任委员	鲁证期货股份有限公司
陈冬华	副主任委员	信达期货有限公司

Name	Title	Company
Chen Fang	Director of the Committee	Luzheng Futures Co., Ltd.
Chen Donghua	Deputy Director of the Committee	CINDA Futures Co., Ltd.

7. 申诉委员会 Complaints Committee

1. 研究草拟有关申诉的程序和规则；
2. 对当事人不服纪律委员会惩戒决定提出的申诉进行审查，并做出审议决定；
3. 督促审议决定的执行；
4. 向理事会提出有关协会纪律惩戒工作的意见和建议；
5. 协助自律监察委员会研究制订会员及从业人员业务行为规范、内部控制、合规风控等自律规则；
6. 理事会授权或委托的其他工作。

1. Research and draft the procedures and rules on complaints;
2. Review the complaints raised by the party due to its non-acceptance of the punishment decision of Disciplinary Committee, and make review decisions;
3. Supervise and urge the execution of review decisions;
4. Propose opinions and suggestions on disciplinary punishment of the association to the council;
5. Assist Self-regulatory Supervision Committee in researching and developing the code of conduct, internal control, compliance, risk control and other self-regulatory rules for members and employees;
6. Other duties authorized or entrusted by the council.

申诉委员会成员名单 Members of Complaints Committee

姓名	职务	单位
袁小文	主任委员	中信期货有限公司
许丹良	副主任委员	方正中期期货有限公司

Name	Title	Company
Yuan Xiaowen	Director of the Committee	CITIC Futures Co., Ltd.
Xu Danliang	Deputy Director of the Committee	Founder CIFCO Futures Co., Ltd.

专业委员会 Professional Committees

8. 纠纷调解委员会 Dispute Resolution Committee

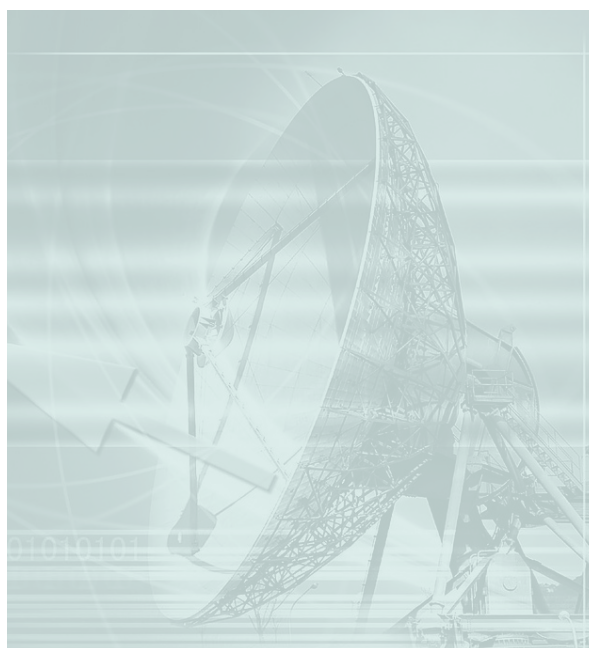
1. 指导期货纠纷调解工作；
2. 组织指导调解员任前、在职培训和经验交流；
3. 研究处理与期货纠纷调解工作相关的专业问题；
4. 决定调解员的聘任和解聘；
5. 对调解工作总体质量、社会影响等进行评估；
6. 向理事会提出纠纷调解工作的意见和建议；
7. 理事会授权或委托的其他工作。

1. Guide the futures dispute resolution work;
2. Organize and guide the pre-job and on-job trainings and experience exchange of coordinators;
3. Research and deal with professional questions about the futures dispute resolution work;
4. Decide the coordinators' employment and dismissal;
5. Assess the overall quality and social influence of dispute resolution work;
6. Give opinions and suggestions on dispute resolution to the council;
7. Other duties authorized or entrusted by the council.

调解委员会成员名单 Members of Dispute Resolution Committee

姓 名	职 务	单 位
高 杰	主任委员	国投中谷期货有限公司
钱荣金	副主任委员	上海期货同业公会
黄 禧	副主任委员	深圳市期货同业协会

Name	Title	Company
Gao Jie	Director of the Committee	SDIC CGOG Futures Co., Ltd.
Qian Rongjin	Deputy Director of the Committee	Shanghai Futures Association
Huang Xi	Deputy Director of the Committee	Shenzhen Futures Association



9. 投资者教育与保护委员会 Investor Education and Protection Committee

1. 研究起草期货行业投资者保护、投资者教育方面的自律规则，就投资者保护、投资者教育提出政策建议；
2. 建立协作平台，促进各类市场主体在投资者保护、教育方面的分工协作和互动交流；
3. 推动会员单位加强投资者教育工作，建立完善投资者服务、教育和保护机制；
4. 加强行业宣传，帮助公众了解期货及衍生品行业，帮助投资者树立风险防范和理性投资意识，营造期货行业发展的良好氛围；
5. 关注期货投资者诉求，维护投资者合法权益；
6. 研究投资者咨询及投诉受理制度，推动完善处理流程和运行机制，协调有关部门处理相关事宜；
7. 理事会授权或委托的其他工作。

4. Strengthen industry publicity, help the public know the futures and derivatives industries, assist investors in setting up an awareness of risk prevention and rational investment, and create a good environment for the development of futures industry;
5. Pay attention to the demands and complaints of futures investors, and protect the investors' legal rights and interests;
6. Research investors' consultation and complaint acceptance systems, promote and complete the disposal process and the operating mechanism, and coordinate relevant departments to deal with relevant matters;
7. Other duties authorized or entrusted by the council.

投资者保护与教育委员会成员名单 Members of Investor Education and Protection Committee

姓 名	职 务	单 位
姚 广	主任委员	银河期货有限公司
丛龙云	副主任委员	万达期货有限公司

Name	Title	Company
Yao Guang	Director of the Committee	Galaxy Futures Co., Ltd.
Cong Longyun	Deputy Director of the Committee	Wanda Futures Co., Ltd.

专业委员会 Professional Committees

10. 人才培养委员会 Talent Cultivation Committee

1. 组织开展期货行业人力资源开发与管理的理论研究和交流，研究行业发展的人才需求，制定和推进落实期货行业人才发展战略；
2. 协调推进期货行业人才培养工作，提出落实人才培养的具体措施建议；
3. 研究推进期货行业专业队伍建设和分类分级认证制度，规范期货从业人员执业行为；
4. 组织开展境内外培训合作、人才交流，推进建立境内外专业人才培养基地，并进行持续评估；
5. 推动高等院校、社会培训机构加强期货专业或职业教育，建立健全期货行业后备人才教育体系；
6. 理事会授权或委托的其他工作。

abroad, accelerate the establishment of foreign and domestic professionals training bases, and continue assessing those;

5. Promote higher education institutions and social training agencies to strengthen professional or occupational futures education, and establish complete education systems for reserve talents of futures industry;
6. Other duties authorized or entrusted by the council.



1. Organize theoretical research and exchange for the development and management of human resources in the futures industry, research the talent demands for industrial development, develop, promote and implement the futures industry talent development strategies;
2. Coordinate and facilitate the cultivation of talents in futures industry, and put forward specific measures and suggestions on implementation of talent cultivation;
3. Research and boost the team construction and classification and grading certification systems for professionals in the futures industry, to standardize the practices of futures practitioners;
4. Organize and conduct the training, cooperation and talent exchange activities at home and

人才培养委员会成员名单 Members of Talent Cultivation Committee

姓 名	职 务	单 位
赵桂萍	副主任委员	广发期货有限公司

Name	Title	Company
Zhao Guiping	Deputy Director of the Committee	GF Futures Co., Ltd.

11. 研究发展委员会 Research & Development Committee

1. 对期货市场发展中的热点问题和重大问题开展研究，并提出意见和建议；
2. 研究、论证业内相关政策与方案，并代表协会向立法机关、司法机关、监管部门等提出政策建议和意见；
3. 对行业自律组织的职能定位进行研究，并对协会发展战略、作用发挥和工作规划等提出意见和建议；
4. 指导和参与开展期货市场重大理论和实践问题研究，促进期货市场理论和实践发展；
5. 指导和参与编写协会研究类书籍和刊物；
6. 指导和参与期货行业研究相关的评比工作；
7. 开展期货行业研究与交流活动，促进协会与国内外学术机构及同类机构的交流与合作；
8. 理事会授权或委托的其他工作。

1. Study hot and major issues occurring in the development of futures market, and give opinions and suggestions;
2. Research and demonstrate relevant policies and schemes in the industry, and propose suggestions and opinions on policies to legislative authority, judicial authority, regulators, etc. on behalf of the association;
3. Research the function positioning of self-regulatory organizations of the industry, and put forward opinions and suggestions on the development strategy, functioning, work plan, etc.

of the association;

4. Guide and participate in the research on major theoretical and practical issues of the futures market, to promote theoretical and practical development of this market;
5. Guide and participate in the compilation of research books and publications of the association;
6. Guide and participate in comparison and appraisal work related to researches in the futures industry;
7. Carry out research and exchange activities in the futures industry, to promote the exchange and cooperation between the association and domestic and foreign academic institutions and organizations of the same kind;
8. Other duties authorized or entrusted by the council.

研究发展委员会成员名单 Members of Research & Development Committee

姓 名	职 务	单 位
王化栋	主任委员	宏源期货有限公司

Name	Title	Company
Wang Huadong	Director of the Committee	Hongyuan Futures Co., Ltd.

专业委员会 Professional Committees

12. 互联网金融专业委员会 Internet Finance Committee

1. 贯彻落实国家“互联网+行动计划”，促进期货行业互联网金融业务的创新发展，就有关法律法规政策和监管提出意见和建议；
2. 研究互联网金融发展趋势，在风险可控的前提下，探索期货行业互联网金融创新发展模式，引导期货经营机构树立互联网的经营理念，推进基于互联网的商业模式、组织模式、技术架构的创新；
3. 研究制订期货经营机构互联网金融业务的自律规则，促进相关业务规范发展；
4. 促进期货经营机构与互联网企业的交流，研究探索互利合作的模式，打造新型业态；
5. 反映期货行业互联网金融创新诉求，争取有利的发展环境和政策支持；
6. 理事会授权或委托的其他工作。

1. Implement the plan of "Internet + Action", promote the innovative development of the futures industry in Internet financial business and put forward their opinions and suggestions in accordance with relevant laws and regulations;
2. Research the development trend of Internet finance, explore the model of futures industry in Internet financial business and help futures operation agency to create the operation principle of internet, so as to grow the innovation of business model, organization model and technical architecture based on internet;
3. Research and draw up the self-regulation rules for futures operation agency in Internet financial business to promote the development of related business;
4. Promote the communication between futures operation agency and internet enterprises, and find out mutual beneficial cooperation for new forms of business;
5. Report the innovation demands in futures operation agency of Internet financial business to higher level to strive for favorable development environment and policy support;
6. Other matters authorized or entrusted by the board of directors.

互联网金融专业委员会成员名单 Members of Talents Cultivation Fund Management Committee

姓 名	职 务	单 位
张 涛	主任委员	华泰期货有限公司
马 刚	副主任委员	中山证券有限责任公司
毛海东	副主任委员	东航金控有限责任公司 财富管理中心
阴秀生	副主任委员	国泰君安期货有限公司
张 磊	副主任委员	中信期货有限公司
姚 广	副主任委员	银河期货有限公司
胡 军	副主任委员	浙商期货有限公司
夏 涛	副主任委员	南证期货有限责任公司

Name	Title	Company
Zhang Tao	Director of the Committee	Huatai Futures Co., Ltd.
Ma Gang	Deputy Director of the Committee	Zhongshan Securities Co., Ltd.
Mao Haidong	Deputy Director of the Committee	Fortune Management Center of CES Capital
Yin Xiusheng	Deputy Director of the Committee	Guotai Jun'an Futures
Zhang Lei	Deputy Director of the Committee	CITICS Futures Co., Ltd.
Yao Guang	Deputy Director of the Committee	Galaxy Futures Co., Ltd.
Hu Jun	Deputy Director of the Committee	Zheshang Futures Co., Ltd.
Xia Tao	Deputy Director of the Committee	Nan Zheng Futures

13. 人才培养专项基金管理委员会

Talents Cultivation Fund Management Committee

1. 制定各类专项基金的使用管理规则；
2. 决定专项基金的筹集和使用方向；
3. 审议和批准专项基金的年度预算和资助计划；
4. 安排对专项基金进行年度财务审计；
5. 其它理事会授权或需要管委会决定的事项。

1. To develop rules governing the use of the fund;
2. To decide fund raising and use of the fund;
3. Plan and approve annual budget of the fund;
4. Arrange annual financial audit;
5. Other duties authorized or entrusted by the council.

人才培养专项基金管理委员会成员名单

Members of Talents Cultivation Fund Management Committee

姓 名	职 务	单 位
孙明福	主任委员	中国期货业协会

Name	Title	Company
Sun Mingfu	Director of the Committee	China Futures Association



专业委员会

Professional Committees

14. 投资者教育专项基金管理委员会 Investor Education Fund Management Committee

1. 制定各类专项基金的使用管理规则；
2. 决定专项基金的筹集和使用方向；
3. 审议和批准专项基金的年度预算和资助计划；
4. 安排对专项基金进行年度财务审计；
5. 其它理事会授权或需要管委会决定的事项。

1. To develop rules governing the use of the fund;
2. To decide fund raising and use of the fund;
3. Plan and approve annual budget of the fund;
4. Arrange annual financial audit;
5. Other duties authorized or entrusted by the council.

投资者教育专项基金管理委员会成员名单 Members of Investor Education Fund Management Committee

姓 名	职 务	单 位
孙明福	主任委员	中国期货业协会

Name	Title	Company
Sun Mingfu	Director of the Committee	China Futures Association



机构设置及主要职责

Departments and Key Responsibilities

1. 办公室 General Office

主要职责:综合协调;党委会、会长办公会秘书;组织起草、修改综合材料;人力资源管理;财务管理;固定资产管理;外事管理;公文运转、核稿、印章、档案、机要保密工作;行政服务与后勤保障;期货人才培养专项基金和投资者教育专项基金管理委员会的沟通、协调和服务。

Key responsibilities: be responsible for comprehensive coordination in and out of the Association, be responsible for the secretarial work of the Party Committee and Chairmen's Conference, organize the drafting and amendment of comprehensive materials, be responsible for HR management, financial management, fixed asset management and foreign affairs management, manage circular operation, review, seal, record filing and confidential documents, be responsible for administrative services and logistics support, and be responsible for communication, coordination and services of the management committees of the Special Fund for Fostering Futures Talents and the Special Fund for Futures Investor Education.

2. 会员一部 Member Department I

主要职责:组织推动期货经纪业务、跨境业务;负责经纪业务委员会、国际业务委员会的沟通、协调和服务工作;组织制订相关业务领域的自律规则;组织检查相关业务领域自律规则落实情况;负责协会与地方协会、交易所的自律协调;组织召开中国国际期货大会;开展行业评选、表彰与奖励工作;会员财务统计分析;理事会、专兼职业会长工作会会务工作;统筹协会专业委员会建设。

Key responsibilities: organize and promote futures brokerage business and cross-border operations, be responsible for communication, coordination and services of the brokerage service committee and the international service committee, organize the formulation of the self-regulatory rules concerning relevant business areas, organize the inspection of implementation of self-regulatory rules concerning relevant business areas, be responsible for self-regulatory coordination with local associations and exchanges, organize the convening of China International Futures Conference, organize industrial appraisal, praise and award, carry out statistical analysis of financial information of members, be responsible for meeting affairs of the Board of Directors and work conference of full-time and part-time chairmen, and make an overall arrangement of the establishment of professional committees of the Association.

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机构设置及主要职责

Departments of China Futures Association and Key Responsibilities

3. 会员二部（筹） Member Department II (preparatory)

主要职责：组织推动期货经营机构资产管理业务、投资咨询业务；负责期货资产管理业务委员会的沟通、协调与服务工作；组织制订相关业务领域的自律规则；组织检查相关业务领域自律规则落实情况；组织召开中国期货分析师论坛；负责会员发展、注册、会籍管理、会费收缴工作；负责会员信息平台 and 数据库管理，统计发布会员信息。

Key responsibilities: organize and promote the asset management business and investment consulting services of futures business institutions, be responsible for communication, coordination and services of the futures asset management business committee, organize the formulation of the self-regulatory rules concerning relevant business areas, organize the inspection of implementation of self-regulatory rules concerning relevant business areas, organize the convening of Chinese Futures Analyst Forum, be responsible for member development, registration, membership management and fees collection, be responsible for membership information platform and database, and take statistics on and release members' information.

4. 创新支持部（筹） Innovation Support Department (preparatory)

主要职责：参与制订行业创新发展规划与政策法规；协调落实协会关于推进行业创新的工作部署；组织推动期货公司风险管理公司试点业务；负责衍生品交易商委员会的沟通、协调和服务工作，推动培育衍生品交易商和场外衍生品业务开展；组织制订相关业务领域的自律规则；组织检查相关业务领域自律规则落实情况。

Key responsibilities: participate in the formulation of the industrial innovative development plan and policies and regulations, coordinate in implementation of the work deployment of the Association on promoting industrial innovation, organize and promote the pilot of risk management companies of futures companies, be responsible for communication, coordination and services of the derivative traders committee, promote the fostering of derivative traders and OTC derivative business, organize the formulation of the self-regulatory rules concerning relevant business areas, and organize the inspection of implementation of self-regulatory rules concerning relevant business areas.

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5. 自律监察部 Self-regulatory Supervision Department

主要职责：统筹制订协会自律规则，审核其他部门制订的业务类自律规则；统筹协调对会员、从业人员的自律检查，配合其他部门开展的业务类专项检查；对会员、从业人员违反自律规则的行为进行调查处理；推动行业诚信建设，负责投资者信用风险管理；组织开展纠纷调解；推动反洗钱工作；负责协会内部法务；负责自律监察委员会、申诉委员会、纠纷调解委员会的沟通、协调和服务工作。

Key responsibilities: make an overall arrangement of the formulation of self-regulatory rules of the Association, review self-regulatory rules on business developed by other departments, make overall plans and coordinate self-regulatory inspection of members and practitioners, cooperate with other departments in special business inspections, investigate and handle members' and practitioners' violations of self-regulatory rules, promote industrial good faith construction, manage investors' credit risk information, organize dispute resolution, promote the anti-money laundering work, be responsible for internal legal affairs of the Association, and be responsible of communication, coordination and services of the self-regulatory supervision committee, the appeals committee and the dispute resolution committee.

6. 考试认证部 Examination and Certification Department

主要职责：拟定期货从业人员资格类别、标准及相关自律规则；组织开展从业人员资格考试、期货投资分析考试、高管资质测试；开展从业人员资格注册、变更、撤销工作；管理维护从业人员数据库；统计分析从业人员信息。

Key responsibilities: be responsible for the formulation of futures practitioners' qualification categories and standards, and relevant self-regulatory rules, organize practitioners' qualification tests, futures investment analysis tests and senior officers' qualification tests, carry out practitioners' qualification registration, modification and cancellation, management and maintain practitioner database and make statistical analysis of practitioners' information.

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机构设置及主要职责

Departments of China Futures Association and Key Responsibilities

7. 培训部 Training Department

主要职责：制定并组织实施行业人才培养规划；建立从业人员培训体系；开展高管人员培训；组织实施从业人员后续职业培训、专业培训；建设境内外期货人才培训基地；开展行业后备人才培养；开展培训交流与合作；负责期货人才培养委员会的沟通、协调与服务工作。

Key responsibilities: develop and implement talent training plan for the futures industry; establish practitioner training system; carry out trainings for executives; organize and implement follow-up vocational and professional trainings for practitioners; build domestic and foreign futures personnel training bases; promote back-up personnel training work; conduct training communication and cooperation; and assume the communication, coordination and service work with or for the Futures Talent Training Committee.

8. 研究部 Research Department

主要职责：研究和参与拟订期货行业中长期发展规划、法律法规及规章制度；开展专项研究，为政府、监管部门和协会发展提供政策建议；组织实施“中期协联合研究计划”；组织编写中国期货业年度发展报告；组织编写研究简报、信息专报；组织编写《中国期货》；研究起草协会专项报告、领导讲话稿等综合材料；负责研究发展委员会的沟通、协调与服务工作。

Key responsibilities: study and participate in drafting long-term development plan, laws and regulations and regulatory framework for futures industry; carry out special subject study and provide policy suggestions for government, regulators and the Association; organize and implement “CFA Joint Research Program”; organize the preparation of Annual Development Report of China’s Futures Industry; prepare research reports and special information reports; organize the preparation of China Futures; study and participate in drafting comprehensive materials including special report of the Association and speech of the leaders; and assume the communication, coordination and service work with or for the R&D Committee.

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9. 投资者教育部 Investor Education Department

主要职责：推动行业开展投资者教育工作、督促落实有关投资者教育自律规则；推动行业开展投资者适当性管理和投资者保护；组织开展投资者工作经验交流与检查；组织开展投资者教育活动，制作投资者教育产品；组织开展投资者教育和保护相关调查和研究；受理投资者的信访、投诉；负责协会新闻宣传、网站规划与管理；拟定相关工作领域的自律规则；负责投资者教育与保护委员会的沟通、协调与服务工作。

Key responsibilities: promote the implementation of investor education and supervise and implement self-regulatory rules concerning investors; promote the implementation of investor suitability management and investor protection; organize and implement experience exchange and inspection concerning investor education work; organize and implement investor education activities and prepare investor education products; organize and implement surveys and researches on investor education and protection; accept petition letters and complaints from investors; be responsible for the news publicity and network planning and maintenance of the Association; prepare self-regulatory rules for related businesses; and assume the communication, coordination and service work with or for the Investor Education and Protection Committee.

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10. 信息技术部 Information Technology Department

主要职责：组织制定期货及相关行业信息技术标准及相关自律规则；组织检查相关工作领域自律规则落实情况；组织协调行业信息安全保障、交流与研究；组织开展证券期货行业科学技术奖励；参与组织行业信息安全风险评估及重大事故调查和鉴定；负责与行业三商的沟通协调；负责拟定协会信息系统建设规划、信息管理制度和工作指引；组织开发、管理协会信息系统、数据库系统、机房、视频会议系统、内部办公系统等自动化办公设施；负责协会灾备系统建设；为协会日常办公提供技术支持；负责信息技术委员会的沟通、协调与服务工作。

Key responsibilities: organize the preparation of IT standards and self-regulatory rules of futures and related industries; organize the inspection of relevant businesses or the carrying out of self-regulatory rules; organize and coordinate the safety guarantee, exchange and research of industrial information; organize science and technology awards of securities and futures industry; participate in and organize the information security risk assessment and the investigation and survey of major accidents in the industry; be responsible for the communication and coordination with software developers, market information providers and system service providers of the industry; draw up information system construction plan, information management system and work guidelines of the Association; organize the development and management of the information system, database system, computer room, video meeting system, internal office system and other automatic office facilities of the Association; be responsible for construction of the disaster recovery system of the Association; provide technical support for the daily office work of the Association; and assume the communication, coordination and service work with or for the IT Committee.

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机构设置及主要职责

Departments of China Futures Association and Key Responsibilities

11. 党委办公室（纪检办）

Party Committee Office (Discipline Inspection Office)

主要职责：负责协会党委、纪委日常工作，协调工会、群团工作；协助做好党的组织建设、党风廉政建设、员工思想政治教育以及各类宣传活动；承担党委、纪委工作计划及相关文件的起草、修改；督促、协调、指导基层党支部和青年团工作；监督检查协会及工作人员贯彻执行国家法律、法规、政策以及内部制度的情况；受理对协会党员及工作人员的信访、投诉，依法依规开展调查处理。

Key responsibilities: be responsible for the daily work of the Party Committee and Discipline Inspection Committee and assist in the work of the Labor Union and other unions within the Association; assist in organizational construction, clean administration construction, ideological and political education and all kinds of publicity activities of the Association; draw up and modify the work plans and other relevant documents of the Party Committee and Discipline Inspection Committee; supervise, coordinate and direct the work of grassroots Party branches and Youth League; supervise and inspect the compliance with national laws, regulations, policies and internal systems by the Association and its staff; and accept petition letters and complaints from Party members and staff of the Association and conduct investigation and handling according to laws.



中国期货业协会
China Futures Association

自律 服务 传导
Self-regulation Service Conduction

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境外机构合作情况

Cooperation with Overseas Institutions

2015 年，协会先后与澳大利亚证券交易所 (ASX)、迪拜黄金与商品交易所 (DGCX)、国际掉期与衍生工具协会 (ISDA) 签订了合作谅解备忘录，并计划在备忘录框架下开展一系列信息交流、管理层定期沟通会晤、人员交流和培训、举办行业会议加强行业教育等合作。合作备忘录的签署，不仅对促进机构之间的交流合作具有重要意义，而且有利于搭建行业交流平台，为推进中国期货行业在国际上开展更广领域、更深层次的合作交流探索新的途径。

In 2015, China Futures Association(CFA)signed Memorandum of Understandings with Australian Securities Exchange (ASX), Dubai Gold & Commodities Exchange (DGCX) and International Swaps and Derivatives Association(ISDA). The MoUs cover the sharing and exchange of information, regular communication to promote understanding and cooperation, the exchange of staff and training, and the co-hosting of educational industry conferences. The agreements set the framework for a closer working relationship between the CFA and other financial institutions, facilitate the establishment of communication platform, and widen and deepen the international cooperation scope in the futures industry.





合作机构名录

Directory of Cooperative Overseas Institutions

芝加哥商业交易所 (CME)

欧洲期货交易所 (Eurex)

美国全国期货业协会 (NFA)

香港交易及结算所有限公司 (HKEx)

瑞士期货与期权协会 (SFOA)

新加坡交易所 (SGX)

芝加哥期权交易所 (CBOE)

全球期货业协会 (FIA Global)

美国期权业协会 (OIC)

澳大利亚证券交易所 (ASX)

迪拜黄金和商品交易所 (DGCX)

国际掉期与衍生工具协会 (ISDA)

Chicago Mercantile Exchange Holdings Inc.

Eurex Exchange

National Futures Association

Hong Kong Exchanges and Clearing Limited

The Swiss Futures and Options Association

Singapore Exchange

Chicago Board Options Exchange

Futures Industry Association (Global)

The Options Industry Council

Australian Securities Exchange

Dubai Gold & Commodities Exchange

International Swaps and Derivatives Association

会员情况

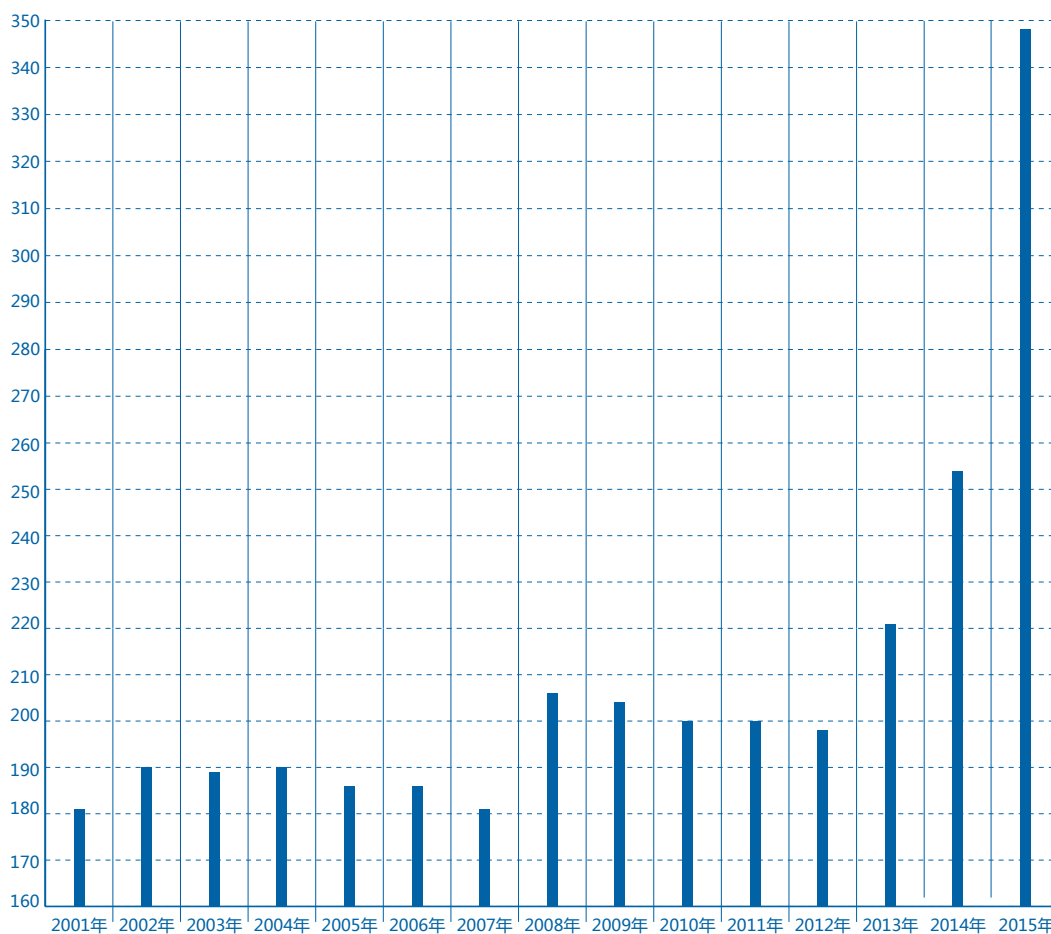
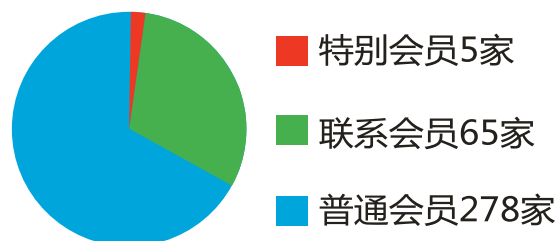
Members Information

1. 会员结构

Members Structure

截至2015年12月，协会共有会员348家，其中普通会员278家，特别会员5家，联系会员65家。

As of the end of 2015, CFA had 348 members, including 278 regular members, 65 associate members, and 5 special members of futures exchanges and China Futures Margin Monitoring Center.



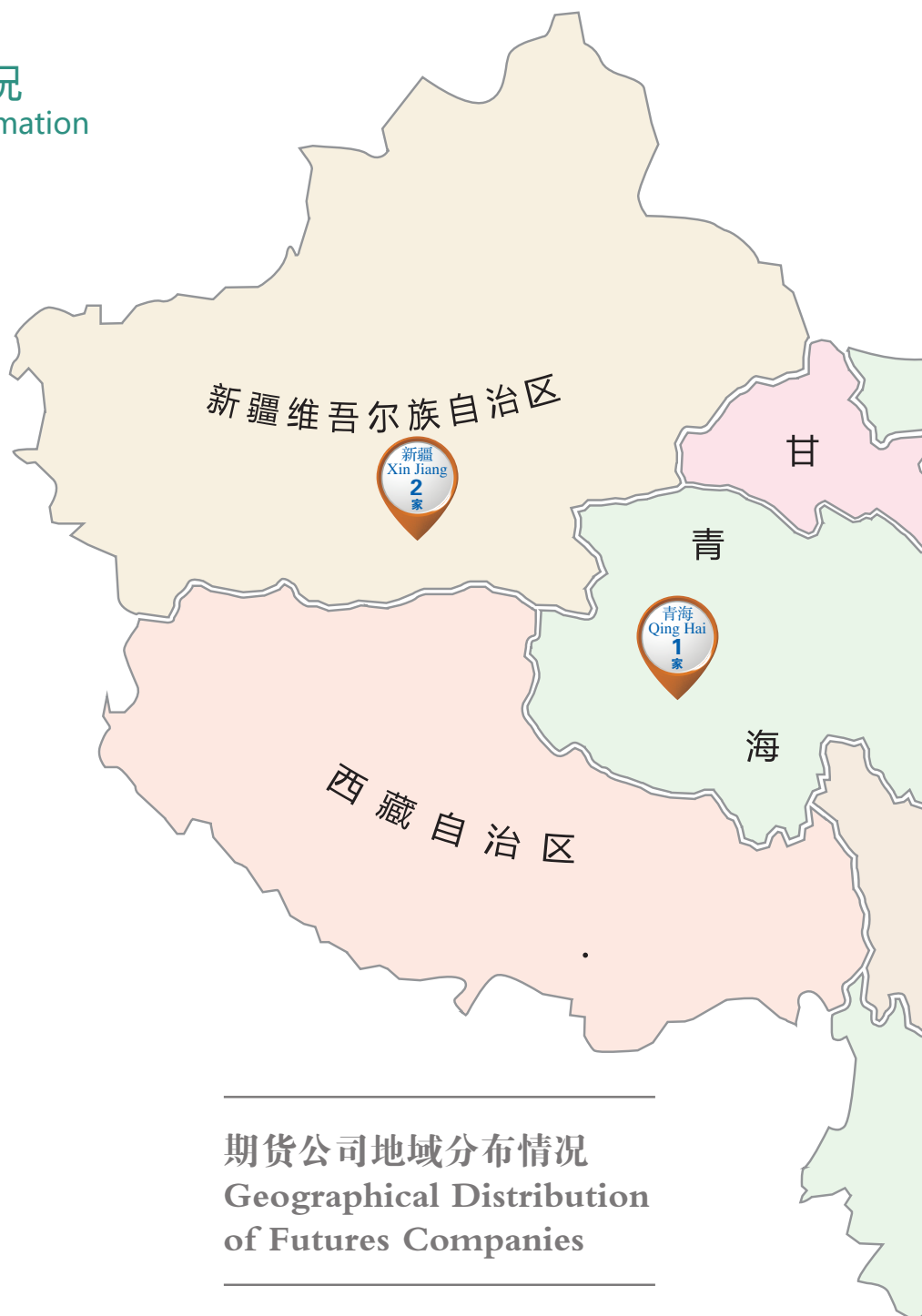
2001-2015 年会员总数柱状图
Histogram of Total Members during 2001-2015

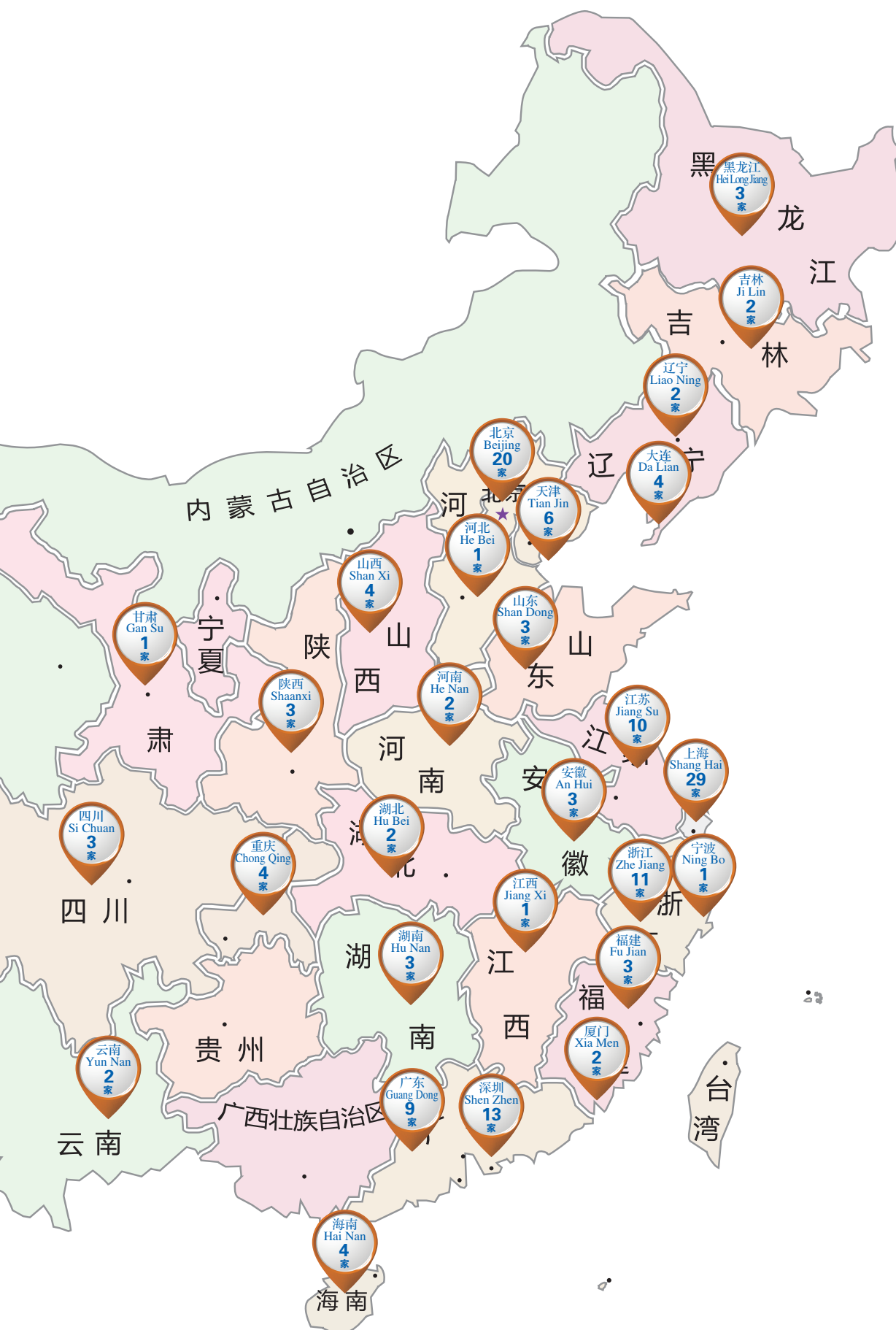
会员情况 Members Information

2. 期货公司会员情况 FCM Members Information

截至2015年底，全国共有150家期货公司，分布于30省市，公司数目超过10家的省市包括：上海（29家）、北京（20家）、深圳（13家）、浙江（11家）、江苏（10家），占全部公司数的55.3%。

By the end of 2015, there are 150 futures companies in total, which are distributed in 30 provinces and cities. Those with over 10 companies include: Shanghai (29), Beijing (20), Shenzhen (13), Zhejiang (11) and Jiangsu (10), occupying 55.3% of the total.



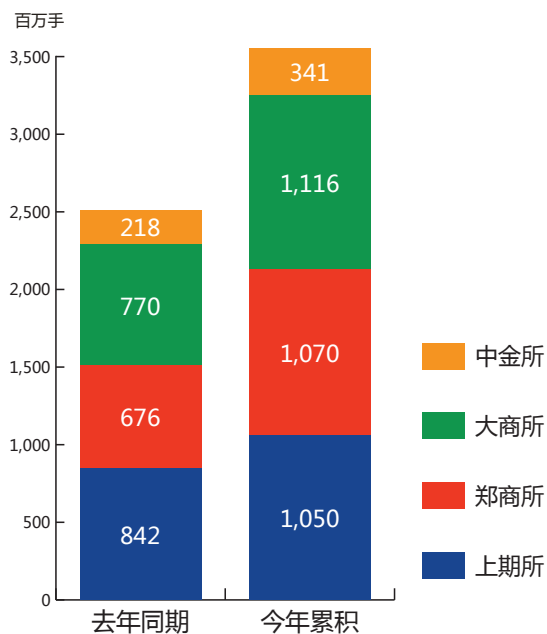


会员情况 Members Information

3 2015 年期货市场交易情况 Transactions in the Futures Market in 2015

1-12月全国期货市场累计成交量同比增长

A Year-on-Year Increase in Accumulative Transaction Volume of National Futures Market from January to December 2015



数据来源：中国期货业协会

1-12月全国期货市场累计成交量为3578百万手，同比增长42.78%。

From January to December, the accumulative transaction volume of national futures market was 3,578 million deals, a year-on-year increase of 42.78%.

上期所累计成交量为1050百万手，占全国市场的29.36%，同比增长24.72%

The accumulative transaction volume of Shanghai Futures Exchange was 1050 million deals, accounting for 29.36% of total of national market, a year-on-year increase of 24.72%.

郑商所累计成交量为1070百万手，占全国市场的29.91%，同比增长58.25%

The accumulative transaction volume of Zhengzhou Commodity Exchange was 1070 million deals, accounting for 29.91% of total of national market, a year-on-year increase of 58.25%.

大商所累计成交量为1116百万手，占全国市场的31.20%，同比增长45.05%

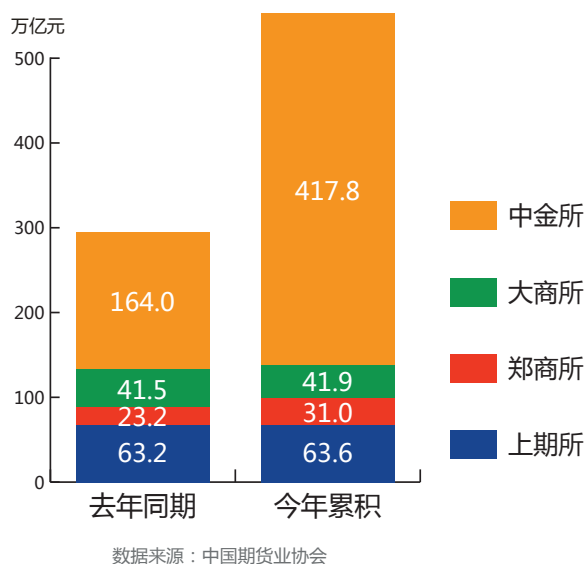
The accumulative transaction volume of Dalian Commodity Exchange was 1116 million deals, accounting for 31.20% of total of national market, a year-on-year increase of 45.05%.

中金所累计成交量为341百万手，占全国市场的9.53%，同比增长56.66%

The accumulative transaction volume of China Financial Futures Exchange was 341 million deals, accounting for 9.53% of total of national market, a year-on-year increase of 56.66%.

1-12月全国期货市场累计成交额同比增长

A Year-on-Year Increase in Accumulative Transaction Value of National Futures Market from January to December 2015



1-12月全国期货市场累计成交额为554万亿元，同比增长89.81%，

From January to December, the accumulative transaction value of national futures market was RMB554 trillion, a year-on-year increase of 89.81%.

上期所累计成交额为63.6万亿元，占全国市场的11.47%，同比增长0.51%

The accumulative transaction value of Shanghai Futures Exchange was RMB63.6 trillion, accounting for 11.47% of total of national market, a year-on-year increase of 0.51%.

郑商所累计成交额为31万亿元，占全国市场的5.59%，同比增长33.30%

The accumulative transaction value of Zhengzhou Commodity Exchange was RMB31 trillion, accounting for 5.59% of total of national market, a year-on-year increase of 33.30%.

大商所累计成交额为41.9万亿元，占全国市场的7.57%，同比增长1.06%

The accumulative transaction volume of Dalian Commodity Exchange was RMB41.9 trillion, accounting for 7.57% of total of national market, a year-on-year increase of 1.06%.

中金所累计成交额为417.8万亿元，占全国市场的75.38%，同比增长154.71%

The accumulative transaction volume of China Financial Futures Exchange was RMB 417.8 trillion, accounting for 75.38% of total of national market, a year-on-year increase of 154.71%.

会员情况 Members Information

4. 2015 年期货公司经营情况 The Operation of Futures Companies

代理交易情况 Agency transaction

2015年全国期货公司代理交易额以单边计算为554.23万亿元，同比增长89.81%；代理交易量为35.78亿手，同比增长42.78%。

The agency transaction of Chinese futures companies in 2015 is RMB 554.23 trillion (unilateral), a year-on-year increase of 89.81%; agency trading volume is 3.578 b lots, a year-on-year increase of 42.78%.

2015年金融期货代理交易额为417.76万亿元，交易量为3.41亿手，同比分别增长154.70%和56.42%，分别占全部份额的75.38%和9.53%。

In 2015, the financial futures agency turnover is RMB 417.76 trillion, in volume of 341 m lots, with year-on-year increases of 154.70% and 56.42%, taking up 75.38% and 9.53% of the market respectively;

2015年商品期货代理交易额为136.47万亿元，代理交易量为32.37亿手，同比分别增长6.64%和41.48%，分别占全部份额的24.62%和90.47%。

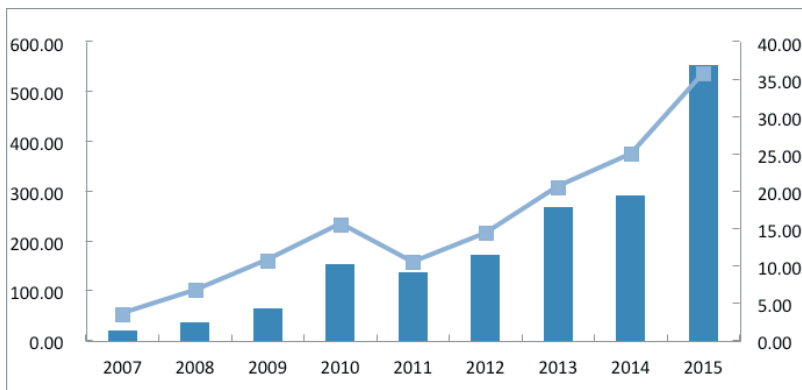
In 2015, the commodity futures agency turnover is RMB 136.47 trillion, in volume of 3.237 b lots, with year-on-year increases of 6.64% and 41.48%, taking up 24.62% and 90.47% of the market respectively.

图1 历年全国期货公司代理交易额及代理交易量情况

Fig. 1: Historical Statistics of Agency Transactions of Chinese Futures Companies

(代理交易额单位：万亿元，代理交易量单位：亿手)

(Turnover: trillion yuan; trading volume: 100 million lots)



注：2011年以前的交易数据均按单边可比口径调整后得出（柱形为交易额，折线为交易量）

Note: Transaction statistics before 2011 have all been adjusted on unilateral comparable basis (histogram for turnover, lines for trading volume)

图2-1期货公司金融期货代理交易额及交易量情况

Fig. 2-1: Statistics of Agency Turnover of Financial Futures in 2015

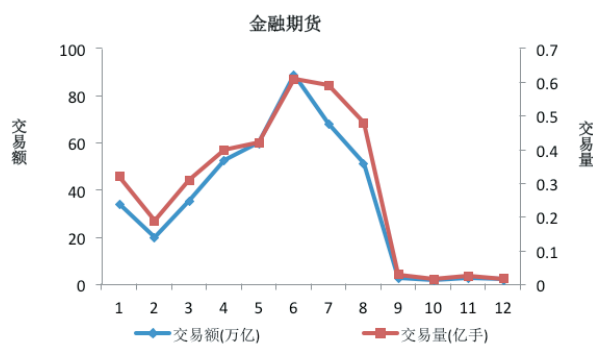
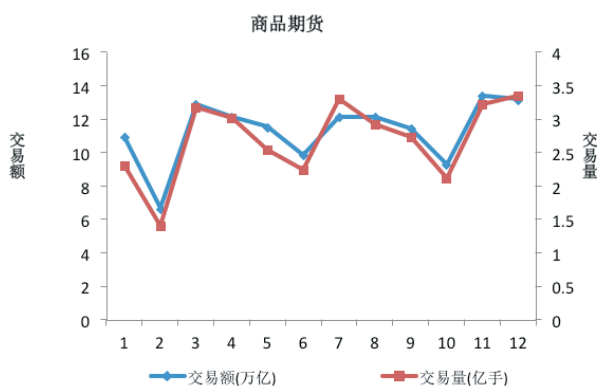


图2-2期货公司商品期货代理交易额及交易量情况

Fig. 2-2: Statistics of Agency Turnover of Commodity Futures in 2015



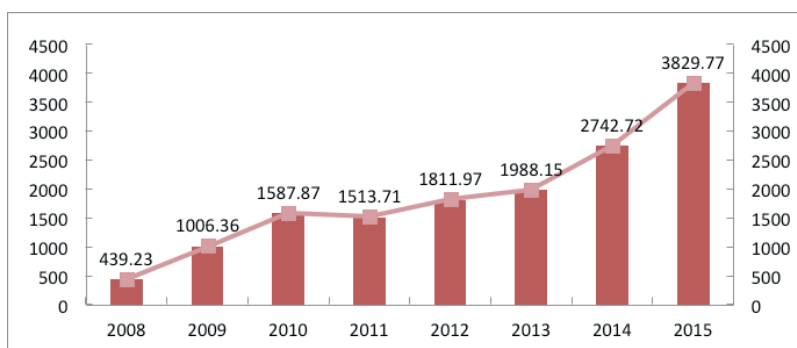
客户权益 Client equity

截至2015年12月末，全国期货公司客户权益总额为3829.77亿元，同比增加39.63%，平均每家公司客户权益为25.53亿元，同比增加39.63%。

As of December 31, 2015, the client equity of Chinese futures companies has reached RMB 382.977 b, a year-on-year increase of 39.63%.

图3 历年年末期货公司客户权益总额变化情况（单位：亿元）

Fig. 3: Client Equity Gross Changes of Previous Years (Unit: 100 Million Yuan)



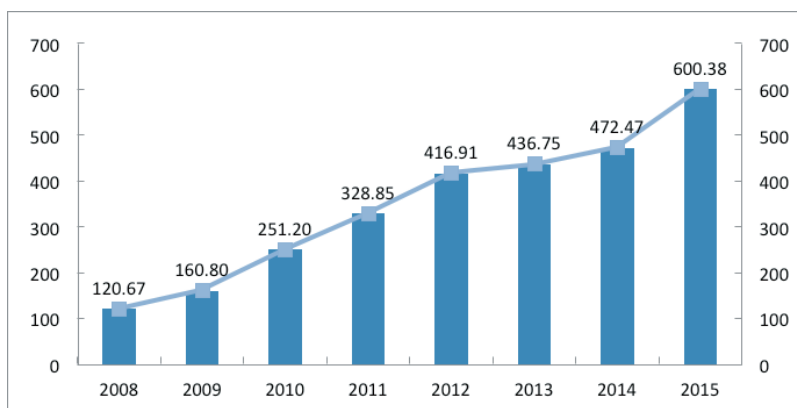
净资本 Net capital

2015年末，期货公司净资本合计为600.38亿元，同比增长27.07%。

The total net capital of futures companies at the end of 2015 reaches RMB 600.38 b, a year-on-year increase of 27.07%.

图4 期货公司净资本情况（单位：亿元）

Fig. 4: Net Capital of Futures Companies (Unit: 100 Million Yuan)



会员情况

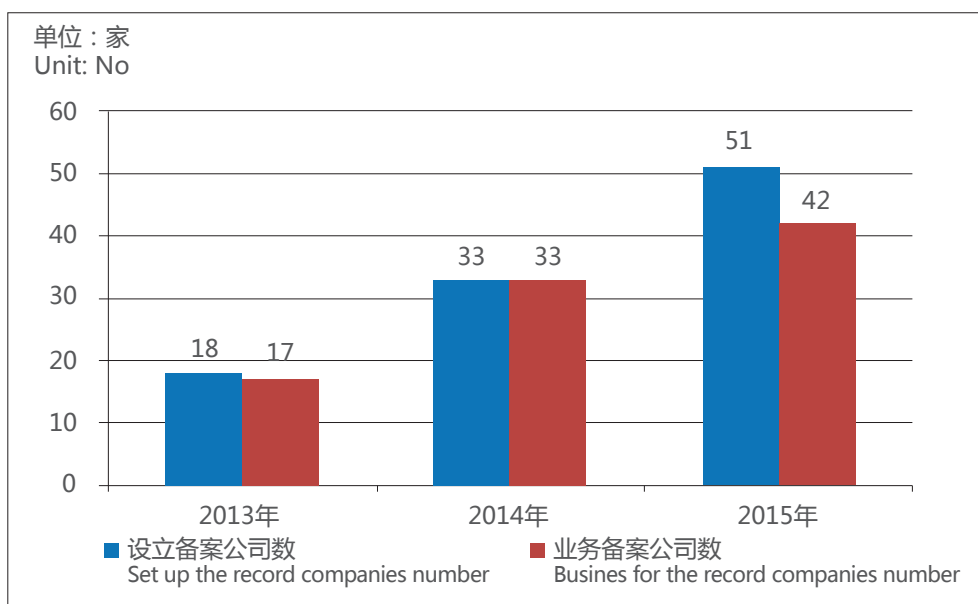
Members Information

5. 2015 年度期货公司风险管理公司经营情况

2015 Futures Companies' Business Condition of Risk Management Companies

截至2015年底，共计50家期货公司完成了风险管理公司业务试点的备案，共设立风险管理公司51家。

50 futures companies in total had completed the pilot for the record of the risk management companies and established a total of 51 risk management companies by the end of 2015.



2013年-2015年度风险管理公司备案情况

2013年-2015 Risk Management Companies for the Record

截至2015年底，51家风险管理公司总资产总额达113.48亿元，同比增长70%；净资产总额达51.21亿元，同比增长42%；注册资本总额66.85亿元，实收资本总额52.17亿元，注册资本同比增长87%；平均资产负债率为55%，总体财务指标正常，公司经营较稳健。

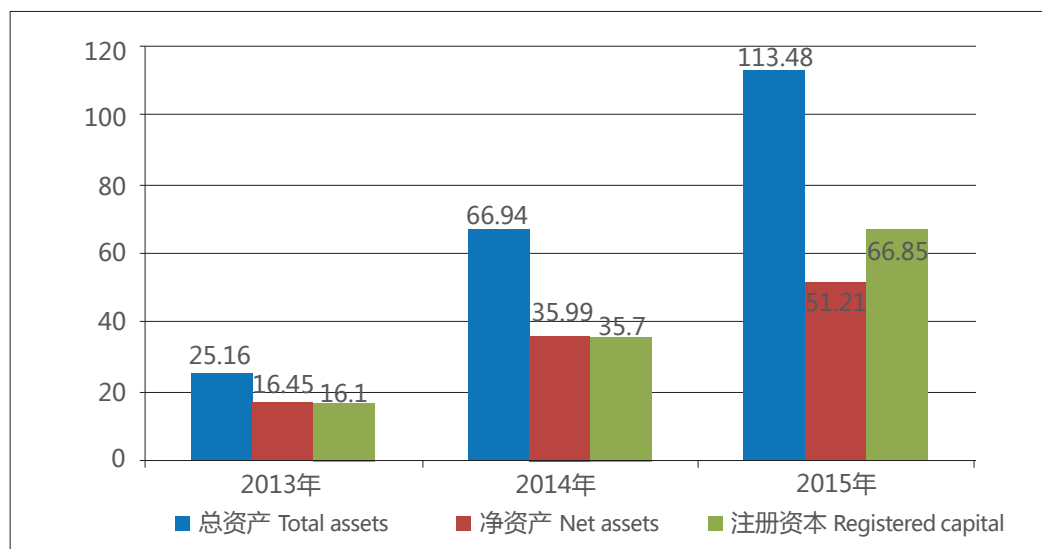
The total amount of total assets of 51 risk management companies had reached 11.348 billion yuan by the end of 2015, and the year-on-year growth was 70%; the total amount of net assets reached 5.121 billion yuan, and the year-on-year growth was 42%; the total amount of registered capital was 6.685 billion yuan, the total amount of paid-in capital was 5.217 billion yuan, and the year-on-year growth of registered capital was 87%; the average asset-liability ratio was 55%, the overall financial indicator was normal, and the companies were operated rather stably.

2013-2015年度风险管理公司财务情况

指标 (亿元)	2013 年	2014 年	2015 年	同比增长 (%)
总资产	25.16	66.94	113.48	69.52%
净资产	16.45	35.99	51.21	42.29%
注册资本	16.10	35.70	66.85	87.25%
实收资本	-	-	52.17	-
业务收入	34.48	197.99	374.66	89.23%
净利润	0.33	0.08	0.49	512.50%

2013-2015 Risk Management Companies' Pecuniary Condition

Indicator (billion yuan)	2013	2014	2015	Year-on-year growth (%)
Total assets	25.16	66.94	113.48	69.52%
Net assets	16.45	35.99	51.21	42.29%
Registered capital	16.10	35.70	66.85	87.25%
Paid-in capital	-	-	52.17	-
Business income	34.48	197.99	374.66	89.23%
Net profits	0.33	0.08	0.49	512.50%



2013年-2015年度风险管理公司资产变化情况

2013-2015 Risk Management Companies' Asset Changing Condition

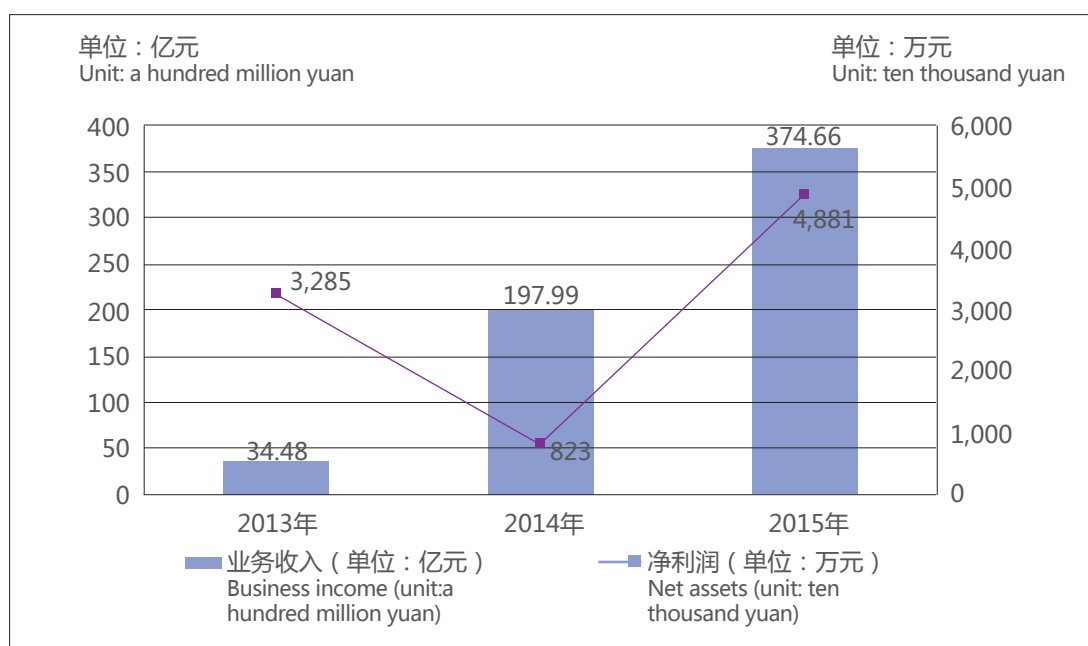
截至2015年底,共有42家风险管理公司开展了业务,全年业务收入总额374.67亿元,同比增长89%,全年净利润总额0.49亿元,同比增长512%。

A total of 42 futures companies had developed business by the end of 2015, the total annual revenue was 37.467 billion yuan, the year-on-year growth was 89%, and the total annual net profits was 49 million yuan, the year-on-year growth was 512%.

会员情况 Members Information

2015年度风险管理公司总体收入及利润

2015 Risk Management Companies' Overall Revenue and Profits



6. 2015 年度期货公司资产管理公司经营情况

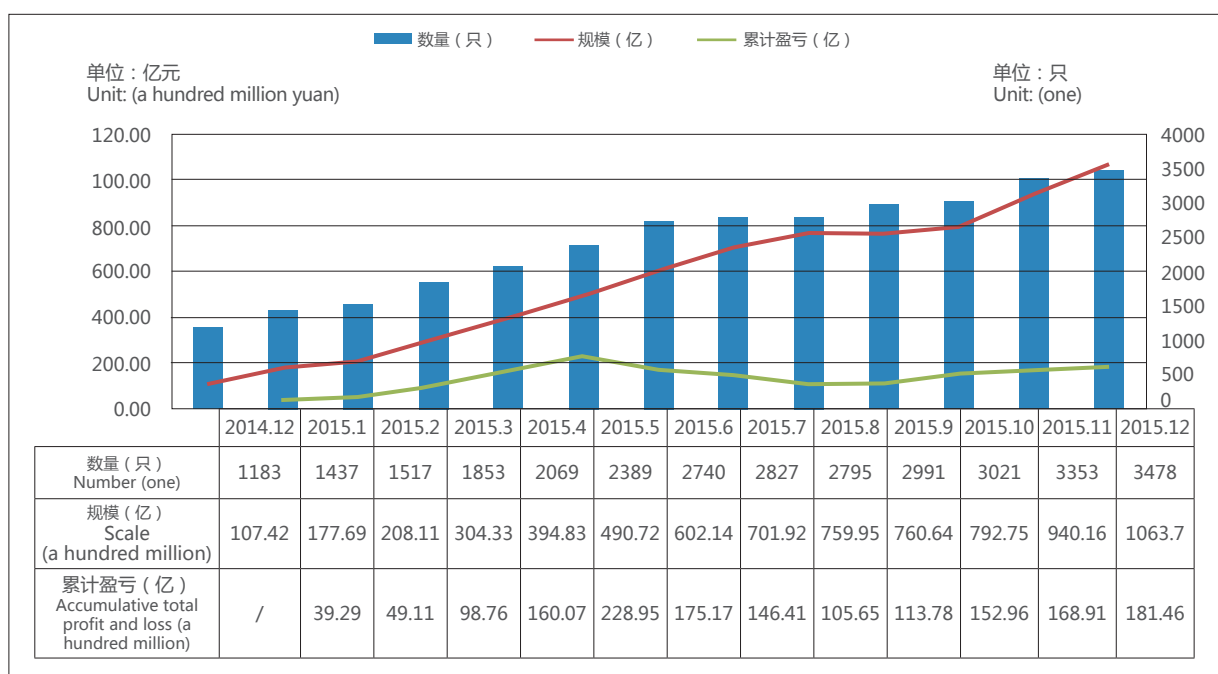
2015 Futures Companies' Operating Condition of Assets Management Companies

截至2015年底，全行业150家公司中的122家已可开展资产管理业务，其中11家以子公司形式开展业务。期货公司及资产管理子公司发行的资产管理产品共计3478只，产品规模合计1063.75亿元，较上年末增长8.9倍。期货公司资产管理业务收入在2015年实现了较大幅度的增长，全年累计业务收入5.45亿元，净利润1.31亿元。

122 in 150 companies in the industry had developed assets management business, among which 11 developed business in the form of subsidiary companies by the end of 2015. A total of 3478 assets management products were issued by the futures companies and the assets management subsidiary companies, and the products scale amounted to 106.375 billion yuan, which increased 8.9 times than the end of last year. The assets management business income of futures companies achieved a significant growth in 2015, the annual cumulative business income was 545 million yuan, and the net profits was 131 million yuan.

2015年期货资产管理业务产品总体情况

2015 Overall Situation of Futures Asset Management Business Products



第二部分

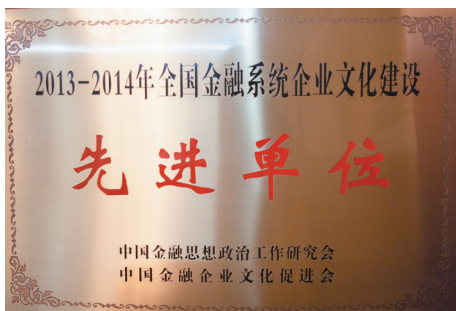
中国期货业协会 2015 年工作总结

Report on CFA Work Summary of 2015



2015 年是我国期货行业创新发展取得较大进展的一年，也是期货行业风险管理能力经受了严峻考验的一年。一年来，在民政部和中国证监会的关心指导下，在全体会员和理事的大力支持下，协会深入贯彻国务院《关于进一步促进资本市场健康发展的若干意见》，积极落实中国证监会《关于进一步推进期货经营机构创新发展的意见》（以下简称《创新意见》），紧紧围绕“一个中心、两条底线”开展了扎实有效的工作。

It was in 2015 that China's futures industry has made great progress in its innovation and development, as well as experienced severe challenges for the risk management capability. Under the guidance of the Ministry of Civil Affairs and the China Securities Regulatory Commission (CSRC), as well as the support of all members and directors, the CFA thoroughly carried out the Some Opinions of the State Council on Promoting Healthy Development of Capital Market issued by the State Council, and actively implemented the Opinions on Further Advancing Innovative Development of Futures Operation Institutions (hereinafter referred to as “the Opinions”) released by the CSRC, and made some achievements closely sticking to “One Focus and Two Base Lines”.



一、围绕提升期货业服务实体经济的能力，深入推进落实《创新意见》，积极推动期货行业创新发展取得新突破

Focusing on the promotion of futures industry serving the real economy, CFA further implemented the Opinions, and actively promoted to make new breakthroughs in the innovative development of futures industry

一是深入推进风险管理业务。2015 年期货风险管理公司试点业务发展较快，合作套保、仓单服务、定价服务、场外期权等典型业务模式初步形成，在为实体企业提供套保方案、加强库存管理、盘活资金等方面提供了较为专业化的风险管理服务。截至 2015 年 12 月底，共有 50 家期货公司设立了 51 家风险管理子公司，总资产达 113.48 亿元，净资产为 51.21 亿元，期货交易持仓保证金总额 4.41 亿元。为支持风险管理业务的开展，协会调研起草了《关于风险管理子公司开立二级账户有关问题的报告》，配合期货市场监控中心修订了《特殊单位客户统一开户业务操作指引》；组织开展了 3 场风险管理业务专题培训，联合期货交易所举办了 2 次全国性的风险管理业务经验交流会；深入 10 余家风险管理公司进行调研，及时了解风险管理业务开展情况和存在的问题，总结形成了“基差贸易”创新贸易模式、“点价中心”服务中小企业、场外期权助力企业库存管理、远大物产和热联中邦业务模式研究等服务实体经济成功案例，并面向证监会、媒体以及行业进行宣传，不断推动风险管理业务深入开展。

First of all, the risk management business has been thoroughly promoted. The pilot program on futures risk management companies rapidly developed in 2015, and some typical business models such as cooperation insurance, warehouse warrant, service pricing and OTC options were initially formed, providing professional risk management services in cooperation insurance scheme, stock management and inventory of funds to entities. By the end of December 2015, 50 futures companies have established 51 risk management branches in total, with gross assets of RMB 11.35 billion, net assets of RMB 5.12 billion, and



maintenance margin of RMB 441 million for futures trading in total. To support the risk management business, the CFA drafted the Report on Issues of Risk Management Subsidiaries Opening Secondary Accounts, and revised the Operational Guideline on Special Entities of Opening Unified Accounts with the Surveillance Center of futures market; organized 3 trainings on risk management business, and held 2 national experience exchange meetings regarding risk management business with futures exchanges; carried out thorough investigation in over 10 risk management companies, and timely mastered the situation and existing problems of risk management, so as to create some successful cases for the services of real economy including the innovative pattern of “basis trade”, “pricing center” services for small and medium-sized enterprises, OTC options for the enterprise inventory management and business model research on Grand Resources Group and Zhejiang Relian Zhongbang Investment Co., Ltd., as well as propagandized relevant contents to the China Securities Regulatory Commission (CSRC), media and industry, and constantly promoted the development of risk management business.

二是积极推动期货资产管理业务。《期货公司资产管理业务管理规则(试行)》发布以来,期货公司资产管理业务稳步发展,主动管理能力有所提高,服务现货企业、机构客户的模式、策略更加多样化,期货市场服务实体经济的广度得到了拓展。截至12月底,共有123家期货公司具备了资产管理业务资格,备案资产管理计划3478只,管理业务规模1063亿元。为推动资产管理业务开展,协会先后发布了《关于〈期货公司资产管理业务管理规则(试行)〉实施相关问题的说明》、《期货公司资产管理业务投资者适当性评估程序》等规则;围绕期货资管产品的登记备案、投资范围、外包业务等开展了深入研究,形成了《期货资产管理业务发展模式研究》、《关于期货公司为资产管理机构提供外包服务工作指引》等研究报告。组织开展了5次资管专题业务培训和3次业务座谈会,组织境外内专家、业内同仁围绕资管业务规则、合规要求、政策解读、产品设计等进行研讨交流。

Secondly, the asset management business has been promoted actively for futures companies. Since the release of the Management Rules of Asset Management Business of Futures Companies (on trial), the asset management of futures companies have steadily developed, and their active management ability have been improved. The services for spot enterprises, patterns and strategies of institutional clients became more diversified, so that the real economy of futures markets was expanded. By the end of December, 123 futures companies have qualified in the asset management business, with 3,661 of asset management plans filed and RMB 103 billion for the management business in total. For the development of asset management business, the CFA successively released the Statement on Implementation Issues of “Management Rules of Asset Management Business of Futures Companies (on trial)” and Assessment Procedures on Feasibility of Investors for Asset Management Business of Futures Company; etc.; carries out further research on the registration and records, investment scope and

outsourcing business of asset management products of futures, so as to form the reports inclusive of Research on Development Pattern of Futures Asset Management Business and Guideline of Futures Company Providing Outsourcing Services to Asset Management Institution. The CFA held five professional trainings regarding asset management and three business forums, aiming to discuss and exchange the business rules, compliance requirements, policy interpretation and product design of asset management with domestic and foreign experts, as well as industry specialists.

三是稳步推进场外衍生品业务。为满足实体企业多样化、差异化的风险管理需求，协会以推动风险管理公司场外衍生品业务为契机，积极探索建立场外衍生品业务发展的规则、路径和模式，为场外衍生品业务的开展提供制度保障和服务支持。为此，协会与证券、基金业协会联合发布了《中国证券期货市场场外衍生品交易商品定义文件（2015年版）》以及场外商品远期、互换、期权等交易确认书，进一步完善了主协议文件；研究起草了《期货经营机构场外衍生品业务规则》，开展了场外经纪业务和场外清算业务研究，研究场外衍生品业务开展过程中涉及的税收和商品价格源问题，形成了对欧盟、美国等国场外衍生品市场运作和监管的系列研究报告；支持期货公司自主发起设立中期联场外衍生品股份有限公司，建立机构间衍生品交易平台。

Thirdly, the OTC derivatives business has been promoted steadily. In order to meet the diversity and differentiation of risk management for entities, the CFA, seizing the chance of promoting the OTC derivatives business of risk management companies, actively explored to build the rules, path and mode for the development, so as to offer the system guarantee and service support for the development of OTC derivatives business. To this end, the CFA jointly issued the Definition File on Trading Commodity of OTC Derivatives in China Securities and Futures Market (2015) and confirmations on forward transactions, exchanges and share options of OTC products with the securities and fund industry associations, to further improve the master agreement documents; it drafted the Business Rules on OTC Derivatives of Futures Institutions, completed the research on OTC brokerage business and clearing business, and studied the tax and commodity price in the process of OTC derivatives business, so as to work out a series of research reports on the market operation and supervision of OTC derivatives in EU and USA; encouraged the futures companies to autonomously establish OTC derivatives corporations, as well as the trading platform for OTC derivatives.

四是探索推动跨境跨市业务。当前，我国实体经济面临的风险是跨市场、跨区域和全球化的，这要求期货业提供的风险管理服务也必须考虑跨界跨境等更加复杂的因素。为提升期货经营机构跨市场服务能力，协会制定发布了《关于做好期货公司及其资管产品进入银行间债券市场备案工作的通知》，积极推动期货公司进入黄金市场、外汇市场以及其他合法大宗商品市场，加强与中证机构间私募产品报价与服务系统的沟通支持，积极推进期货公司开展期

权做市、新三板做市等业务，完成了《期货公司开展托管业务的建议》的起草工作，研究制定了《期货公司提供中间介绍业务规则》、《期货公司代销金融产品业务规则》；目前，期货公司已可以进入银行间债券市场、机构间市场开展相关业务，期货公司外包业务、中间介绍业务、代销金融产品等规则也基本明确。积极推进期货经营机构跨境服务能力，组织召开了“期货行业与‘一带一路’战略研讨会”，积极参与资本市场支持上海自贸区发展的相关政策研究，起草制定原油期货相关政策与合同指引，组织国际业务委员会研究起草了《关于建议扩大开放 QFII、RQFII 参与境内期货交易的报告》和《关于期货公司申请参与 QDII 业务的建议报告》。

Fourthly, explore and promote the business across cities and countries. Currently, China's entity economy is facing the risks across markets, regions and even countries, indicating that more complex factors have to be considered for the risk management services by the futures industry. To improve the cross-market service capabilities of futures business institutions, the CFA issued the Notice on Filing of Futures Company and Asset Management Products in the Inter-bank Bond Market, and actively pushed the futures companies to enter the gold market, foreign exchange market and other legal commodity markets, and enhanced the ties with inter-agency quotation of China securities and service system for private placement products, and actively promoted the futures companies to carry out the business concerning options market and “New Third Board” market, etc., as well as drafted the Suggestion on Custody Business for Futures Company and formulated the Business Rules of Futures Company Providing Intermediary and Business Rules of Futures Company Selling Financial Products; currently, the futures companies may carry out relevant business in inter-bank bond market and inter-agency market, for the rules regarding outsourcing business, intermediary business and selling financial products have been fundamentally specified. Actively improved the cross-border service of futures business institutions, and held the “Strategy Seminar on Futures Industry & One Belt and One Road”, and participated in the policy research on development of Shanghai Free Trade Zone supported by the capital market, and drafted relevant policies and contract guidelines for crude oil futures, and organized the International Business Committee to draft the Proposal Report on Opening the Participation of QFII and RQFII in Domestic Futures Trading and Proposal Report for Application of Futures Company on Participation in QDII Business.

五是研究推进期货业“互联网+”行动。为贯彻落实《国务院关于积极推进“互联网+”行动的指导意见》，协会启动了期货业“互联网+”行动，探索通过互联网、物联网、大数据等新技术重构期货经营机构业务模式，为实体经济特别是中小企业提供更加便捷、高效和针对性的风险管理服务。为推动相关业务，协会成立了互联网金融专业委员会，研究提出了《期货“互联网+”行动计划》（草稿），围绕互联网与期货经纪业务、投资咨询业务、资产管理业务、场外业务等领域的融合开展了八项重点课题，取得了初步研究成果。



同时，制定发布了《期货公司互联网开户规则》及相关操作指南，正式启动了期货网上开户，截至 12 月底，共有 145 家期货公司上线互联网开户系统，申请网上开户超过了 11 万人，其中 9.5 万人开户成功。

Fifthly, to explore the “Internet plus” action for the futures industry. To implement the Guidance of the State Council on Promotion of “Internet Plus” Action, the CFA launched the “Internet Plus” of futures industry, and explored to reconstruct the business modes for futures institutions through new technologies including the Internet, Internet of Things and big data, etc., so as to provide the real economy, especially small and medium-sized enterprises, with more convenient, efficient and targeted risk management services. To promote related business, the CFA established the Internet Finance Committee, and put forward the Action Plan on “Internet Plus” of Futures Industry (Draft), and achieved preliminary research results in eight key topics on the combination between the Internet and the futures brokerage and investment consulting business, asset management and OTC business. At the same time, it released the Rules on Internet Account Opening of Futures Company and related operational guidelines, and then officially launched the futures online account. As of the end of December, a total of 145 futures companies have logged in the online account system, with above 110,000 people applying for online accounts, of which 95,000 have made it.

六是积极推动期货、现货行业的交流合作。期货业要服务好实体经济，一方面需要提升自身能力，及时了解和满足实体经济的需求，另一方面需要实体企业正确认识期货、有效利用期货。为此，协会领导牵头开展了“期货市场服务实体经济”专题调研，在实地调研 20 多家实体企业、期货公司和风险



管理公司以及开展问卷调查的基础上，系统梳理了当前期货市场服务实体经济中存在的问题，研究提出了针对性的政策建议。开展了“期货服务上市公司”专项活动，在四川、河北、厦门等地开展了 3 期 400 余人参加的系列培训。举办了首期期货公司期现结合（锡）业务培训。

Sixthly, actively promote the exchange and cooperation between futures and spot industries. For the satisfied service to the real economy, on the one hand, the futures industry needs to enhance its own ability and timely learn and meet the demands of real economy; on the other hand, it is necessary to be aware and take full advantage of futures by the entities. Therefore, the CFA led to do the research on the “futures market service to entity economy”, to systematically comb the problems in the current futures market and real economy on the basis of field research of over 20 entities, futures companies and risk management companies, as well as the questionnaire survey, and then put forward corresponding policy recommendations. It carried out the special activities on futures services for listed companies, and three-session series training for more than 400 participants in Sichuan, Hebei and Xiamen, etc. It held the first professional training on combination of futures and spot business of futures companies.

七是支持期货公司充实资本。期货公司资本实力不强是制约其服务实体经济能力的重要因素。2015 年，协会制定发布了《期货公司资本补充指引》和《期货公司次级债管理规则》，研究起草了期货公司发行收益凭证、短期融资券等相关规则。加强对期货公司发行上市问题的研究，与股转系统合作举办了新三板系列专题培训，共同推进期货公司在新三板上市融资。积极推动期货公司制定资本补充规划，定期跟踪了解行业资本补充情况。截至 12 月底，共有 63 家期货公司有资本补充计划，其中计划境内公开发行 3 家，计划 H 股发行 2 家（2 家均已完成），计划新三板挂牌 28 家（5 家已挂牌）。

Seventhly, encourage the futures companies to enrich capital. The capital power of futures companies is an important factor restricting the ability to serve the real economy. In 2015, the CFA developed and issued the Supplementary Guidance on Capital of Futures Company and Management Rules on Subordinated Debt of Futures Company, and drafted relevant rules concerning the futures company issuing income receipts and short-term financing bonds. It studied the problems on issues and listing of futures companies, and jointly held a series of training on the “Three New Board” with the Agency Share Transfer System, to push the listing financing of futures companies in the “Three New Board”. It actively encouraged the futures companies to develop the supplementary scheme for capital, and regularly traced the industry's capital supplement. As of the end of December, 63 futures companies have had the supplementary schemes for capital, in which 3 plan to issue at home, and 2 have completed the issue in H shares, and 28 intend to list in the “Three New Board” (5 completed).

八是认真评估期货创新业务。根据证监会统一部署，协会年初牵头落实的创新任务共 14 项，在落实过程中新增 6 项，调整 4 项。截至 12 月底，已完成相关工作 10 项，正在推进的工作有 6 项。期间，为鼓励期货经营机构加大自主创新力度，研究制定了《期货经营机构创新支持办法》，拟采取备案制形式推动期货经营机构探索新业务、新模式。为总结期货业创新发展的成果以及存在的问题，协会正在对一些创新业务开展的思路、方式方法和效果以及协会自身推动落实《创新意见》的情况进行深入评估。

Eighthly, assess the innovative services in futures industry. According to the CSRC unified deployment, the CFA led to implement 14 innovative projects in total at the beginning of this year, with extra 6 added during the implementation and 4 adjusted. By the end of December, it has completed 10 related work and 6 under implementation. In order to encourage the self-innovation of futures institutions, the CFA worked out the Supporting Measures on Innovation of Futures Institutions, and intended to take the filing system to promote the futures companies to explore new business and patterns. To summarize the achievements on the innovation and development of futures industry, as well as the existing problems, the CFA is further evaluating the ideas, methods and effect of innovative business, as well as the implementation of the Opinions by itself.



二、围绕防范系统性区域性风险，进一步加强和改进自律管理，积极维护期货行业的健康稳定运行

Focused on the systematic and regional risk prevention, further improved the self-discipline management, and actively maintained the healthy and stable operation of futures industry.

一是开展了自律规则的废改立。根据国务院行政体制改革和证监会推进监管转型的有关要求，协会对登记备案报告事项进行了全面清理，取消了合同审查、从业人员管理等 9 件事项。根据行业自律和业务创新的需要，发布实施了资本补充指引、次级债指引、互联网开户规则等 8 件自律规则，即将发布诚信评估指标、协助打击非法期货指引和自律规则制定办法 3 件自律规则，联合证券、基金业协会共同制定了《证券期货经营机构防范利益输送指引》。基本完成了原油期货配套合同指引、程序化交易、外部接入系统评估、居间人管理、中介介绍业务、代销金融产品、信息技术外包、投资者适当性、风险管理公司内部控制指引、信息技术指引等 10 多件自律规则的起草、修订和征求意见工作，将择机提请理事会审议。

To begin with, the CFA organize to adjust the self-discipline rules. According to the administrative system reform of the State Council and relevant requirements for regulatory transformation by CSRC, the CFA comprehensively handled the matters on registration and filing, and canceled 9 items such as the contract review and personnel management, etc. In accordance with the demands of industry self-regulation and business innovation, it issued and implemented 8 rules of self-regulation including the guideline of capital supplement, guide to subordinated debt and rules on opening online accounts, and is going to release another 3 rules inclusive of the credit assessment indicators, guidance of assisting to combat illegal futures and development method of self-regulation rules, as well as jointly formulated the Guideline for Securities and Futures Business Institutions against Tunneling and Propping with the securities and fund industry associations. It basically completed the drafting, revision and consultation regarding over 10 self-regulation rules including the supporting contract guidelines to crude oil futures, program trading, external evaluation system, intermediary management, agency business, sales of financial products, IT outsourcing, adequacy of investors, internal control guidelines for risk management companies and IT guidelines, etc., and will submit to the Board for deliberation.

二是积极应对市场异常波动。今年股票市场的异常波动，给期货市场、期货经营机构的稳定运行和风险管理带来了重大考验，也暴露出了期货行业在创新发展中存在的一些问题。围绕新情况、新问题、新风险，协会及时反应、积极应对，加强了对期货经营机构业务情况的日常监测，及时统计分析和报送各类业务数据，为监管决策提供支持。及时提醒会员单位关注跨市场风险，督促会员采取有效措施贯彻落实监管部门、交易所发布的相关救市措施，维护资本市场发展大局。组织召开座谈会，听取部分会员单位对于“稳定市场、修复市场、建设市场”的意见建议，围绕股市异常波动进行深入反思，研究提出意见建议。组织开展了股票做空机制与股指期货交易对比研究、程序化交易研究，翻译了境外相关监管规则，并通过《情况反映》等形式及时报送监管部门。配合证监会对程序化交易和场外配资清理工作，对 13 家风险管理公司场外配资及分账户系统使用情况进行了现场检查，对业内 6 家从事资产管理软件开发的软件供应商进行了走访，并向证监会提交了相关报告。



Secondly, actively responded to the market fluctuations. During this year, the abnormal fluctuations in the stock market brought a great challenge to the futures market, its stable operation and risk management, as well as exposed some problems on the development of innovation in the futures industry. The CFA timely responded to the new situation, problems and risks, and strengthened the daily supervision on the business situation of futures institutions, and timely analyzed and reported various types of business data to provide support for regulatory decisions. It reminded the members of concerning the cross-market risk in time, and urged them to take effective measures to implement relevant remedial measure issued by regulatory authorities and the exchanges, so as to maintain the overall development of capital market. The CFA held forums to take advice from some members on market stabilization, recovery and construction and accordingly put forward some suggestions based in the reflection of abnormal fluctuations of stock market. It carried out the comparative study between stock short mechanism and stock index futures exchange, as well as the program trading research, and translated related overseas regulatory rules, and timely reported to regulatory authorities in writing. It cooperated with the CSRC on the cleaning work of procedures of the transaction and the allocation of funds, conduct on-site inspections on the 13 Risk Management companies about the use of the funds and sub account system, visited 6 companies engaged in developing asset management software, and submitted related reports to the Securities Regulatory Commission.

三是初步建立事中事后自律管理机制。配合证监会 FISS 系统的改造，加强了对期货公司核心业务数据的需求分析、统计口径以及报送系统的梳理整合，将风险管理、资产管理业务纳入 FISS 系统统一监测。组织 150 家期货公司及其子公司和 76 家证券公司（开展 IB 业务）进行了自查，对 33 家期货公司和 13 家风险管理公司进行了现场检查，重点检查了期货从业人员管理、风险管理业务和资产管理业务合规风控情况。根据证监会统一部署，组织开展了期货公司“两加强、两遏制”专项整治活动。研究制定《期货公司诚信评估方案》及指标体系，强化诚信约束机制。开展了《期货公司信息技术指引》第七次评级检查，对 51 家期货公司进行了检查、抽查和评审。及时对期货公司、从业人员和风险管理公司进行自律处理，目前已完成 23 起违规案件处理，涉及 10 家公司、16 名从业人员。完善自律协作机制，制定发布了《关于建立全国期货行业自律协作机制的若干意见》，着力建设涵盖全国和地方行业自律组织的互通、互动、全面的业务协作新机制。与证监会机构部、期货部、各交易所、监控中心、基金业协会等单位密切协作，建立了多方风险联动机制，定期进行协调沟通。

Thirdly, preliminarily establish the ex-post self-discipline management mechanism. It jointly transformed the FISS system with the CSRC, strengthened core business data analysis about the demand of futures companies, combined statistic scope and reporting system, brought risk management and asset management business into the FISS unified monitoring system. It organized 150 futures companies, their subsidiaries and 76 securities companies (engaging in IB business) to conduct self-examination, completed on-site inspection to 33 futures companies and 13 risk management companies, especially the personnel management, compliance risk control of risk management and asset management business. Carried out the special rectification activities on “enhancement and containment” according to the CSRC unified deployment. It also drew up the Evaluation Scheme on Credibility of Futures Company and index system, to strengthen the constraint mechanism of honesty and credit. The CFA carried out the seventh rating check regarding the Guidelines on Futures Company IT, and 51 futures companies were examined, spot checked or reviewed. It implemented the self-regulation among the futures companies, employees and risk management companies; 23 illegal cases have been solved so far, in which 10 companies and 16 employees involved. It improved the self-regulation cooperation mechanism, drew up and released the Some Opinions on Establishment of self-regulation Cooperation Mechanism for Futures Industry, and focused on building a new mechanism of mutual and comprehensive business collaboration among national and local industry. Closely collaborated with the CSRC agencies, Future Goods Department, the exchanges, Surveillance Center, the fund industry associations and other units, established a multilateral risk linkage mechanism, and coordinated and communicated on a regular basis.

三、围绕保护投资者合法权益，创新投资者教育方式，进一步完善投资者保护的制度机制

On the protection of the legitimate rights and interests of investors, innovative investor education, further improved the institutional mechanisms for investor protection.

一是抓好投资者保护的制度建设。配合参与了证监会《证券期货市场投资者适当性管理办法》、《证券期货投资者投诉处理办法》、《证券期货纠纷调解指导意见》、《证券期货投资者教育指导意见》等四个办法的起草工作；研究开展了《期货经营机构投资者适当性管理规则》、《期货行业协助打击非法期货活动工作指引》等自律规则的起草工作，为投资者保护提供制度保障。受理投资者咨询投诉，截至 12 月底，共接受并处理 128 件咨询、投诉，移交 5 件进入调解和纪律惩戒程序，书面答复 14 起“12386”投资者热线问题。

First of all, did a good job of the system construction of investor protection. Participated in the CSRC drafting of four measures: the Measures for Administration on Suitability of Investors in Securities and Futures Markets, Measures for Handling Complaints of Securities and Futures Investors, Guidance on Dispute Resolution of Securities and Futures and Guidance on Education of Securities and Futures Investor; and drafted the self-regulation rules such as Rules on Administration of Suitability of Investors in Futures Business Institutions and Guidance on Futures Industry Assisting to Fight against Illegal Futures Activities, which provided institutional guarantee for the protection of investors. By the end of December, dealt with the consultations and complaints of investors, including 128 cases of consultations and complaints, in which 5 were transferred into the mediation and disciplinary procedures, and 14 cases on “12386” the investor hotline were answered in writing.

二是落实“公平在身边”专项活动。发布了期货行业“公平在身边”投资者保护专项活动工作方案，推动会员单位广泛开展宣传。制定防范和打击非法期货活动工作方案，招标开发了期货行业非法活动监测系统。针对行业投教岗位人员开展了投资者保护系列视频课程。与电视台合作推出“一财搜”投资者教育系列视频短片。

Secondly, implement the special events of “fairness accompanying with you”. The CFA released the activity plan on investor protection of “fairness accompanying with you” in futures industry, and promoted members to conduct the widespread publicity. It formulated work program against illegal futures activities, and developed the monitoring system on illegal activities of futures industry by bid inviting. It also

carried out a series of video courses regarding investor protection, and jointly launched the educational series of video clips on investors.

三是加强投教平台建设。以申请首批证监会（国家级）互联网投教基地为契机，开发建设了互联网投教平台——期货投教网，该网站由 4 个主频道、近 50 个栏目组成，融资讯发布、在线答疑、互动交流、信息



查询等多项功能于一体，是协会专门开展投资者教育工作的独立网站。开发移动端投教平台，建立微课堂等投资者学习平台；创建“火眼辨非法”、“投教也精彩”、“微板报”、“新书连载”等投教栏目，微信订阅数达到2.7万人。

Thirdly, strengthened the construction of investment and education platform. Seizing the opportunity of applying for the first CSRC (National) Internet investment and education base, the CFA developed the Internet investment education platform - futures investment education website, which was comprised of 4 main channels and 50 programs, integrating a lot of functions such as the news release, online Q&A, interaction and information inquiry. It was the independent website for the CFA carry out the investor education and communication. It also developed mobile investment education platform, and established learning platform of small class for investors; created investment educational programs inclusive of “Quick Judge Illegal Activities”, “Wonderful Investment Education”, “Micro Newspaper” and “Serial Books”, and people of WeChat subscriptions reached 27,000.

四是创新投教工作机制。整合行业资源，成立“期媒投教联盟”，建立起协会投教部、投教基金、投教委员会、期媒投教联盟“四位一体”的行业投教协作机制。引入“互联网+”思维，探索利用新媒体开展投资者教育的方式方法：组织开展了36期、约11万人次参加的“撑合法期货保护伞、做合格期货投资人”微信答题活动；开发了寓教于乐的“奔跑吧！期货人”微信游戏；通过手机“朋友圈”，开展有关风险认知及风险承受能力的问卷调查活动；与专业APP平台合作，开展了近18万人参加的公益健步走活动。

Fourthly, brought forth new ideas in the mechanism of investment and education. The CFA integrated the industry resources, set up the “alliance of futures media and investment education”, as well as the cooperation mechanism of quaternarity including the IE Department, IE Fund, IE Committee and Futures Media and IE Alliance. It also introduced the thought of “Internet Plus”, explored the ways of carrying out the investor education by new media: organizing the 36 activities of WeChat Quiz with topic of “protected by legal futures and to be qualified futures investors” and almost 110,000 participants; developed the edutainment “Running Man”! And carried out the WeChat game of futures personnel and questionnaire on risk perception and risk tolerance by the “Circle of Friends”; cooperated with professional APP platform to hold the public hiking activity with nearly 180,000 participants.



四、围绕优化期货业改革发展的内外部环境，进一步加强研究规划和培训交流，切实提升服务会员和行业发展的水平

Based on the optimization of internal and external environment of futures industry reform and development, further reinforce research and planning as well as training and communication, to promote levels of membership service and industry development.



一是积极配合推动期货立法工作。积极配合参与和推动《期货法》立法进程，组织开展了期货经营机构、行业自律组织、期货纠纷处理等专题课题研究工作，为期货立法提供建议。今年5月份，全国人大财经委尹中卿副主任到协会调研期货法相关问题，深入听取了协会对期货法立法中关于自律组织地位、期货经营机构业务范围等方面提出的意见建议。



First, initiatively coordinate with and promote the futures legislation work. Positively coordinate with, participate in and promote legislative process of Futures Law, carry out monographic research work on futures operation agency, industry self-regulation organization, futures dispute processing, etc., to provide suggestions for futures legislation. In May of this year, the Deputy Director of Financial and Economic Committee of National People's Congress Yin Zhongqing investigated and studied relevant problems about futures law at the association, and listened to opinions and suggestions on matters of futures legislation, such as the status of self-regulation organization and business scope of futures operation agency.

二是研究拟定期货业发展规划。根据期货业创新发展新形势，协会在深入调研的基础上，研究制定了《中国期货业发展规划纲要（2016—2020）》，并根据国家《十三五规划纲要》进行了修改完善。加强对行业创新发展的前瞻性研究，完成了“第十期中期协联合研究计划”9个重点课题的研究工作，内容涉及农业保险利用期货及衍生品市场对冲风险、风险管理公司经营风险、交易商制度、主经纪商制度等，为期货公司创新发展和服务实体经济提供理论支持。

Second, study and propose futures industry development plan. According to new situation of futures innovation and development, CFA has studied and formulated Outline of the China's Program for Futures Industry (2016 - 2020),

and based on thorough investigation, modified and improved it according to 13th Five-Year Plan. Reinforce prospective study on industry innovation and development, and complete research work of 9 priority subjects of “The 10th Medium-term Joint Research Project of CFA”, including hedging of futures utilized in agricultural insurance and derivative market, operational risk of risk management companies, dealer’s system, main brokers’ system, etc., to provide theoretical support for innovation and development of futures companies and services to real economy.

三是大力培养各类专业人才。发布施行了《期货行业人才培养战略规划》，进一步明确了未来一段时期期货行业人才培养的目标、路径和方式。围绕新产品和新业务，与境内外交易所、专业培训机构等加强合作，组织开展了 44 期专题培训，参训学员 14654 人次；组织 1100 多名高管参加了 8 期现场培训；开设了“创新大讲堂”系列课程，围绕创新业务开展了 36 期专题培训，参训学员 1300 多人。进一步丰富网络培训平台，新推出了 15 门在线课程、3 期黑板报，累计课程量达到了 175 门，年内累计完成 110148 人次、145385 学时的培训。加强后备人才培养，改革从业人员考试制度，制定了题库公开方案，修订了考试教材，增设了考点考场，推进了预约考试制度；联合中金所开展了第三届高校大学生金融及衍生品知识竞赛，进一步吸引高校学生研究和投身期货行业。截至 12 月 31 日，全国期货从业人员共计 50068 人，其中期货公司从业人员 29330 人，证券公司 IB 人员 20738 名。

Third, devote to cultivation of various talents. Futures Industry Talent Cultivation Strategic Plan was promulgated and implemented, to further define the objective, paths and ways of futures industry talent cultivation during a period in the future. Focusing on new products and business, CFA strengthened the cooperation with domestic and foreign exchanges and professional training institutions, organized 44 sessions of monographic training, with the participating trainees of 14,654 person-times; organized more than 1,100 senior executives to participate in 8 sessions of on-the-job training; offered courses of “innovation lecture hall” series, and carried out 36 sessions of monographic training focusing on innovation business, with more than 1,300 participating trainees. CFA further enriched network training platform, newly offered 15 online courses and 3 sessions of micro blackboard newspapers, with the cumulative number of courses reaching 175, and completed training of 110,148 person-times and 145,385 hours in total this year. CFA

reinforced reserve talents cultivation and reformed the practitioners examination system, and formulated a question bank publication scheme, revised examination textbooks, added exam sites, and promoted pre-registration examination system; cooperated with China Financial Futures Exchange to carry out the third College Students Financial and Derivatives Knowledge Contest, with the purpose of further attracting college students to study and join in futures industry. By the end 2015, the total number of futures practitioners in China was 50,068, including 29,330 in futures companies, and 20,738 in security IB institutions.



四是持续推进行业信息技术建设。牵头证券、基金协会共同开展行业“三商”自律管理有关工作，研究制定了《中国期货业协会“三商”会员自律公约》、《期货行业“三商”服务质量评价工作办法》和《期货行业“三商”服务质量评价指标体系》，组织开展了试评工作。牵头主办了第五届证券期货科技奖励工作，已完成项目初审。初步完成了《期货公司信息技术管理指引》、《期货公司网上期货信息系统技术指引》等自律规则的修订工作。

Fourth, continuously promote industry information technology construction. CFA led securities association and funds association to initiate the “three-businesses” self-regulation work of the industry, successfully studied and formulated Self-regulation Convention of China Futures Association “Three-businesses” Members, Evaluation Method of Futures Industry “Three-businesses” Service Quality and Evaluation Indicator System of Futures Industry “Three-businesses” Service Quality, and carried out trial evaluation. CFA hosted the fifth reward for securities and futures technology, and completed initial review of the project. Revision work of self-regulation rules, such as Management Guidance for Futures Company Information Technology, Technical Guidance for Futures Company Online Futures Information System has been completed preliminarily.

五是广泛开展行业交流与宣传。主办了 2015 中国（杭州）财富管理论坛、第 9 届中国期货分析师论坛以及第 11 届中国（深圳）国际期货大会，推动境内外、业内外同仁围绕创新业务和行业热点开展深入的研讨交流。推动国际交流，先后和澳大利亚证券交易所、迪拜黄金及商品交易所、国际掉期与衍生工具协会签署了合作备忘录，目前协会已和全球 12 个国际组织签署了合作备忘录；协助会员参加了中德衍生品市场研讨会、港交所举办的“走进 LME”活动，向国际同行介绍行业及协会情况。加强行业宣传，编辑出版了《中国期货》、《中国期货业年度发展报告》和《中国期货业创新发展与风险管理研究》。

Fifth, widely carry out industry communication and publicity. CFA hosted 2015 China (Hangzhou) Fortune Management Forum, the 9th China Derivatives Analyst Forum and the 11th China (Shenzhen) International Derivatives Forum, to promote in-depth discussion and communication between colleges home and abroad and inside and outside the industry

around innovation business and industrial hot spots. CFA promoted international communication, signed Memorandums of Understanding (MOU) with over 12 international organizations at present, including Australian Securities Exchange (ASX), Dubai Gold & Commodities Exchange (DGCX) and International Swaps and Derivatives Association (ISDA); and help its members participate in Sino-German



五、围绕落实监管转型要求，进一步加强会员管理和自身建设，优化协会工作机制和作风建设

Focusing on implementation of regulation transition requirements, further reinforce member management and self-building, optimize association working mechanism and working style construction

Derivatives Market Seminar and “Approaching LME” activity held by Hong Kong Exchange and Clearing Limited, to introduce industrial and association information to international counterparts. CFA reinforced industry publicity, edited and published China Futures, China Futures Industry Annual Report and China Futures Development Innovation and Risk Management Research.

一是拓展了会员范围。为适应行业交叉持牌、融合发展的新形势，协会进一步加大了会员发展力度。截至 12 月底，协会共有会员 348 家，其中普通会员 278 家，特别会员 5 家，联系会员 65 家，会员类别涵盖了期货公司、证券公司、风险管理公司、期货私募基金、保证金存管银行、市场组织者、相关监管机构、信息技术“三商”及其他服务机构。修订了《会员管理办法》，进一步完善了会员入会制度。针对不同类型会员的特征与需求，进一步研究提升会员服务的针对性。

First, the scope of members was expanded. In order to adapt the new situation of industry cross licensed and integrative development, CFA further intensified the efforts on membership development. By the end of December, CFA had 348 members, including 278 common members, 5 special members and 65 affiliated members, with member types covering futures companies, securities companies, risk management companies, futures private-offered funds, margin depository banks, market organizers, relevant regulation authorities, information technology “three-businesses” and other service agencies. CFA revised Membership Management Measures, and further improved the enrollment system, and aiming at characteristics and demands of different types of members, further study and improve the relevancy of membership service.

二是完善了工作机制。去年以来，协会先后成立了 13 个专业委员会，今年又成立了互联网金融委员会，在调动行业力量、发挥专家智慧方面取得了初步成效。2015 年，14 个专业委员会共召开工作会议 30 余次，承担和参与了协会多项自律规则、业务指引的起草和制定工作，为推动行业创新和自律管理作出了积极贡献。

Second, working mechanism was improved. Since last year, CFA has set up 13 specialized committees, and set up Internet Financial Commission this year, and achieved initial success in mobilizing industry power and applying specialists’ wisdom. In 2015, 14 specialized committees held more than 30 working meetings, undertook and participated in drafting and formulation of various self-regulation rules and business guidance of CFA, actively contributed to promoting industry innovation and self-regulation.

三是加强了内部建设。重点围绕落实“三严三实”和“两个责任”，切实加强学习研讨、制度建设、队伍建设和廉政建设。加强学习研讨，全年开展党委中心组学习 19 次，专题理论研讨 4 次，纪委专题学习 12 次，深

入学习了《中国共产党廉洁自律准则》和《中国共产党纪律处分条例》，围绕服务实体经济、创新业务的自律管理、投资者保护、从业人员管理四个专题开展了深入的调查研究，取得了一批制度成果和实践成果。完善内部制度，围绕落实中央八项规定精神，制定发布了《关于贯彻落实“三重一大”决策机制的实施办法》，评估修订了党委会议事规则、会长办公会议事规则，制定修订了人事、财务、采购、行政等相关制度 28 项，进一步规范内部权力运行机制。加强组织和队伍建设，成立了团委，改选了工会主席和委员，招聘补充了 5 名新员工，开展了 40 余期内部培训，建立了后备干部制度，选拔培养后备干部 12 名。强化廉政建设，制定了协会班子和中层干部的党风廉政建设主体责任清单，组织签署了廉政责任书；开展了党委书记讲党课活动，制定实施了《廉政谈话制度》，党委书记集体约谈了中层干部，与新提拔干部、后备干部等开展廉政谈话 30 余人次；开展了“两个加强、两个遏制”内部自查，聘请会计师事务所对协会“三重一大”事项进行了全面评估，主动邀请证监会会计部对财务制度及执行情况进行了专项审计，对全体班子成员进行了廉政评议，排查了员工开立期货账户情况，核查了部分党员领导干部个人报告事项填报情况。

Third, we enhanced the internal construction by strengthening the learning and research, system construction, team construction and the cultivation of clean government focused on the implementation of the “Three Stricts and Three Steadies” and “Two Responsibilities”. We carried out 19 times of the party group learning, 4 times of thematic theory discussion and 12 times of discipline inspection commission project learning, thoroughly studied Honest Self-discipline Rules of the Communist Party of China and Chinese Communist Party Disciplinary Regulations, and conducted in-depth research focused on four special subjects, which included the service for the real economy, the self-discipline management of the innovative business, the protection of investors and the management of personnel in order to strengthen learning and research throughout the year, and we achieved a group of system and practice achievements by doing that. We formulated and issued About the Measures for Carrying out and Implementing “Three Importance and One Greatness” Policy-making System, evaluated and revised members rules of procedure and president of the rules of procedure, and formulated and revised 28 systems including personnel, finance, purchasing, administrative and so on around the implementation of the central eight spirits in order to improve the internal system, which further standardized the internal power operation mechanism. We established the youth corps committee, reelected chairman and member of the trade union, recruited and added five new employees, launched more than 40 times of internal training, established the system of the reserve cadres, and selected and trained 12 reserve cadres to strengthen the organization and team construction. We formulated the style of the cultivation of clean government responsibility list for the association group and middle-level cadres and organized to sign integrity liability statement;

carried out the party class activities by the party committee secretary, formulated and implemented the Honest Conversation System, secretary of the party committee collective interviewed the middle-level cadres and conducted more than 30 conversations with newly promoted cadres and reserve cadres; carried out “Two Strengthens and Two Restraints” internal audits, hired an accounting firm to make a comprehensive evaluation of the association “Three Importance and One Greatness”, took the initiative to invite the accounting department to conduct special audit of the financial system and its implementation, honestly reviewed all the team members, cleared the situation of the employee's opening futures account and checked personal reports completing situation of part of the party members and the leading cadres in order to strengthen the cultivation of clean government.



第三部分

中国期货业协会现行自律规则

Current Self-regulatory Rules of China Futures Association



A. 协会组织运作类

■ Operation of Association

1. 中国期货业协会章程
Articles of the China Futures Association
.....
2. 中国期货业协会理事会工作办法
Measures for the Work of Council of the China
Futures Association
.....
3. 中国期货业协会专业委员会管理办法
Administrative Measures for the Professional
Committee of the China Futures Association
.....

B. 会员管理类

■ Membership Administration

4. 中国期货业协会会员管理办法
Administrative Measures for the Members of China
Futures Association
.....
5. 中国期货业协会会费收取办法
Association Dues Collection Measures of China
Futures Association
.....
6. 中国期货业协会会员自律公约
Member Self-regulatory Convention of China Futures
Association
.....
7. 中国期货行业反商业贿赂诚信公约
Anti-commercial Bribery Integrity Convention of
China Futures Association
.....

C 从业人员管理类

■ Practicing Personnel Administration

8. 期货从业人员资格考试管理规则（试行）

Measures for the Administration of the Qualification Test of the Practicing Personnel Engaging in the Futures Business (Trial)

9. 期货从业人员资格管理规则（试行）

Measures for the Administration of the Qualifications of the Practicing Personnel Engaging in the Futures Business (Trial)

10. 期货从业人员执业行为准则

The Standards of Conduct for the Practicing Personnel Engaging in the Business of Futures (Revised)

11. 期货从业人员后续职业培训规则（试行）

Rules for Follow-up Professional Training of the Practicing Personnel Engaging in the Futures Business (Trial)

12. 中国期货业协会行业信息管理平台管理规则

Management Platform and Plan for Industrial Information of China Futures Association

D 业务规范类

■ Service Specification

期货经纪业务 Futures brokerage

13. 《期货经纪合同》指引 Guidelines of Futures Brokerage Contract (Revised)

14. 证券公司为期货公司提供中间介绍 业务协议指引 Guidelines for the Security Company Providing Intermediate Introduction Service Agreement to Futures Company (Revised)

期货投资咨询业务 Futures investment consultation

15. 期货投资咨询服务合同指引 Guidelines for Futures Investment Consultation Service Contract

资产管理业务 Assets management

16. 期货公司资产管理业务管理规则 (试行) Administrative Rules for Asset Management Business of the Futures Company (Trial)

17. 期货公司资产管理合同指引

Guidelines for the Assets Management Contract
of Futures Company

18. 关于《期货公司资产管理合同指引》 的补充规定

Supplementary Provisions of Guidelines for
the Assets Management Contract of Futures
Company

风险管理业务 Risk management

19. 期货公司设立子公司开展以风险管 理服务为主的业务试点工作指引

Guidelines for Developing Business Pilot Work
Giving Priority to Risk Management Service by
Futures Company by Establishing Subsidiaries

场外衍生品业务 Over-the-counter derivatives

20. 中国证券期货市场场外衍生品交易 主协议(2014年版)及补充协议

Master Agreement for Over-the-Counter
Derivatives Trading of China's Securities and
Futures Markets (2014 edition)

21. 中国证券期货市场场外衍生品交易权益类衍生品定义文件 (2014 年版)

Definition File on Equity Derivatives of Over-the-Counter Derivatives Trading of China's Securities and Futures Market (2014 edition)

22. 中国证券期货市场场外衍生品交易商品衍生品定义文件 (2015 年版)

Definition File on Commodity Derivatives of Over-the-Counter Derivatives Trading of China's Securities and Futures Market (2015 edition)

23. 中国证券期货市场场外商品远期交易确认书 (2015 年版)

Forward Transaction Confirmation of Over-the-Counter Derivatives Trading of China's Securities and Futures Market (2015 edition)

24. 中国证券期货市场场外商品互换交易确认书 (2015 年版)

Swap Transaction Confirmation of Over-the-Counter Derivatives Trading of China's Securities and Futures Market (2015 edition)

25. 中国证券期货市场场外商品期权交易确认书 (2015 年版)

Option Transaction Confirmation of Over-the-Counter Derivatives Trading of China's Securities and Futures Market (2015 edition)

互联网开户

Internet account opening

26. 期货公司互联网开户规则

Rules for the Internet Account Opening by Futures Company

27. 期货公司互联网开户操作指南

Operational Guidelines for the Internet Account Opening by Futures Company

风险控制与合规管理

Risk control and compliance management

28. 中国期货业协会会员单位反洗钱工作指引

Guidelines for AML of the Member Units of China Futures Association

29. 期货公司反洗钱客户风险等级划分标准指引

Guidelines for the Classification Standard of Risk Grade of AML Customer of Futures Company

30. 期货公司会计科目设置及核算指引

Guidelines for the Setting and Computation of Accounting Subject of Futures Company

31. 期货公司资本补充指引
Guidelines for Capital Replenishment by Futures Company

32. 期货公司次级债管理规则
Rules for Subordinated Debts Management of Futures Company

投资者教育与适当性管理
Management of investor education
and suitability

33. 期货投资者教育工作指引
Guidelines for the Education of Futures Investor

34. 期货公司资产管理业务投资者适当性评估程序（试行）
Appraisal Procedure for Investor Eligibility of Assets Management Business of Futures Company (Trial)

35. 期货公司执行金融期货投资者适当性制度管理规则
Rules for the Management of Investor Suitability System of Financial Futures by Futures Company

36. 期货投资者信用风险信息共享管理办法（试行）
Administrative Measures for Credit Risk Share of Futures Investors (Trial)

37. 期货投资者信用风险信息共享管理办法实施细则（试行）
Rules for the Implementation of the Administrative Measures for Credit Risk Share of Futures Investors (Trial)

信息技术类
Information Technology

38. 期货公司信息技术管理指引
Guidelines for the Administration of Information Technology of Futures Company

39. 期货公司核心应用软件产品与技术服务合同指引
Guidelines for Product and Technical Service Contract of Core Applications of Futures Company

40. 证券期货经营机构信息技术治理工作指引（试行）
Guidelines for the Information Technology Governance of Securities and Futures Institute (Trial)

41. 期货公司网上期货信息系统技术指引
Technological Guidelines for the Online Futures Information System of Futures Company

42. 证券期货科学技术奖励管理办法（试行）
Administrative Measures for Awards of Science and Technology of Securities and Futures (Trial)

E 自律监察类

■ Self-regulation and Supervision

43. 中国期货业协会纪律惩戒程序

Disciplinary Punishment Program of China Futures Association

44. 中国期货业协会批评警示工作程序

Working Procedures for Criticism and Warning of China Futures Association

F 纠纷调解类

■ Dispute Resolution

45. 中国期货业协会调解规则

Mediation Rules of the China Futures Association

46. 中国期货业协会调解员守则

Rules for Mediators of the China Future Association

47. 中国期货业协会调解员聘任管理办法

Administrative Measures for Appointment of Mediators of the China Future Association

48. 中国期货业协会调解委员会工作办法

Working Measures for Mediation Committee of the China Futures Association

G. 其他类

Others

49. 关于建立全国期货行业自律协作机制的若干意见

Some Opinions on Establishing a Self-regulatory and Cooperative Mechanism of the National Futures Industry

50. 关于集中取消一批备案报告事项的通知

Notice on Collectively Cancelling a Set of Filing and Reporting Matters

第四部分

中国期货业协会 2015 年大事记

China Futures Association Chronicle of Events 2015



1

一月

January

- 1月7日和14日,协会与证监会机构部联合召开了专题座谈会,就2015年如何深入推进期货经营机构创新发展问题与部分公司进行了沟通交流。

On January 7 and 14, CFA and the of institutional sector of CSRC jointly held a symposium, they carried on communication of the way to further promote the innovation and development matters of futures business institutions with some companies.

- 1月15日,协会组织收看了2015年全国证券期货监管工作会议。会后,班子成员及各部门认真学习了肖钢主席的重要讲话及工作报告,并围绕有关内容进行了讨论交流。

On January 15, CFA organized and watched 2015 national securities and futures regulation work conference. After the meeting, team members and departments earnestly studied the chairman, Xiao Gang's important speech and the work report, and the related content was discussed.

- 1月16-22日,协会联合上海证券交易所对10家期货公司的股票期权业务筹备情况进行了现场检查。

On January 16-22, CFA cooperated with Shanghai Stock Exchange to carry out site inspection of business preparation for stock options of 10 futures companies.

- 1月20日,协会第四届理事会第四次会议在北京召开,原则通过了《关于中国期货业协会2014年工作总结和2015年工作要点的报告》(草案)、《中国证券期货市场场外衍生品交易商品衍生品定义文件(2015年版)》(草案)、《中国证券期货市场场外商品远期交易确认书(2015年版)》(草案)、《中国证券期货市场场外商品互换交易确认书(2015年版)》(草案)、《中国证券期货市场场外商品期权交易确认书(2015年版)》、《期货公司资本补充指引》(草案)、《期货行业人才培养战略规划》(草案)、《关于取消<期货经纪合同>格式文本审查等备案报告事项的决定》(草案)等议题,并通报了各专业委员会2015年工作要点。

On January 20, the fourth meeting of the four council of CFA was held in Beijing, topics for discussion like Report on the 2014 Work Summary and 2015 Work Focus of China Futures Association (draft), the Definition File (2015) of Over-the-counter Derivatives and Trading Commodities Derivatives of China Securities and Futures Market (draft), Over-the-counter Products Forward transactions Confirmation (2015) of China Securities and Futures Market (draft), Exchange Transaction Confirmation (2015) of Over-the-counter Products of China Securities and Futures Market (draft), Options Trade Confirmation(2015) of Over-the-counter Products of China Securities and Futures Market (draft), Futures Companies Capital Supplement Guide (draft), Strategic Planning for Talent Training of Futures Industry (draft), the Decision on Canceling 'Futures Brokerage Contract' Format Text Review Record Report (draft) and so on were adopted in principle. And 2015 work points of every professional committee were notified.

- 1月29日,协会发布实施了《期货公司资本补充指引》,引导期货公司积极建立和完善资本补充机制。

On January 29, CFA issued and implemented Futures Companies Capital Supplement Guide to guide the futures companies to actively establish and improve the capital supplement mechanism.

- 1月29日,协会与四川证监局共同主办的“期货服务上市公司”活动在成都举行,四川辖区上市公司的70余名高管参加培训和座谈。

On January 29, the Futures Services Listed Companies activity cosponsored by CFA and Sichuan Securities Regulatory Bureau was held in Chengdu, more than 70 senior executives of listed companies from prefecture in Sichuan took part in the training and discussion.

2

二月

February

□ 2月6日,协会发布了《关于〈期货公司资产管理业务管理规则(试行)〉实施相关问题的说明(二)》。

On February 6, CFA issued the instruction of implementation related issues (2) of Management Rules of Futures Companies Asset Management Business (trial).

□ 2月11日,协会发布了《期货行业人才培养战略规划(2014-2018)》。

On February 11, CFA issued Futures Industry Strategic Planning (2014-2018).

□ 2月上旬,协会“期货投资者保护丛书”正式推出。

In early February, CFA's Futures Investors Protection Series was officially launched.

□ 2月27日,为贯彻投资者适当性制度,宣传“合法期货”概念,协会在全行业开展“撑合法期货保护伞、做合格期货投资人”微信有奖答题活动。

On February 27, in order to carry out appropriate institution of investors and to propaganda “legal futures” concept, CFA carried on “open the legal futures umbrella and act qualified futures investors” WeChat prize answer activity in the whole industry.

3

三月

March

□ 3月10日,协会首次以机考形式举办了期货公司高管人员任职资质测试。

On March 10, CFA held futures companies executives qualification test in the form of computer-based testing for the first time.

□ 3月18日,协会与欧交所成功举办了“股指期货套期保值交易策略”网络研讨会,来自大陆和台湾期货公司的200多位研究人员、产品研发人员等参加了此次研讨。

On March 18, CFA and Euronext successfully hosted the “stock index option hedging strategy” web seminar, more than 200 researchers, product research and development personnel, etc from mainland and Taiwan futures companies took part in the discussion.

□ 3月19日至20日,协会与中国证券业协会联合举办了互联网金融专题研修班,来自227家证券、期货经营机构的高管人员参加了学习。

On March 19-20, CFA and China Securities Association jointly held internet financial special subject workshop, the senior executives from 227 securities and futures operation agencies participated in the study.

□ 3月25日,协会在郑州组织召开了“2015年风险管理公司试点业务研讨会”。证监会机构部、期货部、四家期货交易所、保证金监控中心与40家已设立风险管理公司的业务负责人围绕期现结合服务实体经济、推进场外衍生品业务以及加强风险管理公司的合规与风控进行了广泛而深入的交流。

On March 25, CFA organized and convoked

2015 Risk Management Companies Pilot Business Seminar in Zhengzhou. The organization department, the department of futures, four futures exchanges, margin monitoring center and business principals from 40 companies that had set up risk management carried out extensive and in-depth exchanges over combining futures and spot business to service entity economy, promote the OTC derivatives business and strengthen the risk management of the company's compliance and risk control.

- 3月27日, 协会在杭州召开了“期货公司创新发展座谈会”, 对期货公司各项创新业务的发展情况进行了研究探讨。

On March 27, CFA convened Futures Companies Innovation and Development Forum in Hangzhou, and carried on the research and discussion over the development situation of every innovative business of futures companies.

- 3月27日, 协会第四届理事会第五次会议在杭州举行。会议原则通过了《关于中国期货业协会2014年预算执行情况和2015年预算的报告》(草案)、《关于期货投资者教育基金和期货人才培养基金2014年预算执行情况及2015年预算的报告》(草案)、《期货公司互联网开户规则》(草案)及《期货公司互联网开户操作指南》(草案)、《期货公司次级债管理规则》(草案)、《期货公司资产管理业务投资者适当性评估程序》(草案)、《关于协会2015年会员发展工作的方案》(草案)等议题, 并对《中国期货业发展规划纲要(2015年-2020年)》(征求意见稿)进行了讨论。

On March 27, the fifth meeting of the fourth

council of CFA was held in Hangzhou. On the Report of 2014 Budget Implementation and 2015 Budget of China Futures Association (draft), On the Report of 2014 Budget Implementation and 2015 Budget of the Education Funds of Futures Investors and the Cultivation Funds of Futures Talents (draft), Futures Companies Internet Accounts Rules (draft) and Operation Instruction of Futures Companies Internet Accounts (draft), Futures Companies Subordinated Debt Management Rules (draft), Futures Companies Asset Management Business Investors Appropriate Assessment Process (draft), On CFA membership development work in 2015 (draft) and other issues were passed in principle in the meeting, and the Development Plan Outline of China Futures Industry (2015-2020) (exposure draft) was discussed.

- 3月28日, 2015年中国(杭州)财富管理论坛与期货及衍生品分论坛成功举办, 论坛以“财富的风险管理”为主题, 吸引来自境内外期货交易所、行业协会、高校、期货公司、商业银行、证券公司、投资管理公司、私募基金等机构的300余名嘉宾参加。

On March 28, 2015 China (Hangzhou) wealth management BBS and futures and derivatives in BBS were successfully held, the theme of it was Risk Management of Wealth, which drew more attention of more than 300 guests from domestic and overseas futures exchange, industry associations, universities, futures companies, commercial banks, securities companies, investment management companies, private equity funds and other institutions.

4

四月

April

- 4月3日, 协会发布了《期货公司互联网开户规则》及《期货公司互联网开户操作指南》。
On April 3, CFA issued Futures Companies Internet Accounts Rules and Operation Instruction of Futures Companies Internet Accounts.
- 4月3日, 协会发布了《期货公司次级债管理规则》。
On April 3, CFA issued Futures Companies Subordinated Debt Management Rules.
- 4月3日, 协会发布了《期货公司资产管理业务投资者适当性评估程序》。
On April 3, CFA issued Futures Companies Asset Management Business Investors Appropriate Assessment Process.
- 4月10日, 协会研究发展委员会在北京召开“一带一路”战略对期货市场影响及应对策略研讨会, 与会专家围绕“一带一路”战略对期货市场影响以及期货市场的应对策略进行了充分探讨。
On April 10, the Research and Development Committee of CFA convened One Belt and One Road strategy's impact on the futures market and the countermeasures seminar, the participating experts carried on ample discussion over One Belt and One Road strategy's impact on the futures market and the countermeasures of futures market.
- 4月14日, 共青团中国期货业协会委员会成立大会召开, 会议审议通过了《共青团中国期货业协会委员会选举办法(草案)》, 选举产生了第一届委员会5名成员。
On April 14, the Founding Conference of the Communist Youth League CFA Committee was convoked, Election Method of the Communist Youth League CFA Committee (draft) was reviewed and adopted in the conference, and the first board with five members were selected.
- 4月15日, 协会与欧交所共同举办了以“股指期货期权保值交易策略”为主题的网络研讨会, 期货公司研究人员及产品研发人员150余人参加了研讨会。
On April 15, the network seminar with the theme of Stock Index Option Hedging Strategy was jointly held by CFA and Eurex more than 150 people of futures companies' researchers and product developers attended the seminar.
- 4月16日, 协会发布了《关于做好期货公司及其资管产品进入银行间债券市场备案工作的通知》, 推动期货公司及其资产管理产品进入银行间债券市场。
On April 16, CFA issued Notice Regarding the Futures Companies and Their Asset Management Products into the Inter-bank Bond Market for Record to promote the futures companies and their asset management products into the inter-bank bond market.
- 4月20-24日, 协会与欧洲期货交易所合作, 在杭州举办了期权交易实务培训班。
On April 20-24, CFA and Eurex Exchange cooperated to hold options trading practice classes in Hangzhou.
- 4月23日, 协会联合河北证监局、河北省证券业协会在石家庄举办“期货服务上市公司”培训会, 来自河北辖区40多家上市公司、16家期货经营机构的130余名代表参加了本次会议。
On April 23, CFA Hebei united Securities Regulatory Bureau and Hebei Province Securities Industry Association to hold Futures Service Listed Companies training meeting in Shijiazhuang, more than 130 representatives from more than 40 listed companies and 16 futures management agencies in Hebei district attended the meeting.
- 4月25日, 由协会主办的期货风险管理公司媒体见面交流会在杭州举行, 部分风险管理公司负责人以及

多家媒体参加。

On April 25, the media meeting communication of futures risk management companies sponsored by CFA was held in Hangzhou, part of the risk management companies' principals as well as the various media attended it.

- 4月24日, 协会2015年联系会员座谈会在杭州召开。会议通报了协会近期工作情况和下一步工作安排, 并讨论了《关于进一步发挥地方协会自律组织作用的指导意见》。

On April 24, the 2015 contact members panel of CFA was convened in Hangzhou. The recent work situation and the next step of work arrangement of CFA were notified in the meeting, and Guiding Opinion of Further Playing the Self-discipline and Organization Role of Local Associations was discussed.

- 4月25-26日, 由协会主办的第九届中国期货分析师论坛暨首届场外衍生品论坛在杭州举行。论坛以“新常态新征程 -- 护航中国经济转型升级”为主题, 共包含七个分论坛。浙江省人民政府副省长朱从玖先生为论坛开幕致辞。来自政府机构, 监管部门, 国内外交易所, 期货、基金、证券、银行等机构的一千余名嘉宾参加了本次论坛。

On April 25-26, the ninth China futures analyst BBS and the first over-the-counter derivatives BBS sponsored by CFA was held in Hangzhou. The theme of the BBS was New Normal New Journey-Escort Chinese Economic Transformation and Upgrading, there were seven sub-forums. The vice governor of the People's Government of Zhejiang Province, Mr. Zhu Congjiu made opening

speech for BBS. More than one thousand guests from institutions such as Government agencies, regulators, domestic and foreign exchanges, futures, funds, securities, banks, etc attended the BBS.

- 4月26日, 协会与澳大利亚证券交易所 (ASX) 在杭州正式签署合作谅解备忘录。

On April 26, CFA and Australian Securities Exchange (ASX) formally signed the memorandum of cooperation in Hangzhou.

- 4月30日, 协会第四届理事会第六次会议以视频形式召开, 会议审议通过了《中信期货有限公司更换理事代表的申请》, 原则通过了《期货经营机构业务和产品创新备案办法》(草案), 并通报了近期会员发展情况。

On April 30, the sixth meeting of the fourth council of CFA was held in the form of video, Application of Replacing Directors Representatives of Citic Futures Co., Ltd was reviewed and adopted in the meeting, Filing Method of Business and Products Innovation of Futures Management Agencies (draft) was passed in principle, and the recent members development condition was notified.

- 4月, 协会发布了《关于“聚焦‘一带一路’期市大有可为——当前国家经济战略规划为期货及衍生品市场带来的机遇和挑战”定向征文方案》。

In April, CFA issued Directional Essay Plan of "Focusing on 'One Belt and One Road' Futures Market with a Brilliant Future-Opportunities and Challenges Brought by the Current National Economic Strategy Planning.

5

五月

May

- 5月11日,协会联合全国股转系统公司举办了股转系统视频专题培训班,对股转系统总体情况与相关制度进行了全面介绍,共有来自140多家期货公司的700余人参加了培训。

On May 11, CFA united National Stock Transfer System Company to hold stock transfer system video project training classes, the stock transfer system's whole condition and the related system were comprehensively introduced, more than 700 people from more than 140 futures companies attended the training.

- 5月13-14日,协会召开风险管理公司数据报送及报表修订工作会议,期货市场监管中心、部分风险管理公司等单位参会,形成了风险管理公司数据报送及报表初稿。

On May 13-14, CFA convened risk management companies data submission and report revision work conference, futures market monitoring center, part of risk management companies and other units attended the conference, and the first draft of risk management companies data submission and report was formed.

- 5月18日,由协会与中金所联合主办的第三届“中金所杯”高校大学生金融及衍生品知识竞赛正式启动。On May 18, the third CFFEX Cup College students financial derivatives and knowledge competition jointly sponsored by CFA and CFFEX was officially launched.

- 5月19日,协会制订发布了《中国期货业协会“公平在身边”投资者保护专项活动工作方案》。

On May 19, CFA formulated and issued Work Program of CFA "Fair around us" Investors Protection Special Activity.

- 5月25-29日,协会与穆迪分析环球教育(加拿大)公司在北京联合举办了资产管理业务培训班,共有

170多名学员参加。

On May 25-29, CFA and Moody Analysis Global Education (Canada) Co., Ltd jointly held asset management business training classes, more than 170 students in total attended it.

- 5月27日,中期协后续培训网上培训平台一期升级改版后正式上线。

On May 27, CFA subsequent first period of training online training platform was officially launched after the promotion.

- 5月28日,协会与迪拜黄金与商品交易所(DGCX)在上海正式签署合作谅解备忘录。

On May 28, CFA and Dubai Gold and Commodity Exchange (DGCX) formally signed the memorandum of understanding of cooperation in Shanghai.

- 5月28日,协会组织上海地区部分期货公司座谈会,就风险管理、资产管理、场外衍生品市场等创新业务进行讨论交流。

On May 28, CFA organized part of futures companies in Shanghai district forum, the innovation business like risk management, asset management, over-the-counter derivatives product market and so on were discussed.

- 5月28日,协会联合厦门证监局举办了期货服务上市公司专题培训会,辖区各上市、拟上市公司、期货经营机构相关负责人100多人参加。

On May 28, CFA and Xiamen Securities Regulatory Bureau held futures service listed companies project training meeting, more than 100 related principals from each listed companies, companies applying for listing and futures management institutions attended it.

- 5月中旬,协会对150家期货公司的资本补充计划进

行了调查。调查显示，共有 69 家期货公司提出年内有补充资本的计划。

In the middle of May, CFA investigated the capital supplement plan of 150 futures companies. The investigation showed that 69 futures companies in total put forward capital supplement plan in the year.

□ 5 月，协会根据证监会党委的统一部署，结合协会实际，制定了关于开展“三严三实”专题教育活动的方案，进一步细化了专项调研、专题研讨等活动安排。In May, CFA formulated the work program of carrying out Three Stricts and Three Steadies thematic education activity and further detailed special research, workshops and other activities arrangement in accordance with the unified deployment from the party committee of CSRC and by combining the reality of CFA.

6

六月

June

□ 6 月 2 日，协会以视频会议形式召开了第四届理事会第七次会议。会议审议并通过了《中国期货业发展规划纲要（2015 年-2020 年）》（草案）、《关于废止〈中国期货业协会联系会员管理办法〉的决定》（草案）、《关于建立全国期货行业自律协作机制的若干意见》（草案）、《关于设立中国期货业协会第四届理事会互联网金融专业委员会的方案》（草案）、《关于设立中期联场外衍生品交易服务股份有限公司的方案》等五项议题。

On June 2, the seventh meeting of the fourth council of CFA was held in the form of video. Five topics including Development Plan Outline of China Futures Industry (2015-2020) (draft), Decision of Abolishing Measures for the Administration of CFA Contact Members (draft), Several Opinions of Self-discipline Cooperation Mechanism of Establishing National Futures Industry (draft), Scheme of Setting up the Fourth Session Council of CFA Internet Financial Professional Committee (draft) and Proposal of Establishing CFA Contact Members Over-the-counter Derivatives Trading Service Co., Ltd were reviewed and adopted on the meeting.

□ 6 月 4 日，为促进风险管理公司业务健康有序的开展，协会举办了以风险管理公司业务中风险管理和风险防

范为主题的视频培训。

On June 4, CFA held the video training with the theme of Risk Management and Risk Prevention in Risk Management Companies Business in order to promote the healthy and orderly development of the risk management companies business.

□ 6 月 12 日，协会发布了《关于建立全国期货行业自律协作机制的若干意见》，依托各地区期货协会，形成了全国范围自律协作体系；同时 2011 年 1 月 27 日发布的《中国期货业协会联系会员管理办法》予以废止。On June 12, CFA issued Several Opinions of Self-discipline Cooperation Mechanism of Establishing National Futures Industry, and formed a nationwide self-discipline collaboration system by relying on the regional futures associations; At the same time, Measures for the Administration of CFA Contact Members released on January 27, 2011 was abolished.

□ 6 月 16 日，协会以视频方式举办了互联网开户培训班。培训班邀请相关专家就期货互联网开户规则等内容进行了讲解，来自 100 多家公司的相关岗位人员参加了培训。

On June 16, CFA held internet account opening training classes in the form of video. The related experts were invited to the training classes to

interpret contents such as futures internet account opening rules and so on, the related personnel from more than 100 companies attended the training.

- 6月24日,协会互联网金融专业委员会成立大会召开,华泰期货有限公司董事长张涛当选主任委员,中山证券有限责任公司副总裁马刚等7人当选副主任委员。

On June 24, CFA Internet Financial Professional Committee Founding Meeting was convoked, the chairman of Huatai Futures Co., Ltd, Zhang Tao was elected as the chairman of the committee, seven people including the vice president of Zhongshan Securities Co., Ltd, M Gang were elected as the vice chairmen of the committee.

- 6月25日,协会开展了第二期以“风险管理公司业务中风险管理和风险防范”为主题的视频培训,邀请业内专家就风险管理业务进行了分析讲解和经验分享。

On June 25, CFA carried on the second phase of video training with the theme of Risk Management and Risk Prevention in Risk Management

Companies Business, experts in the industry were invited to analyze, interpret and share experience over risk management business.

- 6月29-7月5日,协会与欧洲期货交易所合作,在上海举办了第三期期权交易实务培训班。

From June 29 to July 5, CFA and Eurex Exchange collaborated to hold the third phase of options trading practice training classes in Shanghai.

- 6月30日,协会与欧洲期货交易所联合举办了以“欧洲期权高频交易实务”为主题的网络研讨会。

On June 30, CFA and Eurex Exchange jointly held network seminar with the theme of European Option High-frequency Trading Practice.

- 6月30日,为规范期货公司次级债券发行,协会发布了《关于期货公司发行次级债券有关问题的通知》。

On June 30, CFA issued Notice on Related Issues of Futures Companies' issuing Subordinated Debt in order to standardize futures companies subordinated bonds issuance.

7

七月

July

- 7月2日,瑞士期货与期权协会执行董事 Daniel Day Robinson 先生来访协会,双方就 MOU 框架下的合作进行了充分交流。

On July 2, the executive director of Swiss Futures and Options Association, Mr. Daniel Day Robinson visited CFA, the two parties had full communication on the corporation under the MOU framework.

- 7月10日,期货公司互联网上开户正式上线,全市场共有103家期货公司上线开户云平台,共计2102位客户通过开户云平台办理了开户手续。

On July 10, the futures companies internet account was launched, a total of 103 futures companies online accounted cloud platform

on the whole market, and 2102 clients in total transacted opening formalities through the account cloud platform.

- 7月上旬,协会按照证券期货业信息化领导小组办公室2015年重点工作安排,启动了第五届证券期货科学技术奖励工作。

In early July, CFA launched the fifth securities and futures reward work of science and technology in accordance with the 2015 key work arrangement from securities and futures industry informatization leading group office.

- 7月中旬,协会按照国家发展改革委、财政部有关文件要求,将期货从业资格考试报名费标准由原来的70

元/人/科调降为 65 元/人/科。

In the middle of July, CFA reduced the futures qualifications examination fee standard from primary RMB 70/person/subject to RMB 65/person/subject according to the related documents requirements of the national development and reform commission and ministry of finance.

- 7 月 21 日，国际掉期与衍生工具协会执行总裁 Scott O'Malia 一行 4 人来访协会，双方就各自协会的发展情况做了介绍，同时就签署合作备忘录以及如何开展合作事宜进行了讨论交流。

On July 21, the chief executive officer of the International Swaps and Derivatives Association, Scott O' Malia and a group of other people visited CFA, the two parties introduced the development of each association, and carried out discussion and communication on signing the corporation memorandum and on the matter of how to develop corporation.

- 7 月 22-24 日，协会与美国期权行业协会、中国国际人才交流基金会在北京合作举办了期权投资策略培训班，共有 160 多名学员参加。

On July 22-24, CFA along with America Options Industry Council and China International Talent Exchange Foundation cooperated to hold the options investment strategy training in Beijing,

more than 160 students in total attended it.

- 7 月 27 日，根据“中国期货市场经济的影响”专项研究课题工作安排，协会召开期货公司高管座谈会，对期货市场功能及对近期市场的看法进行了座谈，证监会期货部主任冉华、巡视员张晋生等出席本次会议。

On July 27, according to "the economic impact of China's futures market" special research work arrangement, CFA convened futures companies executives symposium, and carried out the discussion on the futures market function and the review of the recent market, the director of the futures department, Ran Hua, inspector, Zhang Jinsheng and so on attended the meeting.

- 7 月 28 日，协会第四届理事会互联网金融专业委员会召开第二次会议，会议重点对《期货行业“互联网+”行动计划》、“期货公司如何借助互联网平台服务中小企业”等八项课题研究成果以及行业“互联网+”案例集初稿进行了深入研讨。

On July 28, the fourth council internet financial professional committee of CFA convened the second meeting, eight topics research results such as the Futures Industry "Internet+" Action Plan, the Way of the Futures Companies to Use the Internet Platform Service for Medium and Small Sized Enterprises and so on and the first draft of industry "Internet+" case were focused on and deeply discussed on the meeting.

8

八月

August

- 8 月 18 日，协会组织召开“风险管理公司场外衍生品业务研讨会”，相关机构介绍了开展场外衍生品业务的经验和存在的问题。

On August 18, CFA organized and convened "Risk management companies over-the-counter derivatives business seminar", related organizations introduced the over-the-counter derivatives business experience and the existing problems.

- 8月11日，中国期货业协会、中国证券业协会、中国基金业协会联合发布《中国证券期货市场场外衍生品交易商品定义文件（2015年版）》及配套交易确认书。

On August 11, China Futures Association, Securities Association of China and China's Fund Industry Association jointly issued China Securities and Futures Market Over-the-counter Derivatives Trading Goods Definition File (2015 edition) and supporting transaction confirmation.

- 8月上旬，协会组织编写的《中国期货业发展报告（2014年度）》正式出版发行。

In early August, China Futures Industry Development Report (2014) organized and prepared by CFA was formally published.

- 8月20日，根据证监会要求，协会发布了2015年期货公司分类评价结果。

On August 20, CFA issued 2015 futures companies classification evaluation results in accordance with the requirements China Securities Regulatory Commission (CSRC).

- 8月27日，由协会主办，大连商品交易所承办的“期货行业研究联席会议第三次会议暨研究发展委员会年中工作会议”在大连商品交易所召开。

On August 27, the Third Meeting of the Joint

of Futures Industry Research and the Mid-year Work Meeting of the Research Development Committee sponsored by CFA and undertaken by Dalian Commodity Exchange was held in Dalian Commodity Exchange.

- 8月27日，美国哥伦比亚大学国际公共管理学院经济政策项目部负责人戴维·柯福林（David Caughlin）一行来访协会，双方就哥大经济政策管理项目的招生和协会的境外人才培养等情况进行了交流。

On August 27, the responsible person of economic policy project department of international public administration faculty of Columbia University, David Caughlin and a group of people visited CFA, the two parties negotiated on the situations like the enrollment of Columbia University economic policy management project, overseas talents of CFA and so on.

- 8月31日，由协会与欧洲期货交易所联合打造的“期权套期保值交易策略”网络课程正式在协会网上后续培训平台发布。

On August 31, the Option Hedging Hedge Trading Strategy network courses jointly forged by CFA and Eurex Exchange was officially released in CFA online follow-up training platform.

9

九月

September

- 9月10日-11日，协会举办了期货公司信息化与信息安全培训班，共有86家期货公司、130人参加了本次培训，就第三方系统接入、程序化交易等议题进行了深入交流与讨论。

On September 10-11, CFA held futures companies informatization and information safety training classes, 86 futures companies and 130 people attended this training in total, at which the third party system access, programming trading and other issues were thoroughly exchanged and discussed.

- 9月19-20日,协会召开了期货从业资格考试教材修订和题库建设工作会议,进一步对三科考试题库进行审核并对新编教材进行修订。

On September 19-20, CFA held futures qualification exam teaching materials revision and the questions bank construction work conference, and further reviewed exam questions bank of three categories and the new teaching materials were revised.

- 9月23日,协会与中国证券业协会联合举办了证券期货公司信息化与信息安全培训班,来自49家期货公司、150家证券的199人参加了此次培训。

On September 23, CFA and Securities Association of China jointly held securities and futures companies informatization and information safety training classes, 199 people from 49 futures companies and 150 securities companies took part in the training.

- 9月29日,协会召开了2015年期货公司信息技术检查工作动员会暨检查人员培训会,下发第一批检查通知。

On September 29, CFA convened 2015 futures companies information and technology inspection work pep rally and inspection personnel training meeting, the first batch of inspection notice was issued.

- 9月,由中国期货业协会举办的“期货行业‘互联网+’创新方案和实践案例有奖征集活动”近期圆满结束,共评出一、二、三等奖共31名及优秀组织奖2名。

In September, the “futures industry” ‘Internet +’ innovation scheme and practical case prize solicitation” held by China Futures Association brought to a successful close recently, 31 first, second and third prizes and 2 excellent organization prizes in total were selected.

10

十月

October

- 10月12日,中国证监会副主席李超莅临期货业协会进行调研并听取工作汇报。李超副主席肯定了协会的当前工作,并对协会下一阶段工作作出指示。

On October 12, the vice-chairman of China Securities Regulatory Commission (CSRC), Li Chao, was present in the Futures Industry Association to make investigation and listen work report. The vice-chairman Li Chao affirmed the current work of CFA and gave instructions to the work of CFA in the next stage.

- 10月20-22日,协会刘志超会长一行参加了由欧洲期货交易所(Eurex)在德国法兰克福举办的首届中德衍生品市场研讨会,并作为中方代表致开幕词。

On October 20-22, the chairman Liu Zhichao of CFA and a group of traveling together attended the first China-German derivatives market seminar

held by Europe Exchange (Eurex) in Frankfurt, German and made an opening speech as the Chinese representative.

- 10月24-25日,协会与芝加哥商业交易所(CME)合作举办场外衍生品培训班,150多名业务人员参加了培训。

On October 24-25, CFA and the Chicago Mercantile Exchange (CME) cooperated to hold over-the-counter derivatives training classes, more than 150 business personnel attended the training.

- 10月27日,协会党委中心组召开专题研讨会,围绕近期股市异常波动进行专题反思和研讨。

On October 27, CFA's party center group convened symposium, and special reflection and discussion over the abnormal fluctuation of recent stock market were carried out.

- 10月28日，中期协联合研究计划（第十期）特别委托课题结项评审会召开，对2015年四项特别委托课题进行了结项评审。

On October 28, China Futures Association joint research program (the tenth phase) especial entrusted subject post-project review meeting was held, at which four special entrusted subjects in 2015 were post-project reviewed.

- 10月29日，协会组织召开北京地区期货公司座谈会，19家期货公司高管围绕如何“稳定、修复、建设市场”的主题进行了深入地研讨。

On October 29, CFA organized and convened futures companies in Beijing area forum, senior executives from 19 futures companies conducted in-depth discussion over the theme of how to “stable, repair, and construct market”.

- 10月下旬，协会开展期货从业资格定期检查暨风险管理、资产管理业务检查，对于检查中发现的问题，协会将督促其整改。

In late October, CFA carried out futures qualifications periodical inspection and risk management and asset management business inspection, and CFA would supervise and urge the rectification with regard to the problems found in the inspection.

- 10月底，由协会开发的行业互联网投资者教育平台“期货投教网”（edu.cfachina.org）正式上线试运营。

In the end of October, the industry Internet investor education platform “futures investor education network” (edu.cfachina.org) developed by CFA was officially launched and trial operated.

11

十一月

November

- 11月8日，由协会和中国金融期货交易所共同主办的第三届“中金所杯”高校大学生金融期货及衍生品知识竞赛决赛成功举行，本届竞赛共有772所高校的22742名学生报名参赛。

On November 8, The third “China Financial Futures Exchange (CFFEX) Cup” college students financial futures and derivatives knowledge contest final match cosponsored by CFA and CFFEX, 22,742 students from 772 colleges and universities in total participated in the competition.

- 11月19日至20日，协会召开了2015年度技术指引检查工作审核会，审核委员会听取了各小组现场检查情况的报告，并对检查中发现的部分公司不符合指引检查项的情况进行了研究。

On November 19-20, CFA convened 2015

technology guide inspection review meeting, the audit committee listened to the report of each group on-site inspection conditions and carried out study of conditions that not complying with guidelines items found in the inspection of part of the companies.

- 11月，协会开展了“奔跑吧，期货人”微信游戏活动。截止本月中旬，共有5000余人参与本活动。

In November, CFA carried out the WeChat game activity, “Running Futures People”. By the middle of this month, more than 5,000 people in total has participated in the activity.

- 11月，协会组织开展了“中国期货-鲁证杯悦动公益健步走”公益活动。此次活动参与人数达到175628人，鲁证期货股份有限公司作为活动赞助方捐赠善款10万元。

In November, CFA organized and launched a public benefit activity of "China Futures-Luzheng Cup Entertainment Public Benefit Vigorous Walk". The number of participants in this event up to 175,628. As the sponsor of the event, Luzheng Futures Co., Ltd donated 100,000 yuan.

- 11 月，协会完成了期货从业人员定期检查及期货公司风险管理业务、资产管理业务自查和现场检查，并配合机构部对风险管理公司外部介入系统和场外配资情况进行了专项核查，对涉嫌存在违规行为的公司拟定了处理意见。

In November, CFA accomplished periodical inspection of futures employees, the self-inspection of risk management business and asset management business of futures companies and

the spot inspection. Furthermore, it cooperated with institutional department to carry out special verification of external intervention system and off-site distribution situation of futures companies, and formulated the processing opinion for the companies with alleged violations.

- 11 月，协会分别组织召开广东片区、上海及浙江片区期货公司资管业务座谈会，对于新形势下期货公司资产管理业务的发展进行研讨。

In November, CFA respectively organized and convened asset management business seminar of futures companies in Guangdong, Shanghai and Zhejiang areas, and discussed the development of asset management business of futures companies under the new situation.

12

十二月

December

- 12 月 3 日 -5 日，由中国期货业协会和深圳市人民政府联合主办的第十一届中国（深圳）国际期货大会在深圳召开。本届大会以“跨界、跨境、跨越”为主题，中国证监会副主席李超、深圳市副市长艾学峰出席了大会主论坛并致辞，全国人大财经委副主任委员尹中卿作了题为《加快推进期货市场法治化进程》的主题演讲。

On December 3-5, 11th China (Shenzhen) International Derivatives Forum" jointly sponsored by CFA and Shenzhen People's Government was held in Shenzhen. "Crossover, cross-border and across" were the themes of this year's conference, the vice chairman of China Securities Regulatory Commission (CSRC), Li Chao, the deputy mayor of Shenzhen, Ai Xuefeng attended the conference's main forum and made speeches, the vice chairman of the financial committee of the National People's Congress,

Yin Zhongqing made a keynote speech titled "Speed up Process of Low of the Process of the Futures Market".

- 12 月 3 日，中国期货业协会期媒投教联盟正式成立。

On December 3, China Futures Association Futures Investor Education and Media Union was duly established.

- 12 月 4 日，中国期货业协会与国际掉期与衍生工具协会（ISDA）在深圳签署合作谅解备忘录。

On December 4, China Futures Association and International Swaps and Derivatives Association (ISDA) signed memorandum of understanding on corporation in Shenzhen.

- 12 月 9-11 日，协会联合上海期货交易所，针对 2015 年新上市的“锡”品种举办了期现结合业务培训班。

On December 9-11, CFA held futures and spot business training classes aimed at "tin" variety

newly-launched in 2015 by combining together with Shanghai Futures Exchange (SHFE).

- 12 月 11-12 日，协会在上海举办期货经营机构风险管理培训班，共有来自期货公司、证券公司等会员单位的近 190 名学员参加。

On December 11-12, CFA held futures management agency risk management training classes in Shanghai, and nearly 190 students from futures companies, securities companies and other member units in total attended the classes.

- 12 月 22 日，协会第四届理事会第九次会议在京召开。会议同意理事单位弘业期货股份有限公司提出的由周剑秋接替周勇作为协会理事的申请，同意由赵桂萍同志接替姜昌武同志担任协会人才培养委员会副主任委员，审议并原则通过了《关于中国期货业协会 2015 年工作总结和 2016 年工作要点的报告》（草案）、《关于制订〈期货业服务实体经济行动计划（2016 - 2018）〉的建议》（草案）、《期货行业协助打击非法期货活动工作指引》（草案）、《中国期货业协会自律规则制定办法》（草案）、《期货公司诚信评估方案》（草案）及《期货公司诚信评估指标》（草案）、《中国期货业协会会员管理办法（修订）》（草案）等议题。

On December 22, the 9th Meeting of Fourth Council was convened in Beijing. The application that Zhou Jianqiu takes over Zhou Yong as CFA director raised by the council member, Hongye Futures Co., Ltd was agreed, and comrade Zhao Guiping was approved to replace comrade Jiang

Changwu to hold the post of vice chairman of talent training committee of CFA on the meeting. Topics for discussion like Report on the 2015 Work Summary and 2016 Work Focus of China Futures Association (draft), Suggestion of Formulating 'Future Industry Service Entity Economic Action and Plan (2016-2018)' (draft), Work Instructions of Futures Industry Help Combating the Illegal Futures Activities (draft), Self-discipline Rules of China Futures Association (draft), Futures Companies Integrity Assessment Program (draft) and Futures Companies Integrity Evaluation Index (draft), Measures for the Administration (amendment) of Members of China Futures (draft) and so on were deliberated and adopted in principle.

- 12 月中旬，协会根据证监会机构部要求，对创新业务开展了评估工作，并与中国期货市场监控中心共同对 15 家期货公司的经纪业务、资产管理业务和自有资金投资进行了压力测试。

In the middle of December, according to the requirements of the Institutional Sector of China Securities Regulatory Commission (CSRC), CFA carried out assessment work of innovative services and conducted stress test for brokerage, asset management business and their own funds investment of 15 futures companies together with China Futures Market Monitoring Center.

第五部分

会员名录

Membership Directory



普通会员名录 Regular Members

机构全称 Institute Full Name	会员代码 Member Code	通信地址 Contact Address	邮编 Post Code
方正中期期货有限公司 Founder CIFCO Futures Co.,Ltd	G01001	北京市朝阳区东三环北路 38 号院 1 号楼泰康金融大厦 22 层 2201 (100026) Room 2201, 22/F, TaiKang Financial Tower, Building 1, No. 38 Yard, North Road of East Third Ring Road, Chaoyang District, Beijing	100026
金鹏期货经纪有限公司 Jinpeng International Futures Co., Ltd. (JIFCO)	G01002	北京市西城区金融大街 27 号投资广场 B 座九层 9th Floor, Block B, Investment Plaza, No.27,Jinrong Street, Xicheng District, Beijing	100033
国都期货有限公司 Guodu Futures Co., Ltd.	G01003	北京市东城区东直门南大街 3 号国华投资大厦 8 层、10 层 8th Floor and 10th Floor, Guohua Investment Building, No.3, Dongzhimen South Street, Dongcheng District, Beijing	100007
中粮期货有限公司 COFCO Futures Co., Ltd.	G01004	北京市东城区东直门南大街 5 号中青旅大厦 15 层 Rooms 311-313, 3th Floor and Room 1501-1506, 1509-1518, 15th Floor, CYTS Plaza, No.5, Dongzhimen South Street, Dongcheng District, Beijing	100007
北京首创期货有限责任公司 Capital Futures Co., Ltd.	G01005	北京市西城区闹市口大街 1 号院长安兴融中心 4 号楼 11 层 11th Floor, Building 4, Changan Xingrong Center, No. 1, Naoshikou Street, Xicheng District, Beijing	100031
一德期货有限公司 First Futures Co., Ltd.	G01006	天津市和平区解放北路 188 号信达大厦 16 层 16th Floor, Xinda Plaza, No.188, Jiefang North Road, Heping District, Tianjin	300042
宏源期货有限公司 Hongyuan Futures Co., Ltd.	G01007	北京市西城区太平桥大街 19 号 4 层 Room 4B, 4th Floor, No.19, Taipingqiao Street, Xicheng District, Beijing	100033
第一创业期货有限责任公司 First Capital Co., Ltd.	G01011	北京市西城区新街口北大街 3 号星街坊购物中心 6 层 603、604 室 Room 602 & Room 604, 6/F, No. 3, North Xijiekou Avenue, Xicheng District, Beijing	100035
冠通期货有限公司 Guan Tong Futures Brokerage Co., Ltd.	G01012	北京市朝阳区朝外大街甲 6 号万通中心 D 座 20 层 20th Floor, Block D, Van tong Centre, A No 6, Chaoyangmenwai Street, Chaoyang District, Beijing	100020
国元期货有限公司 Guoyuan Futures Co., Ltd.	G01014	北京市东城区东直门外大街天恒大厦 B 座 21 层 21/F, blockA,Tianheng Mansion, No.46, Dongzhimen Outer Street, Dongcheng District, Beijing, China	100027
首创京都期货有限公司 Capital Jingdu Futures Co., Ltd.	G01016	北京市朝阳区北辰东路 8 号辰运大厦 B 区 2 层 2/F, Division B,Chenyun Building,No.8,Beichen East Road,Chaoyang District,Beijing City	100088

中衍期货有限公司 China - Derivatives Futures Co., Ltd.	G01017	北京市朝阳区东四环中路 82 号金长安大厦 B 座 7 层 7/F of Tower B2, No. 82, Middle Road of East Fourth Ring Road, Chaoyang District, Beijing	100026
中钢期货有限公司 Sinosteel Futures Co., Ltd.	G01018	北京市海淀区海淀大街 8 号 19 层 19th Floor, Block A, No.8, Haidian Street, Haidian District, Beijing	100080
金谷期货有限公司 Jingu Futures Corporation	G01019	天津市和平区五大道街南京路 183 号世纪都会商厦办公楼 22 层 2203-2207 室 Room 2203-2207, 22/F, Office Building of Century Duhui Commercial Tower, No.183, Nanjing Road, Wudadao Street, Heping District, Tianjin City	300021
财达期货有限公司 Caida Futures Co., Ltd.	G01020	天津市和平区南京路君隆广场 B 座 501-503 Room 501-503, Building 1 & Building 2, No.2, Xi'an Avenue, Junlong Plaza, Heping District, Tianjin	300000
国泰君安期货有限公司 Guotai Jun'an Futures	G01021	上海市静安区延平路 121 号 26 层 26/F, No.121, Yanping Road, Jing'an District, Shanghai	200042
山金期货有限公司 Shangdong Gold Futures Co., Ltd.	G01022	天津市南开区长江道与三马路交口融汇广场 B 座 21 层 21/F, Tower B, Ronghui Square, Cross of Changjiang Street and Sanma Road, Nankai District, Tianjin City	300457
和融期货有限责任公司 Herong Futures Co., Ltd.	G01023	天津市和平区郑州道 18 号港澳大厦 103, 201-1, 201-2, 302-1, 302-2 103, 201-1, 201-2, 302-1, 302-2 Gang'ao Tower, No. 18, Zhengzhou Road, Heping District, Tianjin	300050
河北恒银期货经纪有限公司 Hengyin Futures Co., Ltd.	G01024	石家庄市槐安东路 90 号国富大厦三层 3rd Floor, Guofu Building, No.90, Huai'an East Road, Shijiazhuang	050020
山西三立期货经纪有限公司 Sanli Futures Co., Ltd.	G01025	山西省太原市府西街 69 号国贸 A 座 18 层 18/F, No. 69, Guomao, Block A Fuxi Street, Taiyuan, Shanxi	030002
民生期货有限公司 Minsheng Futures Co., Ltd.	G01026	北京市东城区建国门内大街 28 号民生金融中心 A 座 16 层 16th Floor, Block A, Minsheng Jinrong Center, No.28, Jianguomennei Street, Dongcheng District, Beijing	100005
和合期货经纪有限公司 Hehe Futures Brokerage Co., Ltd.	G01027	山西省太原市菜园东街 2 号和合期货 No.2, Caiyuan East Street, Taiyuan City, Shanxi Province	030012
鑫鼎盛期货有限公司 Xindingsheng Futures Co., Ltd.	G01028	福州市台江区鳌峰路升龙汇金大厦 15 楼 07-08 单元 Unit 07-08, 15/F, Shenglong Huijin Building, Aofeng Road, Taijiang District, Fuzhou City	350001
深圳瑞龙期货有限公司 SHENZHEN LUCKYLOONG FUTURES CO., LTD	G01029	深圳市南山区深南大道 9676 号大冲商务中心 2 栋 3 号楼 12 层 C1 号房 Room C1, 12/F, No.3 Building, Block 2, Dachong Commercial Center, No.9676, Shennan Street, Nanshan District, Shenzhen City	518026
九州期货有限公司 Jiuzhou Futures Co., Ltd.	G01030	北京市海淀区西直门北大街甲 43 号金运大厦 B 座 1418 室 Room 1418, Tower B, Jinyun Building, No. A43, Xizhimen North Street, Haidian District, Beijing	110013
江海汇鑫期货有限公司 Jianghaihuixin Futures Co., Ltd.	G01032	辽宁省沈阳市沈河区青年大街 51-2 号商会总部大厦 B 座 12 层 12th Floor, No. 51-2, Qingnian Street, Shenhe District, Shenyang City, Liaoning Province	110014
江信国盛期货有限责任公司 JITIC GDLLEN SUN FUTURES CO., LTD.	G01033	辽宁省鞍山市铁东区园林路 162 号 -80 No.162-80, Yuanlin Road, Tiedong District, Anshan City, Liaoning Province	114003

天富期货有限公司 Tianfu Futures Co., Ltd.	G01034	吉林省长春市经开区浦东路 1199 号 No.1199,Pudong Road,Economic Development Zone,Changchun City,Jilin Province	130041
中融汇信期货有限公司 ZHONG RONG HUI XIN FUTURES CO., LTD	G01035	上海市浦东新源深路 1088 号 25 层 25/F, No. 1088, Yuanshen Road, Pudong New Area, Shanghai	130022
大连良运期货经纪有限公司 Dalian Fortune Futures Co., Ltd.	G01036	辽宁省大连市沙河口区会展路 129 号期货大厦 37 层 37th Floor, Dalian Futures Tower,Block A, International Finance Center, No.129, Huizhan Road, Shahekou District, Dalian	116023
兴证期货有限公司 Industrial Futures Co., Ltd.	G01037	福州市鼓楼区温泉街道湖东路 268 号 6 层(兴业证券大厦) 6th Floor, No.268, Hudong Road, Wenquan Street, Gulou District, Fuzhou (Industrial Securities Building)	350001
晟鑫期货经纪有限公司 Shengxin Futures Co., Ltd.	G01038	山西省阳泉市德胜东街 23 号 No.23, Desheng East Street, Yangquan City, Shanxi Province	045000
天鸿期货经纪有限公司 Tianhong Futures Co., Ltd.	G01040	上海市虹口区东大名路 1080 号 21 层 21/F, No.1080, Dongdaming Road, Shanghai	200082
大通期货经纪有限公司 Datong Futures Co., Ltd.	G01043	黑龙江哈尔滨南岗区西大直街 118 号 1 号楼 6 楼 6th Floor, Building 1, No.118, Xidazhi Street, Nangang District, Harbin City, Heilongjiang Province	150001
中投天琪期货有限公司 Tianqi Futures Co., Ltd.	G01044	深圳市福田区深南大道 4009 号投资大厦 3 层中投期货 3/F, Investment Building, No. 4009 Shennan Ave., Futian District, Shenzhen	518048
银河期货有限公司 Galaxy Futures Co., Ltd.	G01046	北京市朝外大街 16 号中国人寿大厦 13 层 13/F,Chaowai Lstreet 16 China Life Building , Beijing	100045
天风期货股份有限公司 TF Futures Co., Ltd.	G01047	辽宁省大连市沙河口区中山路 478 号华邦上都大厦 A 座 3 楼 3/F, Hua Bang Shang Du Tower A, No. 478, Zhongshan Road, Shahekou District, Dalian	116021
中国国际期货有限公司 China International Futures Co., Ltd.	G01048	北京市朝阳区建国门外光华路 14 号中国中期大厦 A 座 9 层 9th Floor, Block 1, No.14, Guanghua Road, Jianguomenwai, Chaoyang District, Beijing	100020
渤海期货股份有限公司 Bohai Futures Co., Ltd.	G01049	大连市中山区玉光街 11 号远洋大厦 B 座写字间 1 单元 9 层 9th Floor, Unit 1, Block B, Yuanyang Building, No.11, Yuguang Street, Zhongshan District, Dalian	116001
国富期货有限公司 Guofu Futures Co., Ltd.	G01050	大连市沙河口区会展路 129 号期货大厦 2809 室 Room 2809, Dalian Futures Tower, Block A, Dalian International Financial Center, No.129, Huizhan Road, Shahekou District, Dalian	116023
海通期货有限公司 Haitong Futures Co., Ltd	G01051	上海市浦东新区世纪大道 1589 号 17 楼 17th Floor, No.1589, Century Avenue, Pudong New Area, Shanghai	200122
华鑫期货有限公司 China Fortune Futures Co., Ltd.	G01052	上海市黄浦区宁海东路 200 号 27、28 楼 27th Floor & 28th Floor, Shenxin Building, No.200, Ninghai East Road, Huangpu District, Shanghai	200021
光大期货有限公司 Everbright Futures Co., Ltd.	G01053	上海市新闻路 1508 号 2 楼 build 2, Xinzha Road, Shanghai	200040

铜冠金源期货有限公司 Tongguan Jinyuan Futures Co., Ltd.	G01054	上海市浦东新区源深路 273 号 (1、2、3 楼) (Building 1,2,3) No.273 Yuanshen Road, Pudong New Area, Shanghai	200135
恒泰期货股份有限公司 Hengtai Futures Co., Ltd.	G01055	上海市浦东新区峨山路 91 弄 120 号 2 层 201 单元 Unit 201, Floor 2, No.120, Lane 91, Eshan Road, Pudong New Area, Shanghai	200122
国投中谷期货有限公司 SDIC CGOG Futures Co., Ltd.	G01056	北京市西城区广安门外南滨河路 1 号高新大厦 9 层 Unit 201, Floor 2, No.120, Lane 91, Eshan Road, Pudong New Area, Shanghai	200080
建信期货有限责任公司 CCB Futures Co., Ltd.	G01057	上海市浦东新区银城路 99 号 502、503 室 Room 502&503, No.99, Songlin Road, Pudong New Area, Shanghai	200023
上海东亚期货有限公司 Shanghai East Asia Futures Co., Ltd.	G01058	上海市浦东新区松林路 300 号 2203 室 Room 2203, No.300, Songlin Road, Pudong New Area, Shanghai	200122
上海东方期货经纪有限责任公司 Shanghai Dongfang Futures Brokerage Co., Ltd.	G01059	上海市浦东新区松林路 300 号 1603 室 Room 1603, No.300, Songlin Road, Pudong New Area, Shanghai	200122
上海大陆期货有限公司 Shanghai Dalu Futures Co., Ltd.	G01060	上海市徐汇区凯旋路 3131 号明申中心大厦 25 楼 Shanghai xuhui district kaixuan road 3131 MingShen 25 floor tower	200030
东航期货有限责任公司 CES Futures Co., Ltd.	G01061	上海市吴中路 686 弄 3 号 D 幢 16 楼 201103 Floor 16, Building D, No.3, 686th Lane, Wuzhong Road, Shanghai	201103
上海中财期货有限公司 Zhongcai Futures Co., Ltd.	G01062	上海市浦东新区陆家嘴环路 958 号 23 楼 Room 2402, 23th Floor, No.958, Lujiazui Ring Road, Pudong New Area, Shanghai	200120
上海浙石期货经纪有限公司 Shanghai Zheshi Futures Co., Ltd.	G01063	上海市浦东新区浦电路 438 号双鸽大厦 10-G 室 No.438, shuangge, Pudian Road, Pudong New Area, Shanghai	200122
瑞银期货有限责任公司 UBS Futures Co., Ltd.	G01064	上海市浦东新区花园石桥路 33 号花旗大厦 1608 室 Room 1608, No.33 Huayuan Shiqiao Road, Pudong New Area, Shanghai	200063
通惠期货有限公司 Shanghai TongHui Futures Co., Ltd.	G01066	上海市浦东新区陆家嘴西路 99 号 7 楼 7th Floor, No.99, West Road, Lujiazui, Shanghai	200120
上海东证期货有限公司 Orient Futures Co., Ltd.	G01067	上海市中山南路 318 号东方国际金融广场 2 号楼 35 楼 Shanghai South Road 318 East, Tower 2, International Financial Plaza, 35 Layer	200122
海证期货有限公司 Hicend Futures Co., Ltd.	G01068	上海市临平北路 19 号 3 楼 3rd Floor, No.19, Linping North Road, Shanghai	200086

上海中期期货有限公司 Shanghai CIFCO Futures Co., Ltd.	G01070	上海市浦东新区世纪大道 1701 号 1301 单元 Unit 1301, No.1701, Century Avenue, Pudong New Area, Shanghai	200122
同信久恒期货有限责任公司 Shanghai Jiuheng Futures Brokerage Co., Ltd.	G01073	上海市浦东新区世纪大道 1500 号东方大厦 12 楼北座 North Section of Building 12, No.1500, Century Avenue, Pudong New Area, Shanghai	200122
江苏东华期货有限公司 Donghua Futures Co., Ltd.	G01074	江苏省南京市王府大街 63 号 5 层 5th Floor, No.63, Wangfu Street, Nanjing City, Jiangsu Province	210004
国联期货股份有限公司 Guolian Futures Co., Ltd.	G01075	江苏省无锡市金融一街 8 号 6 楼 No.8, Jinrongyi Street, Wuxi, Jiangsu	214121
创元期货股份有限公司 Wenfeng Chuangyuan Co., Ltd.	G01077	江苏省苏州市三香路 120 号万盛大厦 2 楼、3 楼 2nd Floor & 3rd Floor, Wansheng Building, No.120, Sanxiang Road, Suzhou	215004
东海期货有限责任公司 Donghai Futures Co., Ltd.	G01078	上海市浦东新区东方路 1928 号东海证券大厦 8 楼 18th Floor, Donghai securities Building, No.1928, Dongfang Road, Pudong New Area, Shanghai	200125
新纪元期货有限公司 New Era Futures Co., Ltd.	G01079	江苏省徐州市淮海东路 153 号 Jiangsu Province Huaihai East Road No. 153, Xuzhou	221005
弘业期货股份有限公司 Holly Futures Co., Ltd.	G01081	南京市中华路 50 号弘业大厦 9 楼 No.50, Zhonghua Road, Nanjing	210001
文峰期货有限公司 Wenfeng Futures Co., Ltd.	G01082	南通市青年东路 15 号锦峰大厦 301 室 Room 301, Jinfeng Tower, No. 15, East Qingnian Road, Nantong, Jiangsu	226007
锦泰期货有限公司 Jintai Futures Co., Ltd.	G01083	江苏省南京市中央路 258-28 号锦盈大厦 7 楼 Jinying Tower, No.258-28, Middle Road, Jianye District, Nanjing	210009
中大期货有限公司 Zhongda Futures Co., Ltd.	G01084	浙江省杭州市中山北路 310 号 3 层 12 层东 East, 3/F, 12/F, No.310, Zhongshan North Road, Hangzhou, Zhejiang Province	310003
浙江新世纪期货有限公司 Zhejiang New Century Futures Co., Ltd.	G01085	浙江省杭州市体育场路 335 号 No.335, Tiychang Road, Hangzhou	310006
宝城期货有限责任公司 Baocheng Futures Co., Ltd.	G01086	杭州市西湖区求是路 8 号公元大厦南裙楼 5 层 5/F of North Building of South Wing of Gongyuan Building, No.8, Qiushi Road, Hangzhou	310013
大地期货有限公司 Dadi Futures Co., Ltd.	G01088	浙江省杭州市延安路 511 号元通大厦 12 楼 No.511, Yan'an Road, No.12th floor of Yuantong Building, Hangzhou	310006
浙商期货有限公司 Zheshang Futures Co., Ltd.	G01089	杭州市庆春路 173 号 8-10 层 Floor 8-10, No. 173, Qingchun Road, Hangzhou	310006

大越期货股份有限公司 Dayue Futures Co., Ltd.	G01090	浙江省绍兴市解放北路 186 号 7 楼 7 Floor, No.186, Jiefang North Road, Shaoxing"	312000
信达期货有限公司 CINDA Futures Co., Ltd.	G01091	浙江省杭州市文晖路 108 号浙江出版物资大厦 1125 室、1127 室、12 楼、16 楼 16th Floor & 12th Floor, Room 1125 & Room 1127, Zhejiang Publishing Material Building, No.108, Wenhui Road, Hangzhou	310004
新潮期货有限公司 Xinhu Futures Co., Ltd.	G01092	上海市裕通路 100 号 36 层 36th Floor, No.100, Yutong Road, Shanghai"	200070
南华期货股份有限公司 Nanhua Futures Co., Ltd.	G01093	杭州市西湖大道 193 号二层、三层 2nd Floor & 3rd Floor, No.193, Xihu Avenue, Hangzhou"	310002
国海良时期货有限公司 Guohai Liangshi Futures Co., Ltd.	G01094	杭州市河东路 91 号 No.91, Hedong Road, Hangzhou	310014
永安期货股份有限公司 Yong'an Futures Co., Ltd.	G01095	杭州市潮王路 208 号浙江协作大厦 3、5-10、22 楼 3rd Floor & 5th-10th Floor & 22 Floor, Zhejiang Collaboration Building, No.208, Chaowang Road, Hangzhou	310005
兴业期货有限公司 Industrial Futures Co., Ltd.	G01096	上海市浦东新区银城中路 68 号时代金融中心 35 楼 35th Floor, Shanghai Futures Building, No.68, Pudian Road, Pudong New Area, Shanghai"	315040
鲁证期货股份有限公司 Luzheng Futures Co., Ltd.	G01098	济南市市中区经七路 86 号 15、16 层 15th Floor & 16th Floor, No.86, Jingqi Road, Shizhong District, Jinan	250001
招金期货有限公司 ZHAO JIN FUTURES CO., LTD."	G01099	山东省淄博市张店区柳泉路 45 号甲 3 号 5 层 5th Floor, A No.3, No.45, Liuquan Road, Zhangdian District, Zibo City, Shandong Province"	255000
中州期货有限公司 Zhongzhou Futures Co., Ltd.	G01101	山东省烟台市芝罘区南大街 117 号文化宫大厦 17 层 17/F of Cultural Palace Building, No.117, Chicago Area South Street Street, Yantai City, Shandong Province	264003
英大期货有限公司 Yingda Futures Co., Ltd.	G01102	北京市东城区建国门内大街乙 18 号院英大国际大厦二层 2/F, Yingda International Building, No.B18 Yard, Jianguomennei Street, Dongcheng District, Beijing City	100005
徽商期货有限责任公司 Huishang Futures Co., Ltd.	G01104	安徽省合肥市芜湖路 258 号 3 号楼 6-7 层、6 号楼 1-2 层 6-7/F, Building 3, 1-2/F, Building 6, No.258, Wuhu Road, Hefei, Anhui,	230061
华安期货有限责任公司 Hua'an Futures Co., Ltd.	G01105	安徽省合肥市庐阳区长江中路 419 号 No.419, Changjiang Middle Road, Hefei City, Anhui Province	230061
安粮期货有限公司 AHCOF Futures Co., Ltd.	G01107	安徽省合肥市芜湖路 168 号同济大厦 10-11 层 10th Floor & 11th Floor, Tongji Building, No.168, Wuhu Road, Hefei City, Anhui Province	230001

格林大华期货有限公司 Gelin Dahua Futures Co., Ltd.	G01109	北京市朝阳区光华东里 8 号院 2 号楼中海广场中楼 6 层 (501 内 601 室) (Room 601 in 501)6/F,Middle Building,Zhonghai Square,No.2 Building,No.8 Yard,Guanghuadongli,Chaoyang District,Beijing City	100032
华信万达期货股份有限公司 Wanda Futures Co., Ltd.	G01111	郑州市郑东新区商务内环路 27 号楼万达期货大厦 3 层 3/F Building 27, Business Inner Ring Road, Zhengdong New District, Zhengzhou	450016
国信期货有限责任公司 GUOSEN FUTURES CO., LTD.	G01112	深圳市罗湖区红岭中路 1010 号国际信托大厦 13 楼 13/F,International Trust Building,No.1010,Hongling Middle Road,Luohu District,Shenzhen City	450008
中原期货有限公司 Central China Futures Co., Ltd.	G01113	河南省郑州市郑东新区商务外环路 10 号中原广发金融大厦四楼 4th Floor, Zhongyuan Guangfa Jinrong Building, No.10, Business Outer Ring Road, Zhengdong New District, Zhengzhou City, Henan Province	450046
福能期货股份有限公司 Fujian Energy Futures Co., Ltd.	G01115	福州市鼓楼区华林路 93 号 1 座 5、6 层 Floor 5 & Floor 6, Tower 1, No. 93, Hualin Road, Gulou District, Fuzhou, Fujian	350003
金信期货有限公司 GOLDTRUST FUTURES CO., LTD.	G01120	湖南省芙蓉区长沙车站北路 459 号证券大厦五楼 5th Floor, Securities Building, No.459, Railway North Road, Changsha, China	410001
美尔雅期货有限公司 MAILYARD FUTURES CO., LTD.	G01121	湖北省武汉市江汉区新华路 218 号 (浦发银行大厦) 9 层 1 室、10 层 1 室 Room 1 of 9th Floor and Room 1 of 10th Floor, No.218 (Pudong Development Bank Mansion), Xinhua Road, Jianghan District, Wuhan City, Hubei Province	430022
新疆天利期货经纪有限公司 Xinjiang Tianli Futures Co., Ltd.	G01122	新疆乌鲁木齐市天山区西河坝后街 137 号瑞达国际大厦 七楼 7th Floor, Ruida Guoji Building, No.137, Xiheba Backstreet,Tianshan Street, Urumqi	830002
华闻期货有限公司 Huawen Futures Co., Ltd.	G01123	上海市浦东新区浦东大道 720 号国际航运金融大厦 22 楼 A、B、C、D、M、N、I 室 Room A-B-C-D-M-N-I, 22th Floor, International Shipping and Financing Building, No. 720, Pudong Avenue, Pudong New Area, Shanghai	200120
长江期货有限公司 Changjiang Futures Co., Ltd.	G01125	湖北省武汉市汉口新华路特 8 号长江证券大厦 8th Floor, Changjiang Securities Building, No.8, Xinhua Road, Hankou, Wuhan City, Hubei Province	430015
南证期货有限责任公司 Nanzheng Futures Co., Ltd.	G01126	南京市秦淮区长乐路 226 号长乐花园 01 幢 1 号 -4,1 号 -7,1 号 -8 No.1-4, No.1-7, No.1-8, Block 01, Changle Park, No.226, Changle Road, Qinhuai District, Nanjing	210006
华联期货有限公司 Dongguan Hualian Futures Co., Ltd.	G01131	广东省东莞市城区可园南路 1 号金源中心 17 层 16th Floor & 17th Floor, Jinyuan Center, No.1, South Keyuan Road, City District, Dongguan, Guangdong	523000
华龙期货股份有限公司 Hualong Futures Co., Ltd.	G01132	甘肃省兰州市城关区静宁路 308 号信托大厦 4 楼 4th Floor, No.308, Jingning Road, Chengguan District, Lanzhou City, Gansu Province	730030

广发期货有限公司 GF Futures Co., Ltd.	G01133	广东省广州市天河区体育西路 57 号红盾大厦 9 楼、14 楼、15 楼 9th Floor & 14th Floor & 15th Floor, Hongdun Building, No.57, Tiyu West Road, Tianhe District, Guangzhou City, Guangdong Province	510620
混沌天成期货有限公司 Chaos Ternary Futures Co., Ltd.	G01135	广东省深圳市福田区金田路大中华国际交易广场东区 2612-2616 室 2612-2616, Shenzhen International Exchange Plaza, Southwest of Intersection of Shennan Avenue and Jintian Road, Futian District, Shenzhen, Guangdong	518038
新晟期货有限公司 Xinsheng Futures Co., Ltd.	G01137	广州市海珠区新港东路 1000 号保利世贸东塔 8 楼 Floor 8, No.1000, East Xingang Road(Poly World Trade Center), Haizhu District, Guangzhou	510308
集成期货股份有限公司 SUCCESS FUTURES CO., LTD.	G01139	广东省广州市天河区珠江新城珠江江西路 17 号广晟国际大厦 1501-B Room 1501-B, 15/F, Grand International Mansion, No. 17, West Zhujiang Road, Tianhe District, Guangzhou City, Guangdong Province	510623
摩根大通期货有限公司 J.P.Morgan Futures Co., Ltd.	G01140	广东省中山市东区中山四路盛景园三期 A2 幢 8 层 6 卡 Room 6, 8th Floor, Block A2, Shengjingyuan Phase III, Zhongshansi Road, East District, Zhongshan City, Guangdong Province	528403
华泰期货有限公司 Huatai Great Wall Futures Co., Ltd.	G01141	广州市越秀区先烈中路 65 号东山广场东楼 12 层 Floor 5, Floor 12, East Building, Dongshan Square, No. 65, Middle Xianlie Road, Yuexiu District, Guangzhou	510095
金石期货有限公司 Jinshi Futures Co., Ltd.	G01143	新疆乌鲁木齐市天山区解放北路 90 号天际大厦 2 楼 2/F of Tianji building, No.90, North Jiefang Road, Urumqi	830002
银建期货经纪有限责任公司 Yinjian Futures Co., Ltd.	G01145	北京市丰台区方庄芳古园一区 29 号楼 3 层 3/F No.29, Fangguyuan Area 1, Fangzhuang, Fengtai District, Beijing	100078
国贸期货有限公司 ITG Futures Co., Ltd.	G01147	福建省厦门市湖滨南路国贸大厦 11 层、2 层 A1 单元、5 层 B1 单元、27 层 B 单元、3 层 A2 单元 Unit A2 of Floor 3, Unit B of Floor 27, Unit B1 of Floor 5, Unit A1 of Floor 2 and Floor 11, Guomao Mansion, South Hubin Road, Xiamen, Fujian	361004
中航期货有限公司 AVIC Futures Co., Ltd.	G01148	深圳市福田区华富路 1006 号航都大厦 21 层 21th Floor, No.1006 of Hangdu building, No.8, Huafu Road, Futian District, Shenzhen	518026
中信期货有限公司 CITIC Futures Co., Ltd.	G01149	深圳福田中心三路 8 号卓越时代广场二期 14 层 14th Floor, North Section of Zhuoyue Shidai Plaza (Phase II), No.8, Zhongxinsan Road, Futian District, Shenzhen	518048
五矿经易期货有限公司 Minmetal Futures Co., Ltd.	G01150	深圳市福田区益田路西福中路北新世界商务中心 4801-A、4802-B、4803、4804 4801-A、4802-B、4803、4804, New World Business Center, Middle Xifu Road, Yitian Road, Futian District, Shenzhen	518026

招商期货有限公司 China Merchants Futures	G01151	深圳福田区福华一路免税商务大厦 9 层 9-15 单元、7 层 1-8、22-26 号单元 Unit 9-15 of 9th Floor and Unit 1-8 & 22-26 of 7th Floor, No.6, Duty-Free Business Building, Fuhuayuan Road, Futian District, Shenzhen	518048
深圳金汇期货经纪有限公司 Nonfermet Commodities & Futures Agent Co., Ltd.	G01152	深圳市福田区深南大道 6013 号中国有色大厦 18 楼 18/F, China Non-ferrous Building, No.6013, Shennan Boulevard, Futian District, Shenzhen	518040
乾坤期货有限公司 QK Futures Co., Ltd.	G01154	广东省深圳市福田区中心四路 1 号嘉里建设广场第一座第十六层 02B,03A2 室 Room 03A2 & Room 02B, Floor 16, Tower 1, Kerry Plaza, No. 1, Zhongxinsi Road, Futian District, Shenzhen, Guangdong	518048
海航东银期货股份有限公司 HNA FUTURES CO., LTD.	G01155	深圳市福田区益田路 6001 号太平金融大厦 11 层 04、05 单元 Room 04 and 05 of 11th Floor, Taiping financial building, No.6001 Yitian Road, Futian District, Shenzhen	518031
神华期货有限公司 Miracle China Futures Co., Ltd.	G01156	广东省深圳市福田区深南大道 6008 号特区报业大厦西区 29F 29th Floor, West Area of Baoye Building, Special Area, No.6008, Shennan Avenue, Futian District, Shenzhen City, Guangdong Province	518009
金瑞期货股份有限公司 Jinrui Futures Co., Ltd.	G01157	深圳市福田区彩田路东方新天地广场 A 座 31 楼 31/F, Building A, Dongfang Xintiandi Plaza, Caitian Road, Futian District, Shenzhen, Guangdong Province	518033
平安期货有限公司 Ping'an Futures Co., Ltd.	G01158	深圳市福田区金田路 4036 号荣超大厦 14 层 01 单元 Unit 01, 14/F, Rongchao Tower, No. 4036, Jintian Road, Futian Center District, Shenzhen, Guangdong	518026
金元期货有限公司 GOLDSTATE FUTURES CO., LTD.	G01159	海南省海口市南宝路 36 号证券大厦 1 楼 1st Floor, Securities Building, No.36, Nanbao Road, Haikou City, Hainan Province	570206
华融期货有限责任公司 Huarong Futures Co., Ltd.	G01160	海南省海口市龙昆北路 53-1 号 3rd Floor, No. 53-1, Longkun North Road, Haikou City, Hainan Province	570105
道通期货经纪有限公司 DOTO Futures Co., Ltd.	G01162	江苏省南京市鼓楼区广州路 188 号苏宁环球大厦 5 层 02 座 5th Floor, Block 02, Suning Global Mansion, No.188, Guangzhou Road, Gulou District, Nanjing City, Jiangsu Province	210024
申银万国期货有限公司 Shenyin & Wanguo Futures Co., Ltd.	G01166	上海市东方路 800 号 7、8、10 楼 7th Floor & 8th Floor & 10th Floor, No.800, Dongfang Road, Shanghai	200122
华西期货有限责任公司 Huaxi Futures Co., Ltd.	G01167	成都市青羊区通惠门路 3 号 No.3, Tonghuimen Road, Qingyang District, Chengdu	610015
瑞达期货股份有限公司 Ruida Futures Co., Ltd.	G01168	厦门市思明区塔埔东路 169 号 13 层 13th Floor, No.169, Tapu East Road, Siming District, Xiamen City, Fujian Province	361000

国金期货有限责任公司 SINOLINK FUTURES CO., LTD.	G01170	成都市锦江区东大街芷泉段 229 号 1 栋 2 单元 28 层 28th Floor, Unit 2, Block 1, No.229, Zhiquan Section, East Street, Jingjiang District, Chengdu	610061
倍特期货有限公司 Brilliant Futures Co., Ltd.	G01171	成都市高新区锦城大道 539 号盈创动力大厦 A 座 4 楼 406 室 No. 406, 4/F, Yingchuang Dongli Tower A, No. 539, Jincheng Road, High-tech Zone, Chengdu	610031
西南期货有限公司 Southwest Futures Co., Ltd.	G01172	重庆市江北区东升门路 61 号 29-2、29-3 29-2, 29-3, No. 61, Dongshengmen Road, Jiangbei District, Chongqing	400015
中信建投期货有限公司 China Futures Co., Ltd.	G01173	重庆市渝中区中山三路 107 号皇冠大厦 11 楼 Mingyi Floor 11,, Shangzhan Building, No. 107, Third Zhongshan Road, Yuzhong District	400014
中电投先融期货有限公司 Xianrong Futures Co., Ltd.	G01175	重庆市渝中区邹容路邹容广场 A 座 14 楼 14th Floor, Block A, Zourong Plaza, Yuzhong District, Chongqing	400010
红塔期货有限责任公司 Hongta Futures Co., Ltd.	G01176	云南省昆明市北辰财富中心商住楼 A 幢 28 楼 28th Floor, Block A, Business Building, Beichen Fortune Center, Kunming, Yuannan Province	650224
西部期货有限公司 Western Futures Co., Ltd.	G01178	西安市新城区东新街 232 号陕西信托大厦三层 3rd Floor, Shannxi Trust Building, No.232, Dongxin Street, Xi'an	710004
长安期货有限公司 Changan Futures Co., Ltd.	G01179	西安市浐灞生态区浐灞大道 1 号浐灞商务中心二期四层 4/F, Phase 2, Business Center, No.1, Chanba Road, Xi'an	710001
东兴期货有限责任公司 Dongxing Futures Co., Ltd.	G01180	上海市虹口区杨树浦路 248 号 22 楼 22th Floor, No.248, Yangshupu Road, Shanghai	200082
江西瑞奇期货经纪有限公司 Jiangxi Ruiqi Futures Co., Ltd.	G01182	江西省南昌市广场南路 333 号恒茂国际中心 16 号楼 A 座 6 层 6th Floor, Block A, No.16, Hengmao International Center, No.205, Guangchang South Road, Nanchang City, Jiangxi Province	330003
迈科期货经纪有限公司 Maike Futures	G01183	西安市高新区唐延路迈科国际大厦 2209 Room 2209, Maike International Building, No.33, Tangyan Road, Gaoxin District, Xi'an	710075
黑龙江时代期货经纪有限公司 Heilongjiang Shidai Futures Co., Ltd.	G01184	黑龙江省哈尔滨市香坊区中山路 93 号保利大厦 401 室 Room 401, Baoli Building, No.93, Zhongshan Road, Harbin, Heilongjiang Province	150036
中辉期货有限公司 Zhonghui Futures Co., Ltd.	G01185	上海市浦东新区新金桥路 27 号 10 号楼 5 层 A 5/Floor A of 10 building, No.27, Century Avenue, Pudong New Area, Shanghai	030002
大有期货有限公司 Dayou Futures Co., Ltd.	G01186	湖南省长沙市天心区芙蓉南路二段 128 号现代广场三、 四楼 Floor 3 and Floor 4, Modern Square, No. 128, Section 2, South Furong Road, Tianxin District, Changsha, Hunan	410011

华创期货有限责任公司 Huachuang Futures Co., Ltd.	G01187	重庆市渝中区中山三路 131 号希尔顿商务楼 13 楼 13th Floor, No.131, Hilton Business Mansion, Thire Zhongshan Road, Yuzhong District, Chongqing	400015
云晨期货有限责任公司 Yunchen Futures Co., Ltd.	G01188	云南省昆明市人民东路 111 号 No.111, Renmin East Road, Kunming City, Yunnan Province	650051
东吴期货有限公司 SOOCHOW FUTURES CO., LTD.	G01189	上海市西藏南路 1208 号 6 楼 6th Floor, No.1208, Tibet South Road, Shanghai	200011
广永期货有限公司 Guang Yong Futures Co., Ltd.	G01190	广东省广州市天河区体育西路 103 号维多利广场 A 塔 19 楼 19 Floor, Tower A, Victory Plaza, No. 103, West Tiyu Road, Tianhe District, Guangzhou, Guangdong	510620
盛达期货有限公司 Shengda Futures Co., Ltd.	G01191	杭州市萧山区宁围镇宁泰路 27 号江宁大厦 2 幢第 8-9 层 Floor 8-9, Block 2, Jiangning Building, No.27, Ningtai Road, Ningwei Town, Xiaoshan District, Hangzhou	510120
安信期货有限责任公司 ESSENCE FUTURES Co., Ltd.	G01192	北京市东城区北三环东路 36 号环球贸易中心 A 座 26 层 A26, No.36, East Road, North Third Ring Road, Dongcheng District, Beijing	100013
广州期货股份有限公司 Guangzhou Futures Co., Ltd.	G01193	广东省广州市天河区临江大道 5 号第 21 层 04-06 单元 Unit 06 of 20th Floor and Unit 04-05-06 of 21th Floor, No.5, Linjiang Avenue, Tianhe District, Guangzhou	510623
津投期货经纪有限公司 Jintou Futures Co., Ltd.	G01194	天津市河西区马场道 59 号国贸中心大厦 A 座 9 层 9/F, Tower A, No.59, Machang Road, Hexi District, Tianjin	300203
中金期货有限公司 Zhongjin Futures Co., Ltd.	G01195	北京市朝阳区建外大街甲 6 号 SK 大厦 38 层 38th Floor, SK Building, No. A6, Jianwai Street, Chaoyang District, Beijing City	100022
东方汇金期货有限公司 Oriental Huijin Futures Co., Ltd.	G01196	吉林省长春市朝阳区西安大路 2128 号绿地·蓝海 5A 第 二十层 20th Floor, Block 5A, Blue Ocean Building, No.2128, Xi'an Road, Chaoyang District, Changchun	130061
德盛期货有限公司 Triumph Futures Co., Ltd.	G01197	湖南省长沙市五一西路 2 号第一大道 14 楼 14th Floor, First Avenue, No.2, West Wuyi Road, Changsha City	410005
中银国际期货有限责任公司 BOC International Futures Limited	G01198	上海市世纪大道 1589 号 901 室 17th Floor, Jinghang Hotel, No.33, Blue Sky Road, Haikou City, Hainan Province	200122
大同证券有限责任公司 Datong Securities Brokerage Co., Ltd.	G02085	山西省太原市小店区长治路 111 号山西世贸中心 A 座 12 层 12/F, Tower A, Shanxi World Trade Center, No. 111, Changye Road, Taiyuan, Shanxi	030012
长城国瑞证券有限公司 Great Wall Glory Securities Co., Ltd.	G02086	福建省厦门市莲前西路 2 号莲富大厦 17 楼 17/F, Lianfu Building, No.2, Lianqian West Road, Xiamen City, Fujian Province	361009

西藏同信证券股份有限公司 Tongxin Securities Co., Ltd.	G02087	上海市永和路 118 弄 24 号楼 Building 24, Lane 118, Yonghe Road, Shanghai	200072
宏信证券有限责任公司 Hongxin Securities Co., Ltd.	G02088	四川省成都市人民南路二段 18 号川信大厦 10 楼 10/F, Chuanxin Mansion, No.18, Section 2, South Renmin Road, Chengdu, Sichuan	610016
银泰证券有限责任公司 Yintai Securities Co., Ltd.	G02089	深圳市福田区竹子林四路紫竹七道光大银行大厦 18 楼 18/F, CEB, No. 18, Zizhu Qi Road, Zhuzilin Si Road, Futian District, Shenzhen	518040
九州证券有限公司 JZ Securities Co., Ltd.	G02092	北京市西城区金融大街 7 号英蓝国际金融中心 D 座 5 层 5/F, Tower D, Yinglan International Financial Center, No.7, Financial Street, Xicheng District, Beijing City	100033
华期梧桐成都资产管理有限公司 Huaqi Wutong Chengdu Asset Management Co., Ltd.	G05001	成都青羊区通惠门路 3 号 No.3, Tonghuimen Road, Qingyang District, Chengdu	610015
深圳天风天成资产管理有限公司 Shenzhen Tianfeng Tiancheng Asset Management Co., Ltd.	G05002	湖北省武汉市武昌区八一路银海华庭 A 座二楼 2/F, Tower A, Yinhai Huating, Bayi Road, Wuchang District, Wuhan City, Hubei Province	518000
深圳中金岭南金汇资本管理有限公司 Shenzhen Zhongjinling Nanjinhui Asset Managemnet Co., Ltd.	G05003	深圳市福田区卓越世纪中心 4 号楼 1401 1401, No.4 Building, Zhuoyue Century Center, Futian District, Shenzhen City	518000
四川倍特资产管理有限公司 Sichuan Beite Asset Management Co., Ltd.	G05004	四川省成都市高新区锦城大道 539 号盈创动力大厦 4 楼 405 室 Room 405, 4/F, Yingchuang Power Building, No.539, Jincheng Street, Gaoxin District, Chengdu City, Sichuan Province	610000
混沌天成投资管理(深圳)有限公司 Hundun Tiancheng Investment Management(Shenzhen) Co., Ltd.	G05005	深圳市福田区金田路大中华国际交易广场东座 2612-2616 室 Room 2612-2616, East Tower, Great China International Exchange Square, Jintian Road, Futian District, Shenzhen City	510000
上海杰询资产管理有限公司 Shanghai Jiexun Asset Management Co., Ltd.	G05006	上海市徐汇区凯旋路 3131 号名申中心大厦 26 楼 26/F, Mingshen Center Building, No.3131, Kaixuan Road, Xuhui District, Shanghai City	200030
中信盈时资产管理有限公司 Citic Yingshi Asset Management Co., Ltd.	G05007	中国(上海)自由贸易试验区杨高南路 799 号 23 层 04 单元 Unit 04, 23/F, No.799, Yanggao South Road, Free Trade Test Area, (Shanghai)China	200127
厦门国贸资产管理有限公司 Xiamen Guomao Asset Management Co., Ltd.	G05008	厦门市湖滨南路 388 号国贸大厦 27 楼 27/F, Guomao Building, No.388, Hubin South Road, Xiamen City	361000
中电投先融(上海)资产管理有限公司 SPIC Xianrong(Shanghai) Assets Management Co., Ltd.	G05009	中电投先融: 上海市黄浦区中山南路 268 号新源广场 1 号楼 1106 室 Room 1101, Xinyuan Plaza 1st building, south zhongshan road, Shanghai	200010
浙江永安资本管理有限公司 Zhejiang Yong'an Capital Management Co., Ltd	G06001	杭州市潮王路 208 号浙江协作大厦 22 楼 Building 22,Zhejiang Collaborative Building,No.208 of Chaowang Road,Hangzhou	310005

浙江浙期实业有限公司 Zhejiang Zhesagn Futures Industrial Co., Ltd	G06002	浙江省杭州市西湖区黄姑山路 9 号天科大厦 9 楼 Building 9, Tianke Building, No.9 of Huang Gu Mountain Road, Xihu District, Hangzhou City, Zhejiang	310012
百安赛贸易有限公司 Baiansai Trading Co., Ltd.	G06003	河南省郑州市郑东新区中储粮大厦 China Grain Reserves Corporation Building, Zhengdong New District, Zhengzhou District, Henan	450000
广发商贸有限公司 GF Trading Co., Ltd	G06004	上海市浦东新区东方路 1217 号陆家嘴金融服务广场 11 楼 E 室 11/F, E, No. 1217, Lujiazui Financial Services Square, Dongfang Road, Pudong New Area, Shanghai	200127
鲁证经贸有限公司 Luzheng Trading Co., Ltd.	G06005	北京市海淀区北三环西路 99 号西海国际中心 1 号楼 2 层 2/F, Building 1, The international Center of West Sea, No.99 of North Third Ring Road, Haidian District, Beijing	100098
浙江济海贸易发展有限公司 Zhejiang Jihai Trade Development Co., Ltd.	G06006	杭州市延安路 511 号元通大厦 1258-5 室 Room 1258-5, Yuantong Tower, No. 511, Yan'an Road, Hangzhou	310006
上海新湖瑞丰金融服务有限公司 Shanghai Xinhui Ruifeng Financial Services Co., Ltd.	G06007	上海市浦东新区浦电路 500 号 1801B Room 1801B, No. 500 of Pudian Road, Pudong New Area, Shanghai	200122
浙江南华资本管理有限公司 Zhejiang Nanhua Capital Management Co., Ltd.	G06008	浙江省杭州市西湖大道 193 号定安名都 B 座 336 室 Room 336, Tower B, Ding'an Mingdu, No.193, Xihu Street, Hangzhou City, Zhejiang Province	310002
江苏锦盈资本管理有限公司 Jiangsu Jinying Capital Management Co., Ltd	G06009	南京市玄武区中央路 258 号 -28 号 (锦盈大厦 5805) Room 5805, District A, Building Jinying Tower, No. 258-28, Middle Road, Xuanwu District, Nanjing	210009
弘业资本管理有限公司 Holly Capital Management Co., Ltd.	G06011	南京市中华路 50 号弘业大厦 Hongye Tower, No. 50, Zhonghua Road, Nanjing	210001
中证资本管理 (深圳) 有限公司 CITIC Capital Management (Shenzhen) Co., Ltd.	G06012	深圳市福田区中心三路卓越时代广场二期 13 楼 13/F, Phase Two, Zhuoyue Time Square, Center No. Three Road, Futian District, Shenzhen City	518040
深圳市福田区中心三路卓越时代广场二期 13 楼 13/F, Phase Two, Zhuoyue Time Square, Center No. Three Road, Futian District, Shenzhen City	G06013	上海市浦东新区源深路 1088 号 2104 室 Room 2104, No. 1088, Shenyuan Road, Pudong New Area, Shanghai	200122
中粮祈德丰投资服务有限公司 Hongyuan Hengli (Shanghai) Industrial Co., Ltd.	G06014	北京市东城区东直门南大街 5 号中青旅大厦 310 室 Room 310, Cyts Building, No.5 of Dongzhimen South Street, Dongcheng District, Beijing	100007
金瑞前海资本管理 (深圳) 有限公司 Jinrui Qianhai Capital Management (Shenzhen) Co., Ltd.	G06015	深圳市福田区彩田路东方新天地广场 A 座 32 楼 32/F, Building A of The East New World Plaza, Caitian Road, Futian District, Shenzhen	518033
上海海通资源管理有限公司 Shanghai Haitong Capital Management Co., Ltd.	G06016	上海市浦东新区世纪大道 1568 号中建大厦 1602 室 Room 1602, No. 1568 of Zhongjian Building, Shiji Avenue, Pudong New Area, Shanghai	200122
瑞达新控资本管理有限公司 Ruida Xinkong Capital Management Co., Ltd.	G06017	福建厦门市南投路 11 号 6 层 6/F, No.11, Nantou Road, Xiamen, Fujian	361000

申银万国智富投资有限公司 Shenyin & Wanguo Zhifu Investment Co., Ltd.	G06018	上海市浦东新区东方路 800 号宝安大厦 2702B Room270B,No. 800 of Baoan building, Pudong New Area,Shanghai	200122
华泰长城资本管理有限公司 Huatai Great Wall Capital Management Co., Ltd.	G06019	上海市浦东新区世纪大道 1589 号长泰国际金融大厦 No. 1589 of changtai international finance building, Shiji Avenue, Pudong New Area,Shanghai	200122
银河德睿资本管理有限公司 Galaxy Derui Capital Management Co., Ltd.	G06020	上海市虹口区东大名路 687 号 401 室 Room 401, No. 687, East Daming Road, Hongkou District, Shanghai	200080
光大光子投资管理有限公司 Everbright Guangzi Investment Management Co., Ltd.	G06021	上海市福山路 458 号同盛大厦 1309A 室 Room1390A,No.458 of Tongsheng building,Fushan Road,Shanghai	200122
格林大华资本管理有限公司 Gelin Dahua Capital Management Co., Ltd.	G06022	上海市浦东新区源深路 1088 号 7 层 7/F,No.1088 of Yuanshen Road,Pudong New Area,Shanghai	200122
武汉长江产业金融服务有限公司 Wuhan Yangtze Financial Services Co., Ltd.	G06024	武汉市东湖新技术开发区光谷大道 77 号光谷金融港 A10 栋 7 楼 7/F,No. 77 of Optical valley financial port A10 Guanggu Road 77, Donghu New Technology Development Zone, Wuhan, Hubei	430070
五矿产业金融服务（深圳）有限公司 Minmetal Financial Services (Shenzhen) Co., Ltd.	G06026	深圳市福田区益田路 6009 号新世界中心 48 层 48/F,New World Business Center, North Fuzhong Road, West Yitian Road, Futian District, Shenzhen	518026
东证润和资本管理有限公司 Orient Runhe Capital Management Co., Ltd.	G06027	上海市中山南路 318 号东方国际金融广场 2 号楼 35 层 35/F,No2 of Eastern Financial Plaza , No. 318, South of Zhongshan Road,Shanghai	200010
睦盛投资管理（上海）有限公司 Musheng Capital Management (Shanghai) Co., Ltd.	G06028	上海市浦东新区伽利略路 338 号 5 幢 5302 室 Room5302,No. 338 of Galileo Road, Pudong New Area,Shanghai	201203
迈科资产管理（上海）有限公司 Maik Asset Management (Shanghai) Co., Ltd.	G06029	上海市浦东新区世纪大道 1568 号中建大厦 1907 室 Room 1907,No. 1568 of Zhongjian Building, Shiji Avenue, Pudong New Area,Shanghai	200122
国贸启润资本管理有限公司 ITG Qirun Capital Management Co., Ltd.	G06030	上海市浦东新区张杨路 620 号中融恒瑞大厦东楼 902 室 Room 902,No. 620 of Zhongrong Hengrui building, Zhangyang Road, Pudong New Area,Shanghai	200122
上期资本管理有限公司 Shanghai Futures Capital Management Co., Ltd.	G06031	上海市浦东新区银城中路 488 号太平金融大厦 1104A Room 1104A,No. 488 of Taiping financial building, Middle Yincheng Road, Pudong New Area,Shanghai	200120
国海良时资本管理有限公司 Guohai Liangshi Capital Management Co., Ltd.	G06032	杭州市下城区河东路 91 号 230 室 Room 203, No. 91, Hedong Road, Xiacheng District, Hangzhou	310014
东海资本管理有限公司 Donghai Capital Management Co., Ltd.	G06033	中国（上海）浦东新区东方路 1928 号东海证券大厦 No. 1928 of Donghai security building, East road, Pudong New Area,China(Shanghai)	200125

平安商贸有限公司 Peace Trading Co.,Ltd	G06034	深圳市福田区金田路 4036 号荣超大厦 14 楼 14/F, No.4036 of Rongchao Building, Jintian Road,Futian District,Shenzhen	518026
国泰君安风险管理有限公司 Guotai junan Risk Management Co., Ltd.	G06035	上海市静安区延平路 121 号 28 楼 28/F,No.121 of Yanping Road,Jingan District,Shanghai	200042
东兴财富资产管理有限公司 Dongxing Asset Management Co., Ltd.	G06036	上海市虹口区杨树浦路 248 号 22 层 22/F,No.248 of yangshupu Road,Hongkou District,Shanghai	200082
上海东吴玖盈投资管理有限公司	G06038	苏州市工业园区星阳街 5 号东吴证券大厦 8F 8/F,Dongwu security Building,NO.5 of Xing Yang street,industrial park,Suzhou	215021
汇信融植资本管理有限公司 Huixin Rongzhi Capital Management Co., Ltd.	G06039	上海市浦东新区源深路 1088 号 25 层 25/F,No. 1088 of Shenyuan Road, Pudong New Area,Shanghai	200120
上海华闻金融信息服务有限公司 Shanghai HuaWen financial information service co., LTD	G06040	上海市黄浦区北京东路 668 号东楼 31 楼 Building 31,No. 668 of Beijing Road, Huangpu District,Shanghai	200001
上海方顿投资管理有限公司 Shanghai Wandun Investment Management Co., Ltd.	G06041	上海市浦东世纪大道 1777 号东方希望大厦 1 层 G 室 Room G 1/F,No. 1777 of East hope building, Shiji Avenue, Pudong New Area,Shanghai	200122
华期创一成都投资有限公司 Huaqi Chuangyi - Chengdu Investment Co., Ltd.	G06042	四川省成都市青羊区通惠门路 3 号 No.3 of Tonghuimen Road,Qingyang District,Chengdu,Sichuan	610015
中衍期（天津）投资管理有限公司 Investment Co., Ltd.	G06044	北京市朝阳区东四环中路 82 号金长安大厦 B 座 7 层 7/F,Building B,Jin Changan Building,No.82 of Middle East 4th Ring Road,Chaoyang District,Beijing	100124
金财汇顶投资有限公司 Jincai Huiding Investment Co., Ltd.	G06049	上海浦东新区浦电路 500 号 2402 室 Room 2402, No. 500 of Pudian Road ,Pudong New Area,Shanghai	200122
深圳市凯丰投资管理有限公司 Shenzhen City Kaifeng Investment Management Co., Ltd.	G07001	深圳市福田区香梅路 1061 号中投国际商务中心 A 座 11A 11A,Tower A, Zhongtou International Commercial Center, No.1061,Xiangmei Road, Futian District,Shenzhen Ctiy	518000
白石资产管理（上海）有限公司 Baishi Asset Management(Shanghai) Co., Ltd.	G07002	上海市浦东新区东方路 18 号保利广场 E 座 603 室 Room 603,Tower E,Baoli Square,No.18,Dongfang Road,Pudong New District,Shanghai City	200120
华风投资咨询有限公司 Huafeng Investment Consulting Co., Ltd.	G07003	杭州市江干区富春路 188 号市民中心 F 座 Tower F,Citizen Center,No.188,Fuchun Road,Jiangan District,Hangzhou City	310016
敦和资产管理有限公司 Dunhe Asset Management Co., Ltd.	G07004	杭州市上城区白云路 12 号 No.12,Baiyun Road,Shangcheng District,Hangzhou City	310008

联系会员名录

Associate Members

机构全称 Institute Full Name	会员代码 Member Code	通信地址 Contact Address	邮编 Post Code
北京期货商会 Beijing Futures Association	A01001	北京市海淀区紫竹园路 31 号华澳中心嘉慧苑 1216 室 Room 1216, Jiahuiyuan, Hua'ao Center, No. 31, Zizhuyuan Road, Haidian District, Beijing	100089
天津市期货协会 Tianjin Futures Association	A01002	天津市河东区十一经路 61 号人保大厦 310 室 Room 310, PICC Tower, No. 61, Shiyijing Road, Hedong District, Tianjin	300171
黑龙江省期货业协会 Heilongjiang Futures Association	A01003	哈尔滨市香坊区赣水路 41 号 6 层 6/F, No. 41, ganshui Road, Nangang District, Harbin	150001
上海市期货同业公会 Shanghai Futures Association	A01004	上海市浦东新区浦电路 500 号上海期货大厦 2201A 室 Room 2210A, Shanghai Futures Tower, No. 500, Pudian Road, Pudong New Area, Shanghai	200122
江苏省期货业协会 Jiangsu Futures Association	A01005	江苏省南京市中山东路 90 号华泰证券大厦 17 楼 F/17, Huatai Securities Plaza, No. 90, East Zhongshan Road	210002
深圳市期货同业协会 Shenzhen Futures Association	A01006	深圳市福田区中心三路 8 号卓越时代广场二期 1306 室 Room 1306, Times Square Excellence Phase , No. 8, Three Center Road, Futian District, Shenzhen	518048
河北省证券期货业协会 Hubei Futures Association	A01007	河北省石家庄市新华西路 505 号河北南海大厦 5 层 5/F, Hebei Nanhai Building, No. 505, Xinhua West Road, Shijianzhuang City, Hebei Province	518048
大连市期货业协会 Dalian Securities and Futures Association	A01008	大连市中山区中山路 136 号希望大厦 1505 室 Room 1505, Hope Mansion, No. 136, Zhongshan Road, Zhongshan District, Dalian	116001
辽宁省期货业协会 Liaoning Futures Association	A01009	辽宁省沈阳市和平区十一纬路 12 号银基发展中心 1002 室 No. 12, Shiyiwei Road, Heping District, Shenyang, Liaoning	110003
浙江期货行业协会 Zhejiang Futures Association	A01010	杭州市余杭塘路 515 号矩阵国际中心 5 号楼 602、604(丰 潭路与余杭塘路交叉口) Room 602 and 604, No. 5 Building, Juzhen International Center, No. 515, Yuhangtang Road, Hangzhou City (Cross of Fengtan Road and Yuhangtang Road)	310011
宁波市证券期货业协会 Ningbo Securities and Futures Association	A01011	宁波市海曙区中山西楼 2 号恒隆中心 24 楼 E 24/F, Hang Lung Centre, No. 2, E West Zhongshan Road, Haishu District, Ningbo	315000
安徽省证券期货业协会 Anhui Securities and Futures Association	A01012	安徽省合肥市蒙城路 109 号地税大厦 17 楼 17/F, Land Tax Tower, No. 109, Mengcheng Road, Hefei, Anhui	230061

山东省期货业协会 Shandong Futures Association	A01013	山东省济南市市中区经七路 86 号 11 楼 1103 室 Room 1103,11/F, Securities Tower, No. 86, Jingqi Road, Shizhong District, Ji'nan	250001
河南省证券期货业协会 Henan Securities and Futures Association	A01014	郑州市农业路与中州大道交叉口苏荷中心六楼 636 室 Room 636,Floor 6,Suhe Center,Cross of Agricultural Road and Zhongzhou Street,Zhengzhou City	450046
江西省证券期货业协会 Jiangxi Securities and Futures Association	A01015	南昌市东湖区紫金城证券街 1 号紫金大厦四层 4/F, Zijin Tower, Zijincheng, Donghu District, Nanchang, Jiangxi 330006	330006
广东证券期货业协会 Guangdong Securities and Futures Association	A01016	广州市天河区临江大道 3 号发展中心 17 楼 C 单元 Unit C, 17/F, Development Center, No. 3, Linjiang Avenue, Tianhe District, Guangzhou	510623
广西证券期货业协会 Guangxi Securities and Futures Association	A01017	广西南宁市金湖路 52-1 号东方曼哈顿大厦 20 层 2011 室 Room 2011, 20/F, Oriental Manhattan Tower, No. 52-1, North Jinhu Road, Nanning	530028
福建省证券期货业协会 Fujian Securities and Futures Association	A01018	福州市铜盘路软件大道 89 号福州软件园 10 号楼华兴创 业中心 201 室 Room 201, Huaxing Chuangye Center, Building 10, Fuzhou Software Park, No. 89, Software Avenue, Tongpan Road, Fuzhou	350003
厦门证券期货业协会 Xiamen Securities and Futures Association	A01019	厦门市湖滨南路 388 号国贸大厦 6 楼 601 室 Room 601, 6/F, Guomao Mansion, No. 388, South Hubin Road, Xiamen	361004
四川省证券期货业协会 Sichuan Securities and Futures Association	A01020	四川省成都市洗面桥街 39 号银谷基业 10 楼 10/F, No. 39, Ximianqiao Street, Chengdu	610000
重庆市证券期货业协会 Chongqing Securities and Futures Association	A01021	重庆市渝中区临江支路 2 号合景大厦 15 楼 15/F, Hejing Tower, No. 2, Linjiang Subbranch, Yuzhong District, Chongqing	400010
甘肃证券期货业协会 Gansu Securities and Futures Association	A01022	甘肃省兰州市张掖路延寿巷 8 号基隆大厦 A 座 9001 室 Room 001, 9/F, Keelung Tower, No. 8, Yanshou Lane, Zhangye Road, Lanzhou, Gansu	730000
新疆维吾尔自治区证券期货业协会 Xinjiang Securities and Futures Association	A01023	新疆乌鲁木齐齐金银路 53 号金融大厦 1806 室 Room 1806, Financial Tower, No. 53, Jinyin Road, Urumuqi, Xinjiang	830001
海南证券期货业协会 Hainan Securities and Futures Association	A01024	海南省海口市大英山西三路 9 号 (3A) 海南迎宾馆北区 2-3 夹层 Between Floor 2 and 3,North Area,Hainan Welcome Hotel(3A),No.9,West Three Road,Dayingshan,Haikou City,Hainan Province	570206
云南省证券业协会 Yunnan Securities Association	A01025	昆明市北京路 577 号云南证监局 2 楼 209 Room 209,2 floor No. 577, Beijing Road, Kunmin	650051
陕西证券期货业协会 Shaanxi Securities and Futures Association	A01026	陕西省西安市高新区高新四路 1 号高科广场 23 层 2309 室 Room 2309, Tower A, Gaoke Square, Gaoxin Si Road, Xi'an, Shaanxi	710075

青岛证券期货业协会 Qingdao Securities and Futures Association	A01027	青岛市市南区东海西路 28 号龙翔广场一号楼一楼 1/F, Building 1, Longxiang Square, No. 28, West Donghai Road, Shinan District, Qingdao	266071
吉林省证券业协会 Jilin Securities Association	A01028	吉林省长春市生态大街 2099 号伟峰东第 11 号楼 2703 室 Room 2703, No. 11 Building, Weifeng East, No. 2099, Ecology Street, Changchun City, Jilin Province	130061
宁夏证券期货基金业协会 Ningxia Securities and Futures Association	A01029	宁夏回族自治区银川市兴庆区北京东路 379 号金源大厦 11 楼 1110 室 Room 1110, Floor 11, Jinyuan Building, No. 379, Beijing East Road, Xingqing District, Yinchuan City, Ningxia Hui Autonomous Region	750004
贵州证券业协会 Guizhou Securities Association	A01030	贵州省贵阳市云岩区北京路 9 号京玖大厦 8 楼 B 座 (贵州医科大学旁) Guizhou province guiyang yunyan district of Beijing road, Beijing nine building 8 B (beside the guizhou university of medical sciences)	550004
湖南省期货业协会 Hunan Futures Association	A01031	长沙市车站北路 459 号证券大厦 12 楼 12/F, Securities Tower, No. 459, North Station Road, Changsha	410001
湖北省期货业协会 Hubei Futures Association	A01032	湖北省武汉市洪山区珞瑜路 540 号 No. 540, Luoyu Road, Hongshan District, Wuhan, Hubei	430079
内蒙古证券期货业协会 Neimenggu Securities and Futures Association	A01033	内蒙古呼和浩特市赛罕区敕勒川大街东方君座 D 座 14 楼 1412 Room 1412, Building 14, Dongfang junzuo Tower, East Xinhua Street, Saihan District, Hohhot	010010
山西省期货业协会 Shanxi Futures Association	A01034	山西省太原市平阳路 101 号国瑞大厦 12A01 12/F, A01, Guorui Tower, No. 101, Pingyang Road, Taiyuan, Shanxi	030006
中证信息技术服务有限责任公司 China Securities Information Technology Service Limited Company	A02001	北京市西城区金融大街 4 号金益大厦 3 层 3/F, Jinyi Building, No. 4, Financial Street, Xicheng District, Beijing City	100033
证通股份有限公司 Zhengtong Holdings Co., Ltd.	A02002	上海浦东新区锦康路 308 号陆家嘴世纪金融广场 6 号楼 No. 6 Building, Century Financial Square, Lujiazui, No. 308, Jinkang Road, Pudong New District, Shanghai	200127
兴业银行股份有限公司 Industrial Bank Co., Ltd.	A03001	上海市江宁路 168 号兴业大厦 20 楼 20/F, Xingye Building, No. 168, Jiangning Road, Shanghai City	350003
中国光大银行股份有限公司 China Everbright Bank	A03002	北京市西城区太平桥大街 25 号中国光大中心 B 座 909 Room 909, Tower B, China Everlight Center, No. 25, Taipingqiao Street, Xicheng District, Beijing City	100033
上海浦东发展银行股份有限公司 Shanghai Pudong Development Bank Co., Ltd.	A03003	上海市北京东路 689 号东银大厦 Dongyin Building, No. 689, Beijing East Road, Shanghai City	200001

交通银行股份有限公司 Bank of Communications Co., Ltd.	A03004	上海市浦东新区银城中路 188 号 23 层 23/F, No.188, Yincheng East Middle Road, Pudong New District, Shanghai City	200120
中国银行股份有限公司 Bank of China Limited	A03005	北京市复兴门内大街 1 号中国银行总行金融机构部 Financial Institute Department of Head Office of Bank of China, No.1, Fuxingmennei Street, Beijing City	100818
招商银行股份有限公司 China Merchants Bank Co., Ltd.	A03006	上海市浦东新区陆家嘴环路 1088 号招商银行上海大厦 28 楼同业部 Trade Department, 28/F, Shanghai Building, Merchant Bank, No.1088, Lujiazui Circle Road, Pudong New District, Shanghai City	200120
中国民生银行股份有限公司 China Minsheng Bank Co., Ltd.	A03007	北京市西城区复兴门内大街 2 号 No.2, Fuxingmennei Street, Xicheng District, Beijing City	100031
中国工商银行股份有限公司 Industrial and Commercial Bank of China	A03008	北京市西城区复兴门内大街 55 号 No.55, Fuxingmennei Street, Xicheng District, Beijing City	100140
汇丰银行(中国)有限公司 The Hongkong and Shanghai Banking(China) Corporation Limited	A03009	上海浦东新区世纪大道 8 号上海国金中心(汇丰银行大楼) 33 楼证券服务部 Securities Service Department, 33/F(HSBC Building), Shanghai Guojin Center, No.8, Century Street, Pudong New District, Shanghai	200120
平安银行股份有限公司 Ping'an Bank Co., Ltd.	A03010	广东省深圳市深南东路 5047 号 22 楼 22/F, No.5047, Shennan East Road, Shenzhen City, Guangdong Province	518001
中信银行股份有限公司 China Citic Bank Co., Ltd.	A03011	北京市东城区朝阳门北大街 9 号 No.9, Chaoyangmen North Street, Dongcheng District, Beijing City	100010
上海期货信息技术有限公司 Shanghai Futures Information Technology Co., Ltd.	A05001	上海市浦东新区浦电路 500 号上海期货大厦 13 楼 13/F, Shanghai Futures Building, No.500, Pudian Road, Pudong New District, Shanghai City	201201
郑州易盛信息技术有限公司 Zhengzhou Esunny Information Technology Co., Ltd.	A05002	郑州市未来大道未来大厦 1502 Room 1502, Weilai Building, No.69 Weilai Road, Zhengzhou City	450000
大连飞创信息技术有限公司 Dalian Feichuang Information Technology Co., Ltd.	A05003	辽宁省大连市沙河口区会展路 129 号期货大厦 46F 46F, Futures Building, No.129, Huizhan Road, Shahekou District, Dalian City, Liaoning Province	116023
上海金融期货信息技术有限公司 Shanghai Financial Futures Information Technology Co., Ltd.	A05004	上海市浦东新区世纪大道 1600 号 17 楼 17/F, No.1600, Century Street, Pudong New District, Shanghai City	200122
恒生电子股份有限公司 Hundsun Technologies Inc.	A05005	杭州市滨江区江南大道 3588 号 3588 Jiangnan Ave., Binjiang Dist. Hangzhou City	310053
上海文华财经资讯股份有限公司 Shanghai Wenhua Financial Information Co., Ltd.	A05006	上海市浦东新区浦电路 500 号期货大厦 1901B 1901B, Futures Building, No.500, Pudian Road, Pudong New District, Shanghai City	200122

胜科金仕达数据系统(中国)有限公司 Shengke Jinshida Dada System(China) Co., Ltd.	A05007	上海市浦东新区张江高科技园区亮景路 210 号 No.210,Liangjing Road,Zhangjiang High-tech Park,Pudong New District,Shanghai City	201203
福建顶点软件股份有限公司 Fujian Dingdian Software Co., Ltd.	A05008	福州市软件大道 89 号福州软件园顶点软件中心 Dingdian Software Center,Fuzhou Software Park,No.89,Software Street,Fuzhou City	350003
上海富远软件技术有限公司 Shanghai Fuyuan Software Technology Co., Ltd.	A05009	上海市漕河泾开发区桂箐路 7 号 3 号楼 B202 B202,No.3 Building,No.7,Guiqing Road,Caohejing Development Zone,Shanghai City	200233
上海信易科技股份有限公司 Shanghai Xinyi Information Technology Co., Ltd.	A05010	上海市张江高科技园区郭守敬路 498 号 12 幢 21311-31313 室 Room 21311-31313,No.12 Building,No.498,Guoshoujing Road,Zhangjiang High-tech Park,Shanghai City	201203
上海数讯信息技术有限公司 Shanghai Shuxun Information Technology Co., Ltd.	A05011	上海市张江高科技园区郭守敬路 498 号 4 幢 2 楼 2/F,No.4 Building,No.498,Guoshoujing Road,Zhangjiang High-tech Park,Shanghai City	201203
北京普华路通科技发展有限公司 Beijing Puhua Lutong Technology Development Co., Ltd.	A05012	北京市海淀区中关村东路甲 331 号怡升园商务公寓六单元 9 层 9/F,Unit Six,Yishengyuan Commercial Apartment,No.331,No.A331,Zhongguancun East Road,Haidian District,Beijing City	100190
上海澎博财经资讯有限公司 Shanghai Pengbo Financial Information Co., Ltd.	A05013	上海市浦东软件园陆家嘴分园峨山路 91 弄 101 号 501 室 Room 501, No.101,No.91 Nong,Eshan Road,Lujiazui Sub-park,Pudong Software Park,Shanghai City	200127
深圳开拓者科技有限公司 Shenzhen Pioneer Technology Co., Ltd.	A05014	深圳市南山区粤海街道科技园北区松坪山路 1 号源兴科技大厦北座 401 Room 401,North Tower,Yuanxing Technology Building,No.1,Songpingshan Road,Technology Park North Zone,Yuehai Street,Nanshan District,Shenzhen City	518057
上海科视数码频道制作有限公司 Shanghai Keshi Digital Channel Manufacturing Co., Ltd.	A05015	中国上海市徐汇区肇家浜路 333 号亚太企业大楼 703-706 Room 703-706,Yatai Enterprise Building,No.333,Zhaojiabang Road,Xuhui District,Shanghai City,China	200032
上海览逸信息科技有限公司 Shanghai Lanyi Information Technology Co., Ltd.	A05016	上海市零陵路 585 号 18G 18/F,No.585,Lingling Road,Shanghai City	200030
上海万得信息技术股份有限公司 Shanghai Wande Information Technology Co., Ltd.	A06001	上海市浦东新区福山路 33 号 9 楼 9/F,No.33,Fushan Road,Pudong New District,Shanghai City	200120
郑州易盛信息技术有限公司 Zhengzhou Esunny Information Technology Co., Ltd.	A06002	朝阳门外大街 22 号泛利大厦 10 层 10/F,Fanli Building,No.22,Chaoyangmenwai Street	100020







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